

Using First-order Theorem Provers in Verification

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How to obtain software reliability?

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Our result

We formally verified a checker for priority queues in the first-order theorem prover Saturate.

Priority Queues

Definition

$p_queue\langle E, I \rangle$ is a standard data structure, where E is a linearly ordered set (**priorities**), and I is the type of data (**items**) stored in the queue. It supports the following operations:

- create
- insert(e, i)
- delete(e, i)
- find_min
- del_min

Note

The results returned by find_min and del_min have to be checked

Checking Priority Queues

On-line Checker

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This is too costly. PQ finds minimal element in time $O(\log(n))$. Going through all elements takes time $O(n)$.

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Problem

This is too costly. PQ finds minimal element in time $O(\log(n))$. Going through all elements takes time $O(n)$.

Solution

Postpone detection of errors. An error is detected, not when a non-minimal element is returned, but at the moment when a smaller element is returned.

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- during the execution of a correct priority queue, one collects knowledge about elements in it.
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- the lower bound of an element is the maximal priority reported by all `del_min` operations after the insertion of the element.

→ priority queue checker maintains **system of lower bounds**

Priority Queue Checker

Example

PRIORITY QUEUE OPERATIONS:

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p_queue Q;  
Q.insert(3);  
Q.insert(2);  
Q.insert(1);  
int p = Q.del_min();  
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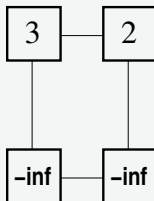
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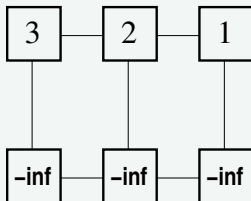
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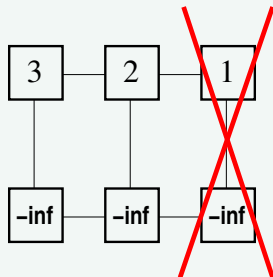
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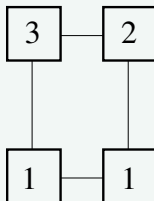
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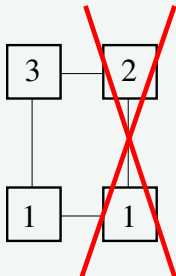
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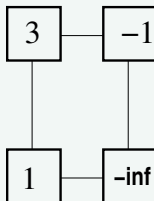
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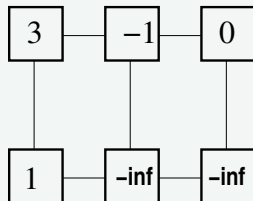
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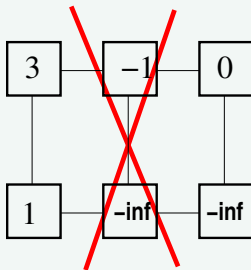
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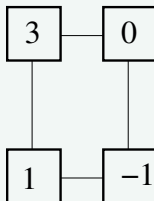
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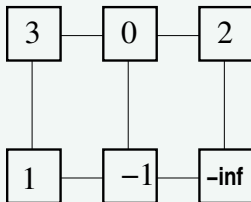
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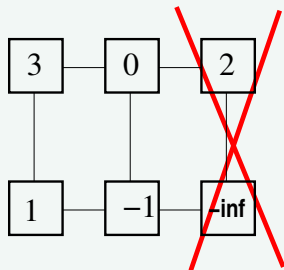
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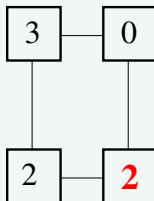
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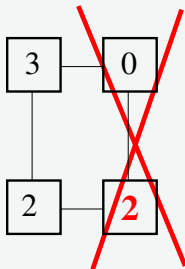
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Verification Process

Ideal workflow

- 1 express correctness theorem as first-order formula F
- 2 run first-order theorem prover on F
- 3 prover terminates with “ F holds”

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Problems in real life

- expressing correctness is non-trivial
 - what is a priority queue?
 - what is the checker doing?
- theorem prover cannot handle induction
 - generate induction hypotheses by hand
- theorem prover runs out of memory
 - guide theorem prover by manually inserting lemmas

Priority Queues in FOL

Inductive definition of priority queues

Let E be a linearly ordered set. The set of priority queues PQ is the smallest set satisfying:

- $\text{empty} \in PQ$
- if $q \in PQ$ and $e \in E$ then $\text{insert}(q, e) \in PQ$

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Specification of delete

$\text{delete}(\text{empty}, e) = \text{empty}$

$\text{delete}(\text{insert}(q, e), e) = q$

$e_1 \neq e_2 \rightarrow$

$\text{delete}(\text{insert}(q, e_1), e_2) = \text{insert}(\text{delete}(q, e_2), e_1)$

Lower Bounds System in FOL

Definition of lower bound systems

A lower bounds system is a sequence of priority pairs:

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Specification of `adjust`

$$\text{adjust}(\epsilon, e) = \epsilon$$

$$e_3 < e_1 \rightarrow \text{adjust}(l.(e_2, e_3), e_1) = \text{adjust}(l, e_1).(e_2, e_3)$$

$$e_1 \leq e_3 \rightarrow \text{adjust}(l.(e_2, e_3), e_1) = \text{adjust}(l, e_1).(e_2, e_3)$$

Defining the Goal

"Theorem"

If a sequence of commands is **alert free** and **complete** then it is also **correct**.

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If a sequence of commands is **alert free** and **complete** then it is also **correct**.

- a sequence is **alert free** if every time the element is accessed, it is greater or equal to its lower bound.
- a sequence of commands is **complete** if after its execution the queue is empty.
- a sequence of commands is **correct** if every `del_min` operation returns indeed the minimal element.

Alert free Sequences

Sequence of Operations

Sequence of operations = word over the alphabet

$$\Sigma = \{\text{ins}(e), \text{del}(e), \text{dmin} \mid e \in E\}$$

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Alert free sequence

$\text{alert_free}(\epsilon)$

$\text{alert_free}(s.\text{ins}(e)) \Leftrightarrow \text{alert_free}(s)$

$\text{alert_free}(s.\text{del}(e)) \Leftrightarrow$

$\text{alert_free}(s) \wedge \text{lower_bound}(e, \text{ex1}(s, \epsilon)) \leq e$

$\text{alert_free}(s.\text{dmin}) \Leftrightarrow$

$\text{alert_free}(s) \wedge \text{lower_bound}(e^*, \text{ex1}(s, \epsilon)) \leq e^*$

where $e^* = \text{elem}(\text{ex}(s.\text{dmin}, \text{empty}))$

Correctness Theorem

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Let $s \in \Sigma^$ then*

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Proof

The theorem is not inductive, so we cannot prove it. We need to formulate a more general (but inductive) theorem.

Correctness Theorem

Theorem

Let $s \in \Sigma^$ then*

$alert_free(s) \wedge$

$\forall e \left(contains(queue(ex(s, empty)), e) \Rightarrow$

$lower_bound(e, ex1(s, \epsilon)) \leq e \right)$

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Proof

By induction on the length of word s .

Further Contributions

SEFM 2005

- We developed a specification that closely follows the concrete implementation and data structure in LEDA.
- We developed a framework that allows partial functions and non-deterministic behaviour
- Our formalization is a big benchmark for theorem provers: we had to insert and prove 88 lemmas

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TPTP 2006

- The problem is being added to TPTP library
- Currently it is the only benchmark for lemma handling