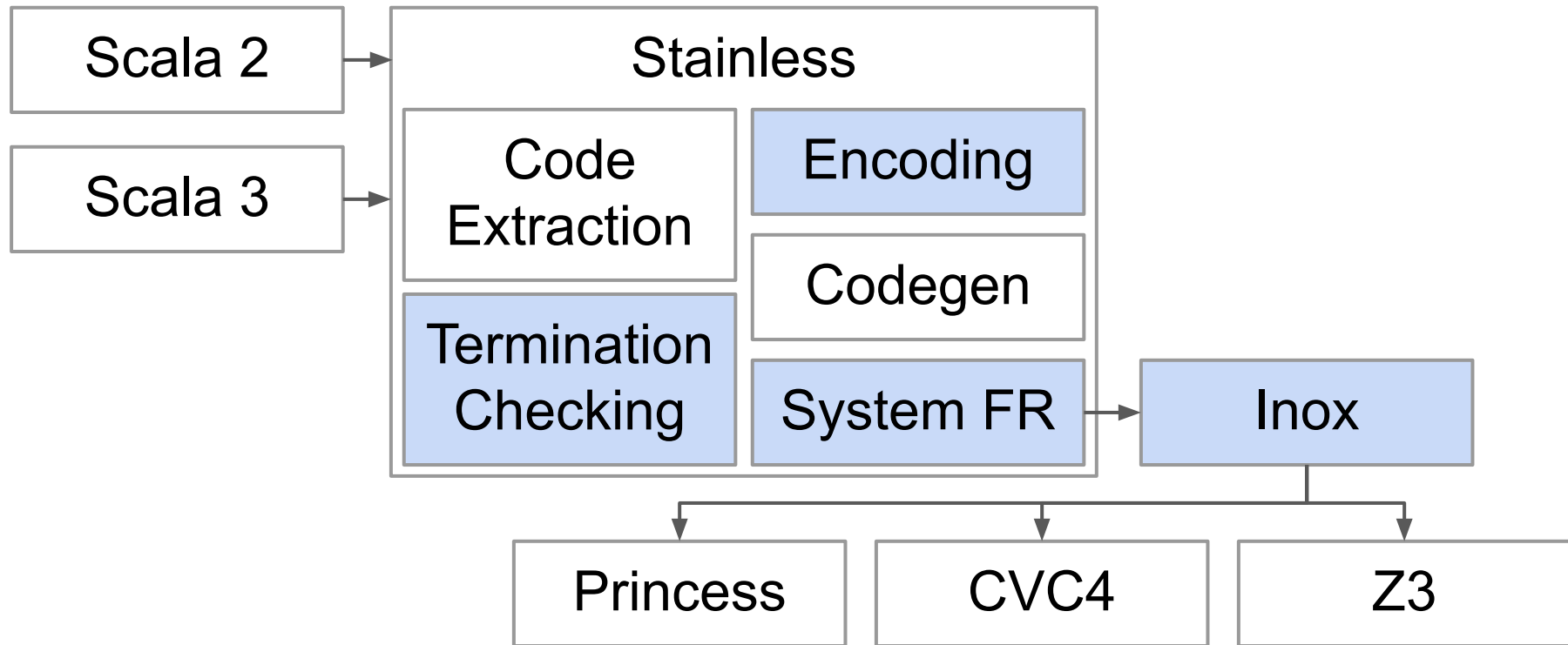


Stainless System

System Overview



Inox

SMT-based counterexample-finding

Inox System

Property

$$\text{list} == \text{Cons}(_, \text{xs}) \wedge \text{size}(\text{xs}) == 0 \\ \Rightarrow \text{size}(\text{list}) == 0$$

Program

```
sealed abstract class List
case class Cons(head: BigInt, tail: List) extends List
case class Nil() extends List

def size(list: List): BigInt = list match {
  case Cons(_, xs) => 1 + size(xs)
  case Nil()       => 0
}
```

Inox

Counterexample

$\text{list} \mapsto \text{Cons}(0, \text{Nil}())$

Inox System

Property

$list == \text{Cons}(_, xs) \wedge \text{size}(xs) \geq 0$
 $\Rightarrow \text{size}(list) \geq 0$

Program

```
sealed abstract class List
case class Cons(head: BigInt, tail: List) extends List
case class Nil() extends List

def size(list: List): BigInt = list match {
  case Cons(_, xs) => 1 + size(xs)
  case Nil()       => 0
}
```

Inox

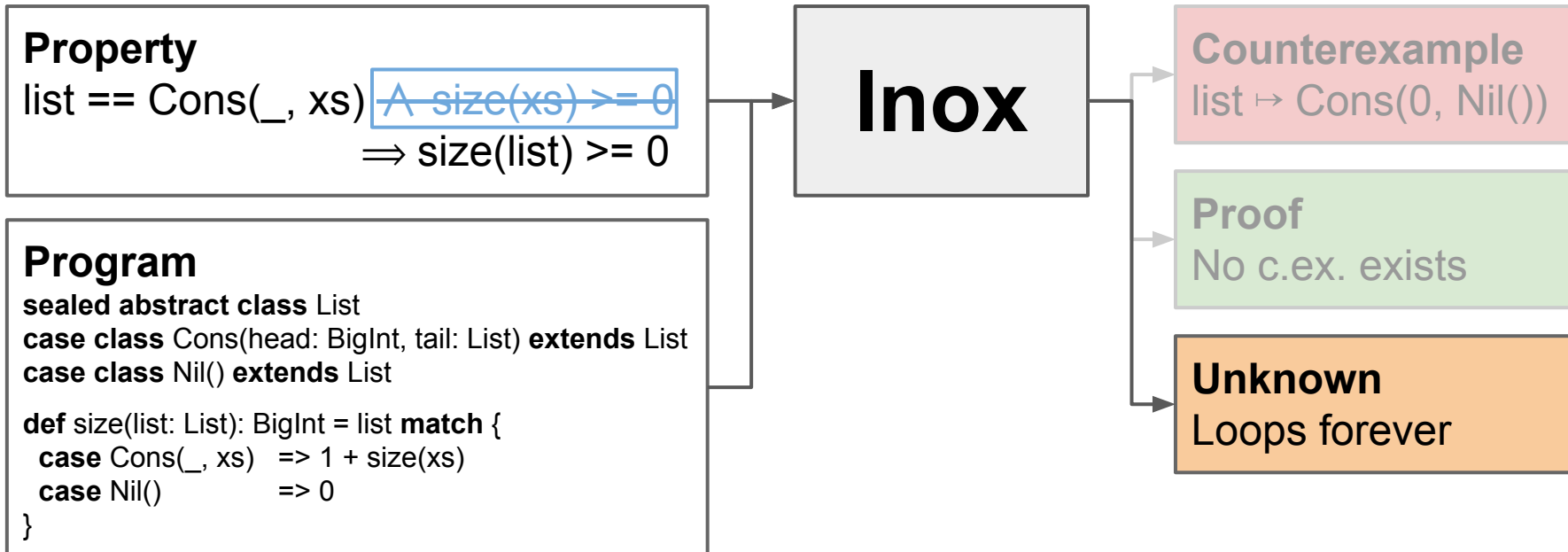
Counterexample

$list \mapsto \text{Cons}(0, \text{Nil}())$

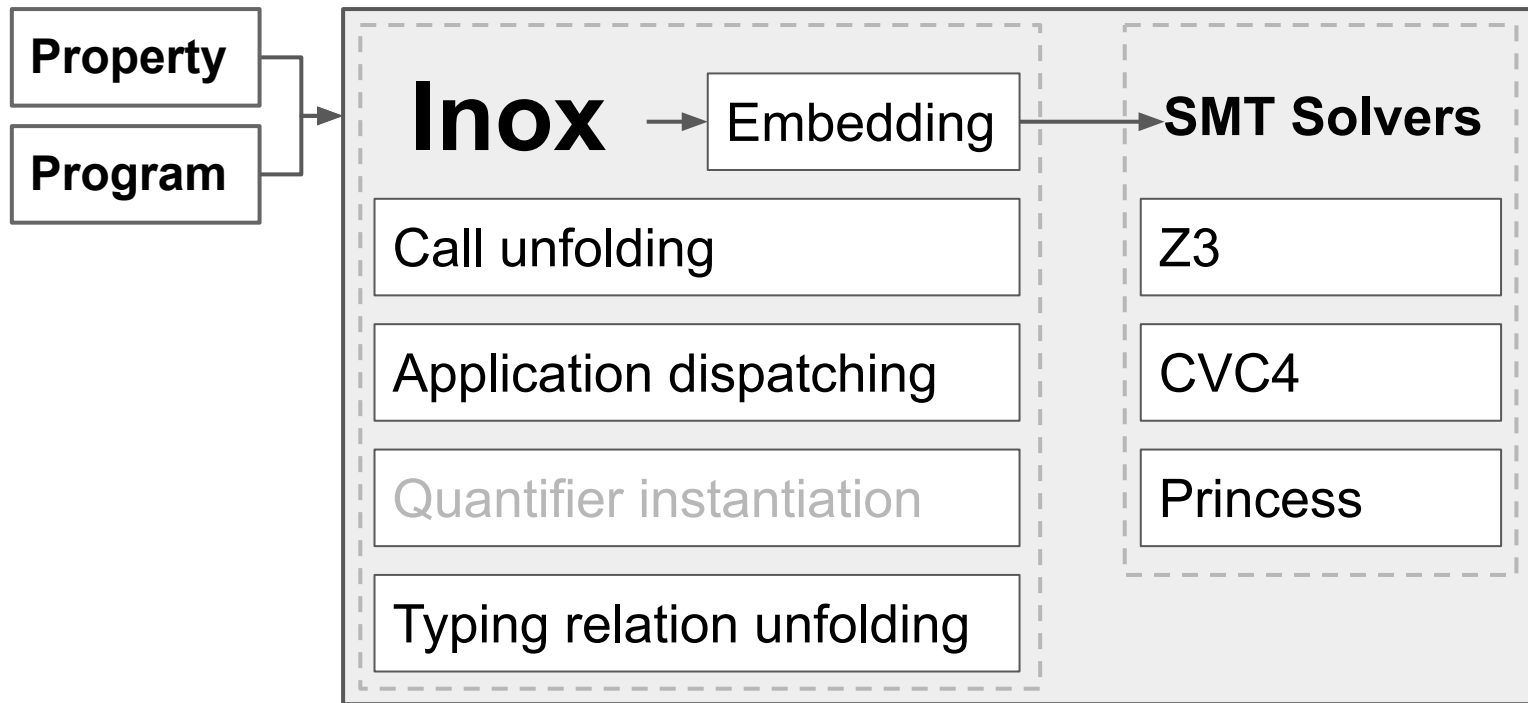
Proof

No c.ex. exists

Inox System



SMT-Backed Solving



SMT Embedding

Expression

$list == \text{Cons}(_, xs) \wedge \text{size}(xs) == 0$
 $\Rightarrow \text{size}(list) == 0$

Embedding

SMT constants

Propositional structure

Theory of equality

Theory of linear arithmetic

Theory of uninterpreted functions

Theory of algebraic datatypes

Formula

$list = \text{Cons}(x, xs) \wedge \text{size}(xs) = 0$
 $\Rightarrow \text{size}(list) = 0$

SMT Embedding Procedure



b : “blocker” SMT constant

t : encoded SMT term

e : expression to be embedded

Φ : clause set

Embedding is precise¹ wrt. operational semantics:

given $\mathbf{M} \models \Phi \cup \{\mathbf{b}\}$, we have $\mathbf{M}(e) \rightarrow^* \mathbf{M}(t)$

¹: modulo function calls and applications

SMT Embedding: Recursive Procedure

$$(b, e_1 + e_2) \longrightarrow \boxed{\text{Embedding}} \longrightarrow (t_1 + t_2, \Phi_1 \cup \Phi_2)$$

where

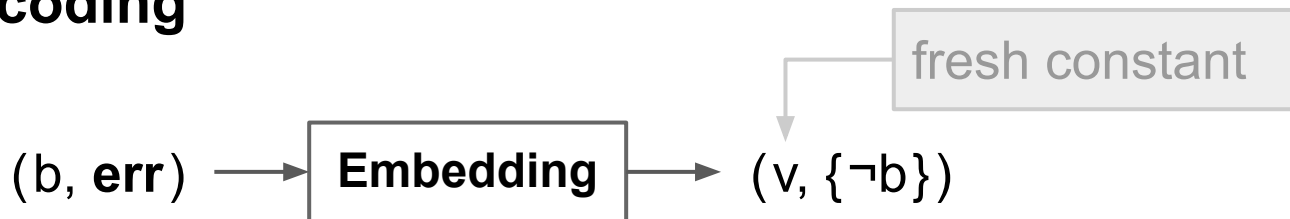
$$(b, e_1) \longrightarrow \boxed{\text{Embedding}} \longrightarrow (t_1, \Phi_1)$$

$$(b, e_2) \longrightarrow \boxed{\text{Embedding}} \longrightarrow (t_2, \Phi_2)$$

SMT Embedding: Blocker Constant

- Corresponds to the “path condition” of the expression
- b is **true** iff evaluation encounters the expression

Stuck term encoding



As expected, there is no model $\mathbf{M} \models \Phi \cup \{\neg b\}$ (since \mathbf{err} is stuck and will not evaluate to a value).

SMT Embedding: Branching

$$(b, \text{if } (c) e_1 \text{ else } e_2) \longrightarrow \boxed{\text{Embedding}} \longrightarrow (v, \Phi_c \cup \Phi_1 \cup \Phi_2 \cup \Phi_{\text{if}})$$

where

$$\begin{array}{l} (b, c) \longrightarrow \boxed{\text{Embedding}} \longrightarrow (t_c, \Phi_c) \\ (b_1, e_1) \longrightarrow \boxed{\text{Embedding}} \longrightarrow (t_1, \Phi_1) \\ (b_2, e_2) \longrightarrow \boxed{\text{Embedding}} \longrightarrow (t_2, \Phi_2) \end{array}$$

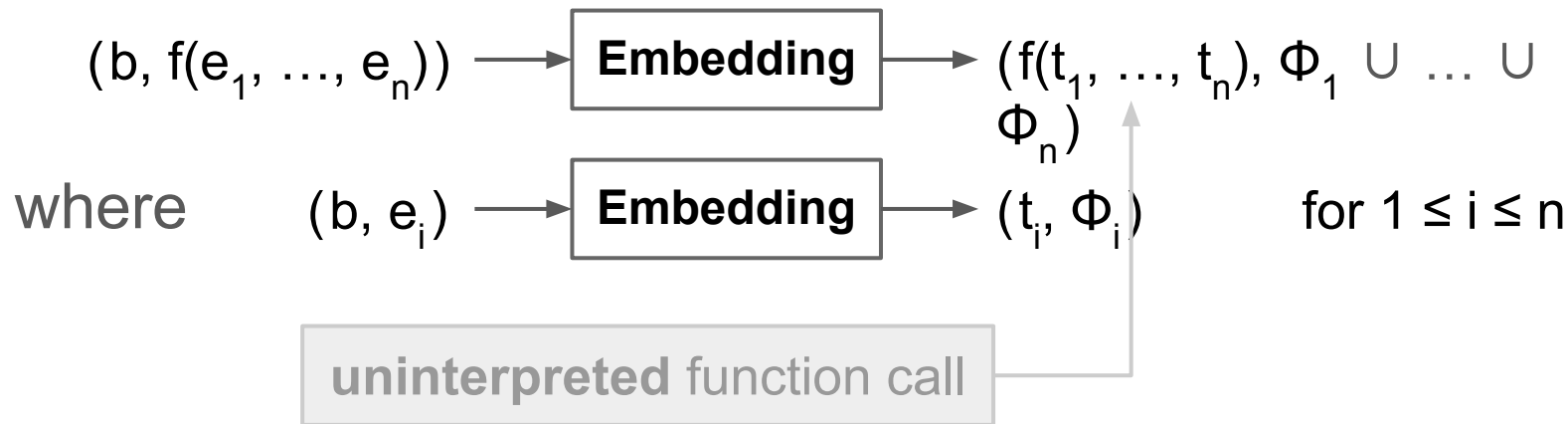
v, b_1, b_2 are fresh constants

$$\Phi_{\text{if}} = \left\{ \begin{array}{l} b \wedge t_c \Leftrightarrow b_1, \\ b_1 \Rightarrow v = t_1, \\ b \wedge \neg t_c \Leftrightarrow b_2, \\ b_2 \Rightarrow v = t_2 \end{array} \right\}$$

SMT Embedding: Exercise



SMT Embedding: Function Calls



Embedding is only an under-approximation!

SMT Embedding: Uninterpreted Function Calls

Program

```
sealed abstract class List
case class Cons(head: BigInt, tail: List) extends List
case class Nil() extends List

def size(list: List): BigInt = list match {
  case Cons(_, xs) => 1 + size(xs)
  case Nil()       => 0
}
```

$(b, \text{size}(xs) == 1)$



$(\text{size}(xs) = 1, \emptyset)$

Consider model $\mathbf{M} = \{b \mapsto \mathbf{true}, xs \mapsto \text{Nil}(), \text{size}(\text{Nil}()) \mapsto 1\}$

We have $\mathbf{M} \models \emptyset \cup \{b\}$ and $\mathbf{M} \models \text{size}(xs) = 1$,

but $\mathbf{M}(\text{size}(xs) == 1) \rightarrow^* \mathbf{false}$

Blocking Uninterpreted Function Calls

Observe blocker constants “encode” execution branching

Idea since function call embedding is not precise, block relevant branches by negating blocker constants

Consider expression e and fresh constant b with embedding (t, Φ) .

Given model $M \models \Phi \cup \{b\} \cup \{\neg b_c \mid b_c \text{ associated with function call in } e\}$,

M is such that $M(e) \rightarrow^* M(t)$.

Unfolding Function Calls

Program

```
sealed abstract class List
case class Cons(head: BigInt, tail: List) extends List
case class Nil() extends List

def size(list: List): BigInt = list match {
  case Cons(_, xs) => 1 + size(xs)
  case Nil()       => 0
}
```

$(b, \text{size}(xs) == 1)$



$(\text{size}(xs) = 1, \emptyset)$

- Embed body of size function with blocker constant **b**
- Substitute size function parameters with call arguments
- Allow models which satisfy **b**

Unfolding Function Calls: Example

size(l_0) > 0

$\wedge l_0 = \text{Cons}(_, t_1) \Rightarrow \text{size}(l_0) = 1 + \text{size}(t_1)$

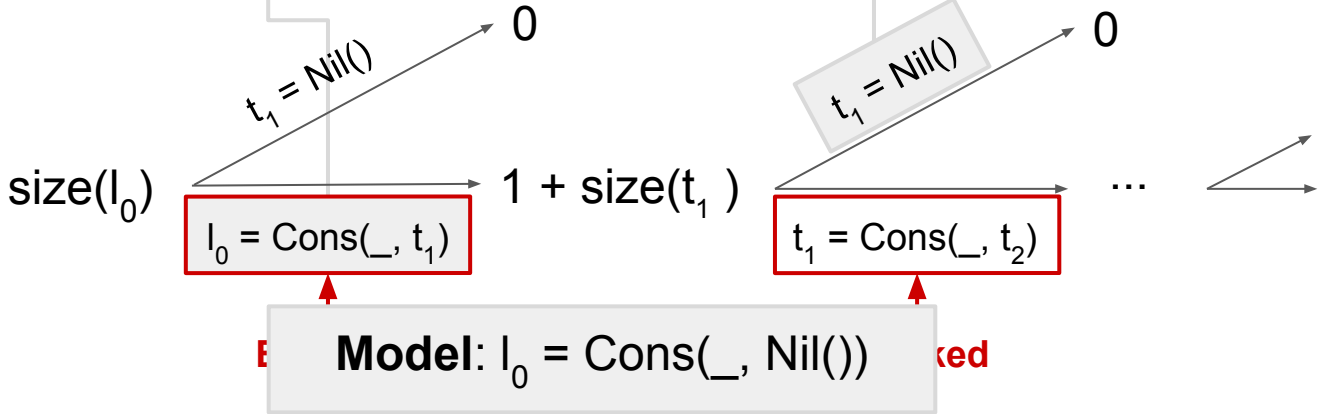
$\wedge t_1 = \text{Cons}(_, t_2) \Rightarrow \text{size}(t_1) = 1 + \text{size}(t_2)$

$\wedge l_0 = \text{Nil}() \Rightarrow \text{size}(l_0) = 0$

$\wedge t_1 = \text{Nil}() \Rightarrow \text{size}(t_1) = 0$

Formulas

Control Flow



Counterexample Finding Procedure

Given a property p

1. Compute embedding of (b, p) where b is a fresh constant: (t_p, Φ_p)
2. Let $\Phi := \Phi_p \cup \{ \neg t_p \} \cup \{ b \}$ and $F := \{ \text{all function calls in } p \}$
3. If there exists $M \models \Phi \cup \{ \neg b_c \mid b_c \text{ is a blocker for a call in } F \}$
then output the counterexample M
4. Compute unfolding Φ_f of some call $f \in F$
5. Let $\Phi := \Phi \cup \Phi_f$ and $F := F \setminus \{ f \} \cup \{ \text{all function calls in the unfolding of } f \}$
6. Return to step 3

Counterexample Finding: Guarantees

Counterexample finding is sound

Evaluation under reported counterexamples will terminate with **false**.

Counterexample finding is complete

If a counterexample exists, then the procedure will find one.

Counterexample Inexistence

Given a property p

1. Compute embedding of (b, p) where b is a fresh constant: (t_p, Φ_p)
2. Let $\Phi := \Phi_p \cup \{ \neg t_p \} \cup \{ b \}$ and $F := \{ \text{all function calls in } p \}$
3. If there exists no $M \models \Phi$
then output that no counterexample exists
4. If there exists $M \models \Phi \cup \{ \neg b_c \mid b_c \text{ is a blocker for a call in } F \}$
then output the counterexample M
5. Compute unfolding Φ_f of some call $f \in F$
6. Let $\Phi := \Phi \cup \Phi_f$ and $F := F \setminus \{ f \} \cup \{ \text{all function calls in the unfolding of } f \}$
7. Return to step 4

Counterexample Finding: Guarantees

Counterexample finding is sound

Evaluation under reported counterexamples will terminate with **false**.

Counterexample finding is complete

If a counterexample exists, then the procedure will find one.

Counterexample finding is sound for proofs

The procedure is correct when reporting that no counterexample exists.

Higher-Order Functions

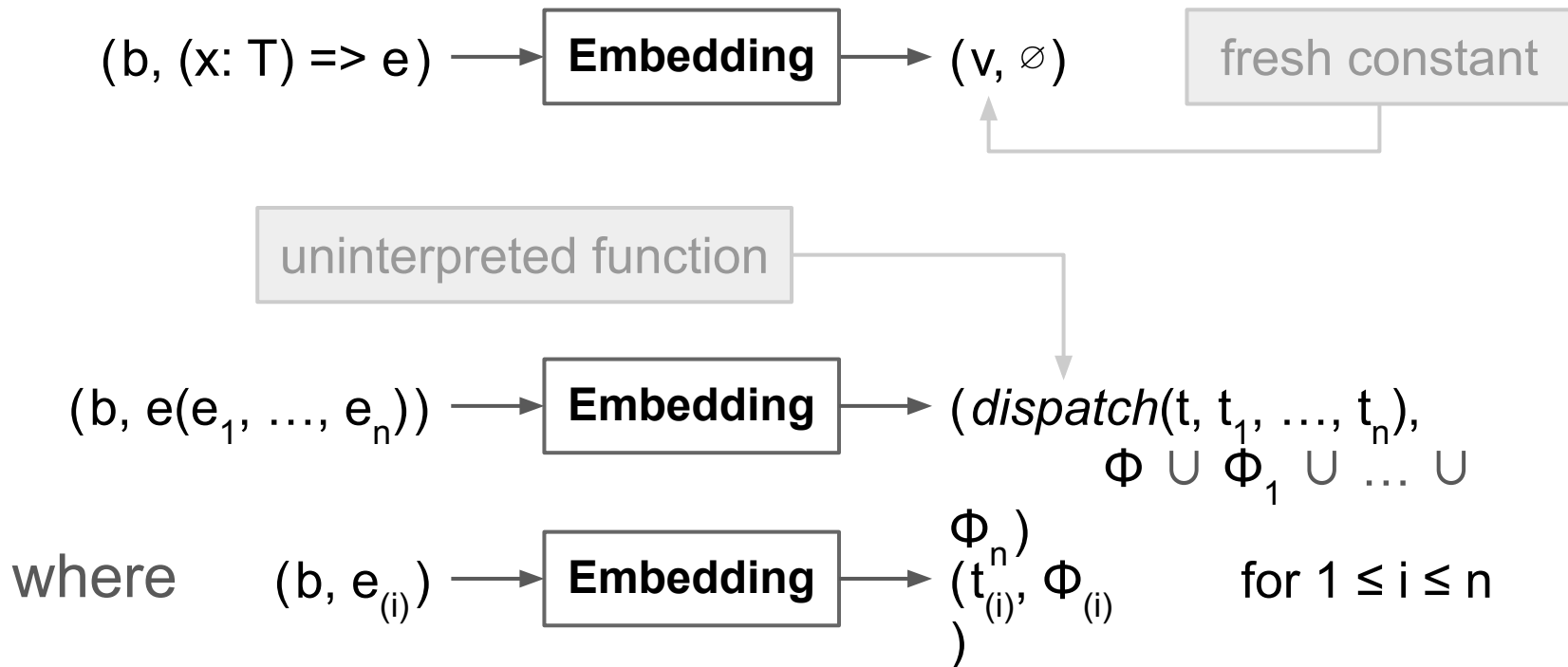
Consider the following (incorrect) definition of List exists:

```
def exists[T](list: List[T], p: T => Boolean): Boolean = list match {  
  case Cons(x, xs) => p(x) && exists(xs, p)  
  case Nil() => false  
}
```

How can we find a counterexample to the forall/exists correspondence

$$\text{exists(list, p)} == \text{!forall(list, x => !p(x))}$$

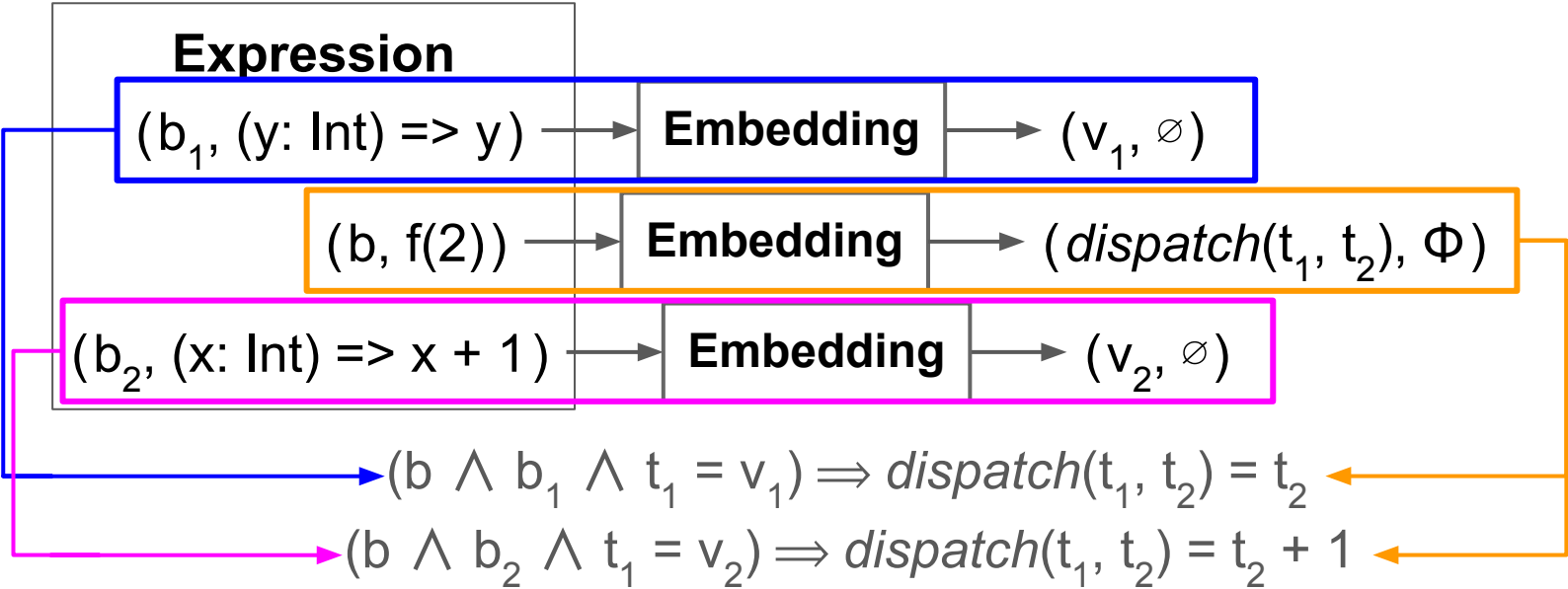
Higher-Order Functions: Embeddings



Higher-Order Functions: Unfolding

Challenge: we can't statically determine the lambda body to unfold.

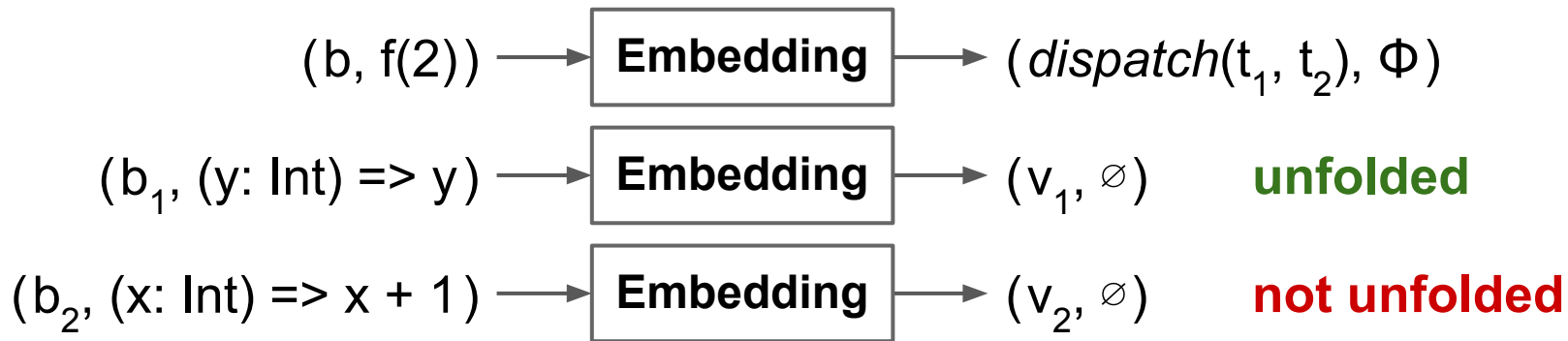
Solution: perform *dynamic dispatch* over the set of embedded lambdas.



Higher-Order Functions: Blocking

Challenge: we can't statically know when the *right* lambda was unfolded.

Solution: encode the *right unfolding* as a logical constraint.



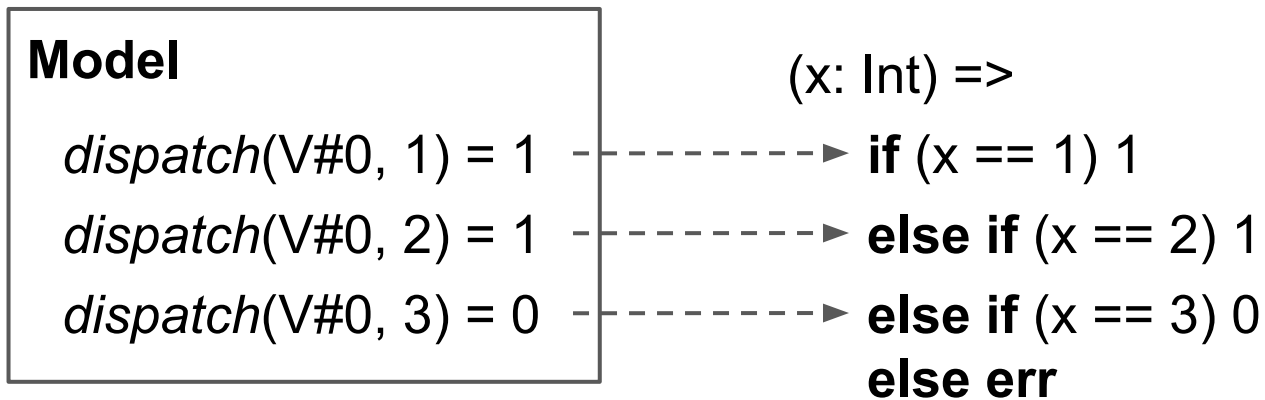
Constraint: $t_1 \in \{ \text{known lambdas} \} \setminus \{ \text{unfolded lambdas} \} \Rightarrow \neg b$

Propositional encoding: $((b_2 \wedge t_1 = v_2) \wedge \neg(b_1 \wedge t_1 = v_1)) \Rightarrow \neg b$

Extracting Counterexamples from Models



Idea: use interpretation of *dispatch* symbol



Dependent Types

Types that depend on values

- Refinement type: $\{ x: \tau \mid p[x] \}$
 - Even integers: $\{ x: \text{Int} \mid x \% 2 == 0 \}$
 - Sorted lists: $\{ l: \text{List}[\text{Int}] \mid \text{isSorted}(l) \}$
- Dependent function type: $(x: \tau_1) \Rightarrow \tau_2$ ¹
 - Increasing functions: $(x: \text{Int}) \Rightarrow \{ y: \text{Int} \mid y > x \}$
 - Proof of associativity:
 $(x: \text{Int}, y: \text{Int}, z: \text{Int}) \Rightarrow \{ u: \text{Unit} \mid f(x, f(y, z)) == f(f(x, y), z) \}$

¹: more commonly known as *Pi-type* ($\prod x: \tau_1. \tau_2$)

Embedding the Typing Relation

Idea: leverage types in the expression by embedding the typing relation



Embedding *under-approximates* the typing relation:

if there exists \mathbf{C} such that $\mathbf{C}(e) : \text{Type}$,

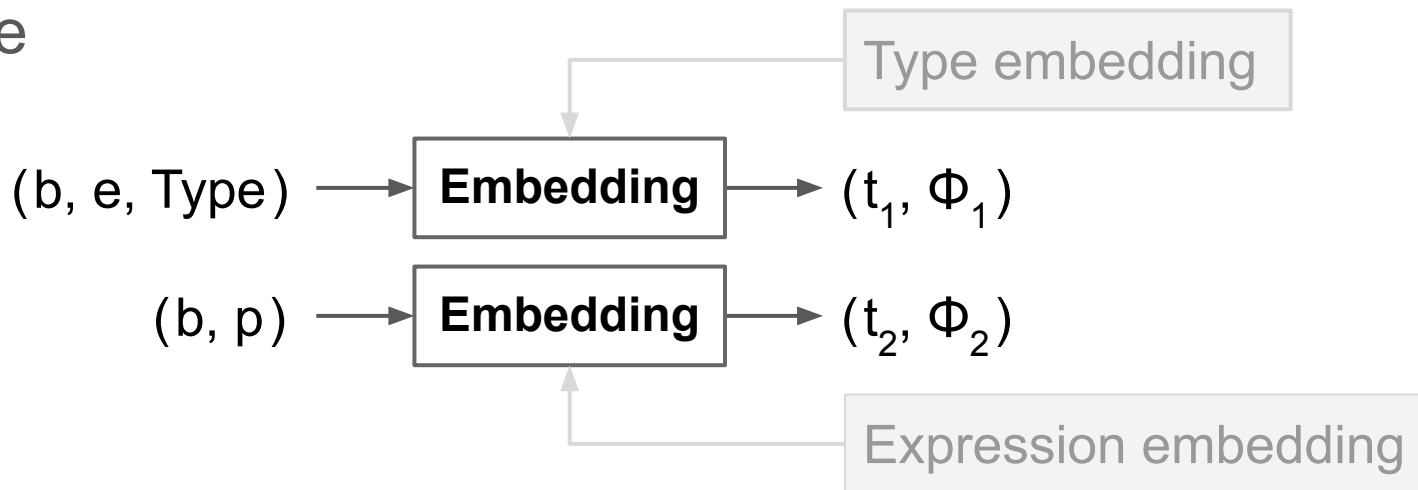
then there exists $\mathbf{M} \vDash \Phi \cup \{b, t\}$

The embedding can be used for proofs, but not counterexamples

Embedding Refinement Types

$(b, e, \{ x: \text{Type} \mid p \}) \longrightarrow \text{Embedding} \longrightarrow (t_1 \wedge t_2, \Phi_1 \cup \Phi_2)$

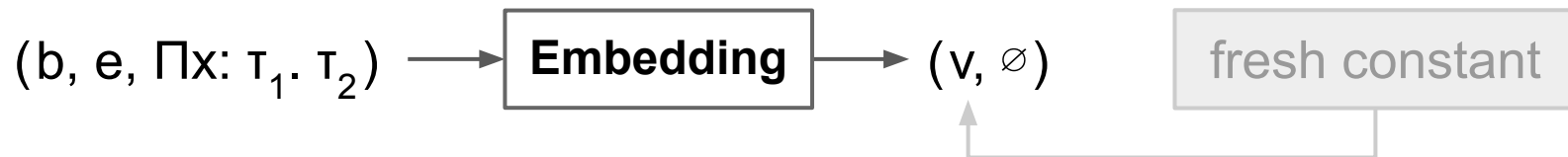
where



Embedding Sigma-types

Blackboard

Embedding Pi-types



Fresh constant guarantees **under-approximation**, but can we do better?

Yes: use similar approach to application dispatching

Blackboard

Theoretical Results

	First-Order	Higher-Order	Dependent Types
Sound for Counterexamples	Yes	Yes	No
Complete for Counterexamples	Yes	Yes	Yes
Sound for Proofs	Yes	Yes	Yes

System FR

Dependent type checking

Beyond Counterexamples

- Counterexample inexistence is not enough
 - Termination is not guaranteed
 - Crashes can still occur
- Beyond counterexample inexistence is verification
- Dependent type checking enables verification
 - Allows showing total correctness
 - Supports expressive specifications
 - Predictable process

Bidirectional Type Checking

Mutually recursive judgements:

- **type checking** judgement: $\Gamma \vdash e \Downarrow \tau$

$$\frac{\Gamma \vdash e._1 \Downarrow \tau_1 \quad \Gamma \vdash e._2 \Downarrow \tau_2 \{x \mapsto e._1\}}{\Gamma \vdash e \Downarrow \Sigma x: \tau_1. \tau_2}$$

- **type inference** judgement: $\Gamma \vdash e \Uparrow \tau$

$$\frac{\Gamma \vdash f \Uparrow \Pi x: \tau_1. \tau_2 \quad \Gamma \vdash e \Downarrow \tau_1}{\Gamma \vdash f(e) \Uparrow \tau_2 \{x \mapsto e\}}$$

Bidirectional Type Checking

Mutually recursive judgements:

- **type formation** judgement: $\Gamma \vdash \tau$ **type**

$$\frac{\Gamma \vdash \tau \text{ type} \quad \Gamma, x: \tau \vdash p \Downarrow \text{Boolean}}{\Gamma \vdash \{ x: \tau \mid p \} \text{ type}}$$

- **truth** judgement: $\Gamma \vdash p$ **true**

$$\frac{\Gamma \vdash p \Downarrow \text{Boolean} \quad p \text{ has no counterexample}}{\Gamma \vdash p \text{ true}}$$

System FR: Theoretical Result

Main theorem: if an expression type checks, then evaluation will terminate with a “good” value.

- Formalization of *typing rules* in Coq
- 20k lines of code
- Pen-and-paper proof of integration with Inox

Stainless

Verifying Scala programs

Verifying Scala Programs

Scala programs are **transformed** into the dependently typed language

- Inner class lifting
- Laws desugaring
- Method lifting
- Type encoding
- Imperative code elimination
- Inner function lifting
- Inlining / partial evaluation
- etc.

Laws - Ordering

There is an **implicit** contract on the Ordering type

```
/** ... some unchecked description of the Ordering contract ... */  
trait Ordering[T] {  
    def compare(x: T, y: T): Int  
}
```

Laws - Ordering

We can make this contract **explicit** with the `@law` annotation.

```
trait Ordering[T] {  
  def compare(x: T, y: T): Int  
  @law def inverse(x: T, y: T): Boolean =  
    sign(compare(x, y)) == -sign(compare(y, x))  
  @law def transitive(x: T, y: T, z: T): Boolean =  
    (compare(x, y) > 0 && compare(y, z) > 0) ==> (compare(x, z) > 0)  
  @law def consistent(x: T, y: T, z: T): Boolean =  
    (compare(x, y) == 0) ==> (sign(compare(x, z)) == sign(compare(y, z)))  
}
```

Laws - Ordering

We can make this contract **explicit** with the `@law` annotation.

```
trait Ordering[T] {
```

```
  trait Ordering[T] {
```

```
    def compare(x: T, y: T): Int
```

```
    def inverse(x: T, y: T): { u: Unit |
```

```
      sign(compare(x, y)) == -sign(compare(y, x)) }
```

```
    def transitive(x: T, y: T, z: T): { u: Unit |
```

```
      (compare(x, y) > 0 && compare(y, z) > 0) ==> (compare(x, z) > 0) }
```

```
    def consistent(x: T, y: T, z: T): { u: Unit |
```

```
      (compare(x, y) == 0) ==> (sign(compare(x, z)) == sign(compare(y, z))) }
```

```
}
```

```
}
```

Laws - Proofs

- Implementations provide proofs through overrides
 - Consistent with the expected type
 - Can be omitted when trivial
- Laws can be invoked when the property is needed for a proof
 - For example: inductive proofs
 - Enables effective *proof control*

Demo

Termination Checking

- Undecidable in **theory** (harder than the halting problem)
- But in **practice**, termination conditions are often simple
- Key idea: decreasing chains in well-founded domains
 - Well-founded domains have ordering relation
 - Well-founded domains have a minimal element

```
def fun(x: Type): Type = {  
    decreases(measure[x])  
    ...  
}
```

Decreasing Chains

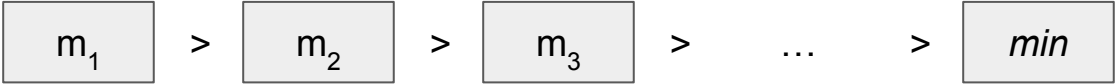
```
def fun(x: Type): Type = {  
  decreases(measure[x])  
  ... fun(e) ...  
}
```

measure[x] > measure[e]

Trace:



Measures:



$m_i = \text{measure}[v_i]$

Lexicographic Ordering

QuickSort Demo

Measure Inference

- Inferring **decreases** clauses allows automating termination checking
- Termination checker considers likely measures
 - Absolute value of integer arguments
 - Structural size of datatype arguments
 - Sum of multiple argument measures
 - Lexicographic order over multiple argument measures
 - Lexicographic order encoding of decrease over multiple calls
 - etc.