

Software Analysis and Verification

Mini Project

Expressive Power of a Fragment of WS1S

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Introduction

We know that weak monadic second-order theory of 1 successor (WS1S) is decidable through automata, that is, the set of satisfying interpretations of a subformula is represented by a finite-state automaton [1, 2]. Decision procedures for WS1S with certain restrictions have been implemented efficiently in MONA using the automata technique. The main problem with this approach is the exponential blow-up in the states of the automaton due to nested quantifiers [3]. We were initially interested in finding another way of deciding WS1S without using the automata. We were interested in investigating whether we can characterize relations in WS1S in a way that helps us eliminate quantifiers and began by looking at a fragment of WS1S. This paper describes the key results that we obtained.

Mathematical preliminaries

We let \mathbb{N} denote the set of nonnegative integers $\{0, 1, 2, \dots\}$. Monadic second-order logic of 1 successor has the following minimalist syntax:

$$F ::= v \subseteq v \mid s(v, v) \mid F \vee F \mid \neg F \mid \exists v.F$$

where variable v can denote a first-order variable taking values in \mathbb{N} or a second-order variable (set variable) taking values in $2^{\mathbb{N}}$. We view first-order variables as singleton sets. The successor function $s(v_1, v_2)$ is defined as the successor relation on integers lifted to singleton sets. We are interested in weak monadic second-order logic of 1 successor over strings. This means that natural numbers are represented as binary strings with a single 1 in the position corresponding to the natural number, and sets are represented as binary strings with 1 in the positions corresponding to the elements of the set. “Weak” means that we are only interested in finite strings.

We define WS1S^R to be the same language as that of WS1S with the restriction that there are no free second-order variables. Hence relations on this language can only be relations on first-order variables.

Definable sets and relations A subset S of \mathbb{N}^m is definable if there exists a formula F with free variables x_1, \dots, x_m such that

$$S = \{(d_1, \dots, d_m) \in \mathbb{N}^m \mid F_{x_i:=d_i}(x_1, \dots, x_m) = \text{true}\}.$$

A relation $R(x_1, \dots, x_m)$ is definable in WS1S^R if there exists a formula $F(x_1, \dots, x_m)$ of WS1S^R such that the relation viewed as a set is definable in WS1S^R .

Ultimately periodic sets Let S be a subset of \mathbb{N} with characteristic function $s : \mathbb{N} \rightarrow \{0, 1\}$ such that

$$s(x) := \begin{cases} 0 & \text{if } x \in S \\ 1 & \text{if } x \notin S \end{cases}$$

Definition 1 A sequence $s : \mathbb{N} \rightarrow \{0, 1\}$ is ultimately periodic iff there exists $n_0 \in \mathbb{N}$ and $v \in \mathbb{N}$ such that $\forall n \geq n_0. s_n = s_{n+v}$.

Definition 2 A set S is ultimately periodic iff its characteristic function s is ultimately periodic.

We define the linear sets $S(a, b) = \{a + kb \mid k \in \mathbb{N}\}$.

Claim 1 A set is ultimately periodic if and only if it is a union of a finite number of sets of the form $S(a, b)$.

Proof of Claim 1

Consider an ultimately periodic set S with some v and n_0 . Consider the set $I \subseteq \{1, \dots, n_0 - 1\}$ of numbers $i < n_0$ belonging to S , and the set $J \subseteq \{n_0, \dots, n_0 + v - 1\}$ of numbers j between n_0 and $n_0 + v - 1$ belonging to S . Then $S = \bigcup_{i \in I} \{i + 0k \mid k \in \mathbb{N}\} \cup \bigcup_{j \in J} \{j + vk \mid k \in \mathbb{N}\}$.

We prove the other side of the equivalence by induction.

Base Case: Clearly $S(a, b)$ is ultimately periodic ($n_0 = a, v = b$).

Induction: The union of an ultimately periodic set (n_{old}, v_{old}) and a set of the form $S(a, b)$ is an ultimately periodic set with $n_0 = \max(n_{old}, a)$ and $v = b \times v_{old}$. \square

Characterizing Relations in WS1S^R

The aim of this project is to characterize the relations (on first-order variables) definable in WS1S^R . We first prove a useful intermediary result, then use it to characterize unary and binary relations in WS1S^R .

Length of words of regular languages

Let L be a regular language over an alphabet Σ and $M = \{|w|, w \in L\}$ be the set of lengths of words in L .

Claim 2 M is a union of linear sets $S(a, b)$ for some finite number of tuples (a, b) .

We say that a set has property F if it is a union of finitely many sets $S(a, b)$ for some tuples (a, b) . We say a language L (or the corresponding regular expression) has property P if its set of lengths M has property F . Hence, we need to prove that any regular language has property P .

First we state and prove the following lemma.

Lemma 1 The set $S = \{a + bk_1 + ck_2, k_1, k_2 \in \mathbb{N}\}$, satisfies property F .

Proof

Given the set $S = \{a + bk_1 + ck_2, k_1, k_2 \in \mathbb{N}\}$, consider $\gcd(b, c)$. The following two cases are mutually disjoint and exhaustive:

1. $\gcd(b, c) = 1$
2. $\gcd(b, c) = d, d \neq 1$.

We analyze each case separately.

Case 1: $\gcd(b, c) = 1$. Consider the numbers contained in the set $\{bk_1 + ck_2\}$. Any such number is congruent to $ck_2 \pmod{b}$. Letting k_2 range over $\{0, \dots, b-1\}$, we obtain for each value of k_2 a distinct congruence class \pmod{b} : $[0], [c], [2c], \dots, [(b-1)c]$.

The congruence classes are distinct by the following argument: assume there exist j and l such that $0 \leq j, l < b$ and $jc = lc \pmod{b}$. Then $jc + mb = lc + nb$ for some m, n . Hence $(l-j)c = (m-n)b$. Since b divides $(m-n)b$, it must divide $(l-j)c$. But $\gcd(b, c) = 1$ hence b must divide $l-j$. But $l-j < b$, hence we must have $l-j = 0$.

Since there are b distinct congruence classes \pmod{b} , the set $\{bk_1 + ck_2\}$ contains all natural numbers beyond $n_0 = (b-1)(c-1)$. This is because of the following theorem from elementary number theory: If $\gcd(b, c) = 1$ and $n \geq (b-1)(c-1)$, then $bx + cy = n$ has a non-negative solution, that is, one in which both x and y are non-negative integers. There are also finitely many

numbers below n_0 that are contained in the set $\{bk_1 + ck_2\}$ (For example, 0 is in the set).

Hence, the set $\{a + bk_1 + ck_2\}$ is equal to the union of a finite number of constants (less than $a + n_0$) and the set $\{a + n_0 + k\}$. Each constant c can be represented in the set $\{c + 0.k, k \in \mathbb{N}\}$. In other words, if $\gcd(b, c) = 1$, then the set $S = \{a + bk_1 + ck_2, k_1, k_2 \in \mathbb{N}\}$ satisfies property F .

Case 2: $\gcd(b, c) = d, d \neq 1$. Any number of the form $bk_1 + ck_2$ can be written as $d(mk_1 + nk_2)$ where $b = md, c = nd$ and $\gcd(m, n) = 1$. From Case 1, we know that the set $T = \{mk_1 + nk_2, k_1, k_2 \in \mathbb{N}\}$ satisfies property F . The set $T' = \{d(mk_1 + nk_2), k_1, k_2 \in \mathbb{N}\}$ can be obtained from T by multiplying the constant factors (a and b) in each element of T by d . So T' satisfies property F .

By Case 1 and Case 2, if $\gcd(b, c) = d$ then the set $S = \{a + bk_1 + ck_2, k_1, k_2 \in \mathbb{N}\}$ satisfies property F . \square

Now we can prove the claim.

Proof of Claim 2

We prove the claim by induction on the structure of the regular expression representing the language.

Base Case The length of a single character in Σ is 1. Thus the length of any word corresponding to a single character belongs to the set $\{1 + 0.k, k \in \mathbb{N}\}$; hence any such word has property P .

Inductive Step We prove that the operations union, concatenation and Kleene closure of two regular expressions satisfying property P yield a regular expression satisfying property P .

1. **Union** Let r_1 and r_2 be two regular expressions satisfying property P . Then, their corresponding sets of lengths M_1 and M_2 satisfy property F . The set of lengths M of $r = r_1 \cup r_2$ is simply $M = M_1 \cup M_2$. Hence M satisfies property F and thus r satisfies property P .
2. **Concatenation** Let r_1 and r_2 be two regular expressions satisfying property P . Then their corresponding sets of lengths M_1 and M_2 satisfy property F . The set of lengths of $r = r_1.r_2$ is the finite set $Q = \{t_1 + t_2, t_1 \in M_1, t_2 \in M_2\}$. This set contains elements of the form

$a_1 + bk_1 + a_2 + ck_2 = a + bk_1 + ck_2$. By Lemma 1, $Q = \{a + bk_1 + ck_2\}$ satisfies property F . Thus, r satisfies property P .

3. **Kleene Closure** Let r be a regular expression satisfying property P . The corresponding set of lengths M satisfies property F . $M = \bigcup_{i \in I} m_i$ where m_i is a linear $S(a, b)$ and I is a finite subset of \mathbb{N} . The regular expression r^* can contain any number of repetitions (including zero) of any of the sub-regular expressions. Hence, the possible lengths of words in r^* is given by $M^* = \sum_{i \in I} m_i k_i$, $k_i \in \mathbb{N}$. Each term of this summation is of the form $(a_i + kb_i)k_i$, $k, k_i \in \mathbb{N}$.

Consider one such term: $(a + kb)k_1$. For different values of k , we get terms of the form: $ak_1, (a + b)k_1, (a + 2b)k_1, (a + 3b)k_1, \dots$. Any number of the form $(a + nb)k$ can also be obtained from $ak_1 + (a + b)k_2 = a(k_1 + k_2) + bk_2$ by choosing the constants appropriately: $k = k_1 + k_2, nk = k_2$. Thus, the term $(a + kb)k_1$ can be written as $ak_1 + (a + b)k_2$.

As an example, consider the regular expression $r = (aaa(aaaaa)^*)$. The set of lengths of words corresponding to its language is $3 + 5k, k \in \mathbb{N}$. The corresponding set for r^* is $3k_1 + 8k_2, k_1, k_2 \in \mathbb{N}$.

So $M^* = \sum_{i \in I} a_i k_i + c_i k_j$ where $c_i = a_i + b_i$ and $k_1, k_2 \in \mathbb{N}$. From Lemma 1, we know that the set $\{a_i k_i + c_i k_j\}$ satisfies property F . Thus, M^* is the sum of finitely many sets, each of them satisfying property F . We can repeatedly combine the terms of all these sets and by the same argument as in the case of concatenation, we know that the resulting set also satisfies property F . Hence, M^* satisfies property F .

Since any regular expression can be constructed only by the application of the above three steps (union, concatenation and Kleene closure), the language corresponding to any regular expression satisfies property P . \square

Characterizing unary relations in WS1S^R

We consider the special case of a unary relation on natural numbers corresponding to a formula $F(x)$ with only one free variable x . This formula defines a set $S = \{x \mid F(x)\}$.

Claim 3 *Set S is ultimately periodic.*

Proof of Claim 3

Given formula $F(x)$, there exists an automaton A with input alphabet $\{0, 1\}$

which accepts the string $0^{x-1}10^*$ iff $F(x)$ is true. We define the automaton A^* on $\{0,1\}$ which accepts the string $0^{x-1}1$ iff $F(x)$ is true. Note that $x = |0^{x-1}1|$.

Also note that the language corresponding to $0^{x-1}1$ such that $F(x)$ is true is regular iff the language corresponding to $0^{x-1}10^*$ such that $F(x)=$ is true is regular. Indeed, if $0^{x-1}1$ is regular then $0^{x-1}10^*$ is the concatenation of the regular expressions $0^{x-1}1$ and 0^* and hence is regular. Conversely, if $0^{x-1}10^*$ is regular, then the language corresponding to $0^{x-1}1$ is the intersection of the languages corresponding to the regular expressions $0^{x-1}10^*$ and 0^*1 , and hence is regular.

Furthermore, A^* accepts string $0^{x-1}1$ iff A accepts strings $0^{x-1}10^*$.

Consider the language L of A . By Claim 2, the set of lengths M_L of words in L is a finite union of linear sets $S_i(a, b)$. For all i , the set $S_i(a, b)$ is the set of lengths of a subset of the words accepted by A , all of the form $0^{x-1}1$. Since $x = |0^{x-1}1|$, $S_i(a, b)$ is a subset of the integers x such that $0^{x-1}1$ is accepted by A , i.e. a subset of S . Furthermore, the finite disjoint union $\bigcup_i S_i(a, b) = M_L$ contains exactly all lengths of words accepted by A , hence $\bigcup_i S_i(a, b)$ contains exactly all integers x such that $0^{x-1}1$ is accepted by A , i.e. $\bigcup_i S_i(a, b) = S$.

S is thus a finite union of linear sets $S_i(a, b)$. Hence by definition, S is ultimately periodic. \square

Characterizing binary relations in $WS1S^R$

Consider a binary relation $R(x, y)$ in $WS1S^R$ and the corresponding formula $F(x, y)$ with two free variables x and y . $F(x, y)$ defines a set $Q = \{(x, y) \mid F(x, y)\}$. Elements of the set Q are recognized by an automaton A with parallel inputs and input alphabet $\Sigma = \left\{ \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix} \right\}$.

The language L of A is a subset of the regular language corresponding to $\begin{pmatrix} 0 \\ 0 \end{pmatrix}^* \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^* \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^* \cup \begin{pmatrix} 0 \\ 0 \end{pmatrix}^* \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^* \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^* \cup \begin{pmatrix} 0 \\ 0 \end{pmatrix}^* \begin{pmatrix} 1 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^*$. Thus the input string to the automaton is of the form $\begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_1} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_3}$ or $\begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_4} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_5} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_6}$ or $\begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_7} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix}^{k_8}$.

Claim 4 *If a pair (x, y) belongs to some set $Q = \{(x, y) \mid F(x, y)\}$ for some F over $WS1S^R$ then the sets $\{k_i\}_i$ of possible values of the exponents k_i are ultimately periodic.*

Proof of Claim 4

Let (x, y) belong to $Q = \{(x, y) \mid F(x, y)\}$. There exists an automaton M with parallel inputs which accepts the corresponding input string.

The input string can be of one of the following forms:

1. $\binom{0}{0}^{k_1} \binom{1}{0} \binom{0}{0}^{k_2} \binom{0}{1} \binom{0}{0}^{k_3}$
2. $\binom{0}{0}^{k_4} \binom{0}{1} \binom{0}{0}^{k_5} \binom{1}{0} \binom{0}{0}^{k_6}$
3. $\binom{0}{0}^{k_7} \binom{1}{1} \binom{0}{0}^{k_8}$.

Case 1: We want to show that the sets $\{k_1\}$, $\{k_2\}$ and $\{k_3\}$ are ultimately periodic. By claim 2, it is sufficient to show that the languages $\binom{0}{0}^{k_i}$, $i = 1, 2, 3$ generated by the unary alphabet $\binom{0}{0}$ are regular. We show that $\binom{0}{0}^{k_2}$ is regular by constructing an accepting automaton, $A = (\Sigma, \delta, s, f, S)$. Let $M = (\Sigma', \delta', s', f', S')$ be the automaton that accepts the original input string. Let R be the accepting run in M . In R , there exist unique states m and n such that $\delta'(m, \binom{1}{0}) = n$. Define $s = n$. Similarly there exist unique g, h such that $\delta'(g, \binom{0}{1}) = h$. Define $f = g$. S contains all the states of S' that are in R between n and g . And, δ is the restriction of δ' to the transitions in R between n and g . $\Sigma = \{\binom{0}{0}\}$.

A accepts $\binom{0}{0}^{k_2}$ because M accepts the original input string. We thus have an automaton which accepts language $\binom{0}{0}^{k_2}$, hence this language is regular.

We can similarly construct automata for the languages $\binom{0}{0}^{k_1}$ and $\binom{0}{0}^{k_3}$. In the former case, the start state corresponds to s' and the final state is the state (in R) from which there is a transition on consuming $\binom{1}{0}$. In the latter case, the final state corresponds to f' and the start state is that state in R that is reached after consuming $\binom{0}{1}$.

The construction of the automata for cases 2 and 3 is along the same lines. Hence we have that if a pair (x, y) belongs to some set $Q = \{(x, y) \mid F(x, y)\}$ for some F over WS1S^R , then the sets of values of the exponents in the corresponding input string are ultimately periodic.

Example Consider the relation $R = \{(x, y) \mid x \equiv y \pmod{3}\}$. Then there exists an automaton A which accepts input strings of the form $\binom{0}{0}^{k_1} \binom{1}{0} \binom{0}{0}^{3k_2} \binom{0}{1} \binom{0}{0}^{k_3}$

and $\binom{0}{0}^{k_4} \binom{0}{1} \binom{0}{0}^{3k_5} \binom{1}{0} \binom{0}{0}^{k_6}$ and $\binom{0}{0}^{k_7} \binom{1}{1} \binom{0}{0}^{k_8}$, where $k_i \in \mathbb{N}$ for all i . The sets $\{k_1\}$, $\{3k_2\}$, etc. are clearly ultimately periodic.

Generalization to \mathbb{N}^m

The above reasoning can be extended to the general m -ary case. Now the input string has at most m non-zero elements and at most $m + 1$ expressions

of the form $\binom{0}{\vdots}^{k_i}$. Note that there are finitely many possible formats for

the input string. If a tuple (x_1, x_2, \dots, x_m) belongs to an m -ary relation, then the set of possible values of each exponent k_i is ultimately periodic.

Conclusion

In the course of this project we proved an interesting result about the lengths of words of a regular language. We used this result to characterize relations in the language WS1S^R . We hope that this is a step in the direction of our original aim: characterizing relations in WS1S .

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