# Type Inference

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# Type inference

In a statically typed language, when a program expression type checks, we can usually assign a type to each of its parts.

- for some parts the type is given directly: types of symbols (propagated from declarations using type environment Γ)
- ▶ for other parts, the type is **inferred** so that the entire expression type checks

We can consider different type inference algorithms, even for the same type system.

Two examples:

- bottom up propagation of types
- Hindley-Milner constraint-based type inference

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

```
def message(s: String, verbose: Int) = {
  (if (verbose > 1) { print(s ) }
  else { print(".") })
}
```

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

```
def message(s: String, verbose: Int) = {
  (if (verbose: Int > 1) { print(s: String) }
  else { print(".") })
}
```

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

```
def message(s: String, verbose: Int) = {
  (if (verbose: Int > 1): Bool { print(s: String) }
  else { print(".") })
}
```

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

```
def message(s: String, verbose: Int) = {
  (if (verbose: Int > 1): Bool { print(s: String): Unit }
  else { print(".") })
}
```

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

```
def message(s: String, verbose: Int) = {
  (if (verbose: Int > 1): Bool { print(s: String): Unit }
  else { print("."): Unit})
}
```

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

```
def message(s: String, verbose: Int) = {
  (if (verbose: Int > 1): Bool { print(s: String): Unit }
  else { print("."): Unit}): Unit
}
```

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

```
def message(s: String, verbose: Int): Unit = {
  (if (verbose: Int > 1): Bool { print(s: String): Unit }
  else { print("."): Unit}): Unit
}
```

Require types of parameters to be declared Apply type system rules to compute the types of a tree node from the types of children

Gives recursive algorithm to compute types for all tree nodes (starting from leaves)

```
def message(s: String, verbose: Int): Unit = {
  (if (verbose: Int > 1): Bool { print(s: String): Unit }
  else { print("."): Unit}): Unit
}
```

Inferred types for sub-expressions and established that the program type checks.

start with a program (may or may not have type annotations)
def message(s , verbose ) =
 (if (verbose > 1) { print(s ) }
 else { print(".") })

start with a program (may or may not have type annotations)

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

> assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$ 

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ▶ assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
- generate constraints (equalities) between variables, according to type system rules

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def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ► assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
- generate constraints (equalities) between variables, according to type system rules
  - $\tau_v = Int, Int = Int, \tau_c = Bool$  (from \_>\_)

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ▶ assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
- generate constraints (equalities) between variables, according to type system rules
  - $\tau_v = Int, Int = Int, \tau_c = Bool$  (from \_>\_)  $\tau_s = String, \tau_1 = Unit$  (from first print)

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ► assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
- generate constraints (equalities) between variables, according to type system rules
  - $\begin{array}{l} \tau_v = Int, \ Int = Int, \ \tau_c = Bool \qquad (from \_>\_) \\ \tau_s = String, \ \tau_1 = Unit \qquad (from first print) \\ String = String, \ \tau_2 = Unit \qquad (from second print) \end{array}$

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ► assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
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  - $\begin{array}{ll} \tau_v = Int, \ Int = Int, \ \tau_c = Bool & (from \_>\_) \\ \tau_s = String, \ \tau_1 = Unit & (from first print) \\ \hline String = String, \ \tau_2 = Unit & (from second print) \\ \hline \tau_c = Bool, \ \tau_2 = \tau_1, \ \tau_0 = \tau_1 & (from if) \end{array}$

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def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
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- ▶ assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
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- solve constraints. Here, eliminate variables using "defining" equations:

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def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ▶ assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
- generate constraints (equalities) between variables, according to type system rules
  - $\begin{aligned} & \tau_v = Int, \ Int = Int, \ \tau_c = Bool & (from \_>\_) \\ & \tau_s = String, \ \tau_1 = Unit & (from first print) \\ & String = String, \ \tau_2 = Unit & (from second print) \\ & \tau_c = Bool, \ \tau_2 = \tau_1, \ \tau_0 = \tau_1 & (from if) \end{aligned}$
- solve constraints. Here, eliminate variables using "defining" equations:

• 
$$\tau_v = Int$$
,  $\tau_c = Bool$ ,  $\tau_s = String$ ,  $\tau_1 = Unit$ ,  $\tau_2 = Unit$ 

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ▶ assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
- generate constraints (equalities) between variables, according to type system rules
  - $\begin{array}{l} \tau_{v} = Int, \ Int = Int, \ \tau_{c} = Bool & (from \_>\_) \\ \tau_{s} = String, \ \tau_{1} = Unit & (from first print) \\ \hline String = String, \ \tau_{2} = Unit & (from second print) \\ \hline \tau_{c} = Bool, \ \tau_{2} = \tau_{1}, \ \tau_{0} = \tau_{1} & (from if) \end{array}$
- solve constraints. Here, eliminate variables using "defining" equations:

• 
$$\tau_v = Int$$
,  $\tau_c = Bool$ ,  $\tau_s = String$ ,  $\tau_1 = Unit$ ,  $\tau_2 = Unit$   
substituting  $\tau_1$  in  $\tau_0 = \tau_1$  gives:  $\tau_0 = Unit$ 

start with a program (may or may not have type annotations)

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

- ▶ assign type variables to denote types we need to infer  $(\tau_s, \tau_v, \tau_0, \tau_c, \tau_1, \tau_2)$
- generate constraints (equalities) between variables, according to type system rules
  - $\begin{array}{l} \tau_{v} = Int, \ Int = Int, \ \tau_{c} = Bool & (from \_>\_) \\ \tau_{s} = String, \ \tau_{1} = Unit & (from first print) \\ \hline String = String, \ \tau_{2} = Unit & (from second print) \\ \hline \tau_{c} = Bool, \ \tau_{2} = \tau_{1}, \ \tau_{0} = \tau_{1} & (from if) \end{array}$
- solve constraints. Here, eliminate variables using "defining" equations:

► 
$$\tau_v = Int$$
,  $\tau_c = Bool$ ,  $\tau_s = String$ ,  $\tau_1 = Unit$ ,  $\tau_2 = Unit$   
substituting  $\tau_1$  in  $\tau_0 = \tau_1$  gives:  $\tau_0 = Unit$ 

insert the inferred types into the syntax tree

From a type system rule to a constraint

#### From a type system rule to a constraint

```
def message(s:\tau_s, verbose:\tau_v):\tau_0 =
(if (verbose:\tau_v > 1):\tau_c { print(s:\tau_s):\tau_1 }
else { print("."):\tau_2}):\tau_0
```

generate constraints (equalities) between variables, according to type system rules

$$\begin{aligned} \tau_v &= Int, \ Int = Int, \ \tau_c = Bool & (from \_>\_) \\ \tau_s &= String, \ \tau_1 = Unit & (from first print) \\ String &= String, \ \tau_2 = Unit & (from second print) \\ \tau_c &= Bool, \ \tau_2 = \tau_1, \ \tau_0 = \tau_1 & (from if) \end{aligned}$$

$$\frac{\vdash b: Bool \quad \vdash t_1: \tau \quad \vdash t_2: \tau}{\vdash (\mathbf{if} \ (b) \ t_1 \ \mathbf{else} \ t_2): \tau} \quad \leadsto$$

$$\rightarrow \left| \frac{\vdash b: \tau_c \quad \vdash t_1: \tau_1 \quad \vdash t_2: \tau_2}{\vdash (\mathbf{if} \ (b) \ t_1 \ \mathbf{else} \ t_2): \tau_0} \tau_c = Bool, \tau_2 = \tau_1, \tau_0 = \tau_1 \right|$$

## Hindley-Milner type inference overview

Part of type systems of languages such as Haskell, ML, ocaml. Supports not only primitive types, but also generic structured types such as:  $Function[\tau_A, \tau_B], Pair[\tau_A, \tau_B], List[\tau_A].$ Type inference:

- 1. Use type variables (e.g.  $\tau_{v}$ ,  $\tau_{s}$ ) to denote unknown types
- 2. Use type checking rules to derive **constraints** among type variables (e.g., arguments have expected types)
- 3. Use a **unification algorithm** to solve the constraints  $List[\tau_A] = List[Int], Pair[Int, \tau_B] = Pair[\tau_A, Bool]$

Programs can often be as concise as in a dynamically typed language.

Type inference still catches meaningless programs: if the equations have no solution so the compiler reports a type error.

# Small language with tuples and functions

Types are:

- 1. primitive types: Int, Bool, String, Unit
- 2. type constructors:
  - Pair[A,B] or (A,B) denotes set of pairs
  - Function[A,B] or  $A \Rightarrow B$  denotes functions from A to B

Abstract syntax of types:

$$t := Int | Bool | String | Unit | (t_1, t_2) | (t_1 \Rightarrow t_2)$$

Terms include pairs and anonymous functions (x denotes variables, c literals):

$$t := x | c | f(t_1, \dots, t_n) | \text{if } (t) t_1 \text{ else } t_2 | (t_1, t_2) | (x \Rightarrow t)$$

Primitives P1,P2 for pair components, if t = (x, y) then P1(t) = x, P2(t) = y. We write them as in Scala:  $t._1 = P1(t)$  and  $t._2 = P2(t)$ For values and types, (x, y, z) is shorthand for (x, (y, z))



#### Rule for **if**:

$$\frac{\Gamma \vdash b : Bool \quad \Gamma \vdash t_1 : \tau \quad \Gamma \vdash t_2 : \tau}{\Gamma \vdash (\mathbf{if} \ (b) \ t_1 \ \mathbf{else} \ t_2) : \tau}$$

Rules for variables:

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau}$$

Rules for constants:

#### Rules for pairs

$$\frac{\Gamma \vdash t_1 : \tau_1 \quad \Gamma \vdash t_2 : \tau_2}{\Gamma \vdash (t_1, t_2) : (\tau_1, \tau_2)}$$

If the first component  $t_1$  has type  $\tau_1$  and the second component  $t_2$  has type  $\tau_2$  then the pair  $(t_1, t_2)$  has the type  $(\tau_1, \tau_2)$ .

$$\frac{\Gamma \vdash t : (\tau_1, \tau_2)}{\Gamma \vdash t . \_ 1 : \tau_1}$$
$$\frac{\Gamma \vdash t : (\tau_1, \tau_2)}{\Gamma \vdash t . \_ 2 : \tau_2}$$

### Functions of one argument

 $\frac{\Gamma \vdash f: \tau \Rightarrow \tau_0 \quad \Gamma \vdash t: \tau}{\Gamma \vdash f(t): \tau_0}$ 

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Why give rule for only one argument?

#### Functions of one argument

$$\frac{\Gamma \vdash f : \tau \Rightarrow \tau_0 \quad \Gamma \vdash t : \tau}{\Gamma \vdash f(t) : \tau_0}$$

Why give rule for only one argument? Note that  $\tau$  can be a tuple  $(\tau_1, ..., \tau_n)$ , so we can derive:

$$\frac{\Gamma \vdash t_1 : \tau_1 \dots \Gamma \vdash t_n : \tau_n \quad \Gamma \vdash f : (\tau_1, \dots, \tau_n) \Rightarrow \tau_0}{\Gamma \vdash (t_1, \dots, t_n) : (\tau_1, \dots, \tau_n) \quad \Gamma \vdash f : (\tau_1, \dots, \tau_n) \Rightarrow \tau_0}{\Gamma \vdash f((t_1, \dots, t_n)) : \tau_0}$$

$$\frac{\Gamma[x := \tau_1] \vdash t : \tau_2}{\Gamma \vdash (x \Rightarrow t) : (\tau_1 \Rightarrow \tau_2)}$$

What does this rule say?

$$\frac{\Gamma[x := \tau_1] \vdash t : \tau_2}{\Gamma \vdash (x \Rightarrow t) : (\tau_1 \Rightarrow \tau_2)}$$

What does this rule say?

Anonymous function  $x \Rightarrow t$  (maps value x to t), has a function type  $\tau_1 \Rightarrow \tau_2$ , where

$$\frac{\Gamma[x := \tau_1] \vdash t : \tau_2}{\Gamma \vdash (x \Rightarrow t) : (\tau_1 \Rightarrow \tau_2)}$$

What does this rule say?

Anonymous function  $x \Rightarrow t$  (maps value x to t), has a function type  $\tau_1 \Rightarrow \tau_2$ , where  $\tau_1$  is the type of x and  $\tau_2$  is the type of t.

$$\frac{\Gamma[x := \tau_1] \vdash t : \tau_2}{\Gamma \vdash (x \Rightarrow t) : (\tau_1 \Rightarrow \tau_2)}$$

What does this rule say?

Anonymous function  $x \Rightarrow t$  (maps value x to t), has a function type  $\tau_1 \Rightarrow \tau_2$ , where  $\tau_1$  is the type of x and  $\tau_2$  is the type of t.

Within t, there may be uses of x, which has some type  $\tau_1$ . This is why  $\Gamma$  is extended with binding of x to  $\tau_1$  when type checking t.

#### Example for type inference

Program without type annotations:

```
def translatorFactory(dx, dy) = {
    p \Rightarrow (p._1 + dx, p._2 + dy) // returns anonymous function
}
def upTranslator = translatorFactory(0, 100)
def test = upTranslator((3, 5)) // computes (3, 105)
```

Type inference can find types that correspond to this annotated program:

#### Example for type inference

Program without type annotations:

```
def translatorFactory(dx, dy) = {
    p \Rightarrow (p._1 + dx, p._2 + dy) // returns anonymous function
}
def upTranslator = translatorFactory(0, 100)
def test = upTranslator((3, 5)) // computes (3, 105)
```

Type inference can find types that correspond to this annotated program:

**def** translatorFactory(dx: Int, dy: Int): (Int,Int)  $\Rightarrow$  (Int,Int) = { p  $\Rightarrow$  (p.\_1 + dx, p.\_2 + dy) } **def** upTranslator : (Int,Int)  $\Rightarrow$  (Int,Int) = translatorFactory(0, 100) **def** test: (Int,Int) = upTranslator((3, 5)) Are the suggested types in this example correct?

def translatorFactory(dx: Int, dy: Int): (Int,Int)  $\Rightarrow$  (Int,Int) = {
 p  $\Rightarrow$  (p.\_1 + dx, p.\_2 + dy) }
def upTranslator : (Int,Int)  $\Rightarrow$  (Int,Int) = translatorFactory(0, 100)
def test: (Int,Int) = upTranslator((3, 5))

$$\Gamma \vdash p \Rightarrow (p.\_1 + dx, p.\_2 + dy) \quad : \quad (Int, Int) \Rightarrow (Int, Int)$$

#### From bottom up to Hindley-Milner type inference

**def** translatorFactory(dx: Int, dy: Int): (Int,Int)  $\Rightarrow$  (Int,Int) = { p  $\Rightarrow$  (p.\_1 + dx, p.\_2 + dy) } **def** upTranslator : (Int,Int)  $\Rightarrow$  (Int,Int) = translatorFactory(0, 100) **def** test: (Int,Int) = upTranslator((3, 5))

Example steps in type checking the body. Let  $\Gamma' = \Gamma[p := (Int, Int)]$ 

$$\frac{\Gamma' \vdash p.\_1: Int \quad \Gamma' \vdash dx: Int}{\Gamma' \vdash (p.\_1 + dx): Int \quad \dots}$$

$$\frac{\Gamma' \vdash (p.\_1 + dx, p.\_2 + dy): (Int, Int)}{\Gamma \vdash p \Rightarrow (p.\_1 + dx, p.\_2 + dy): (Int, Int) \Rightarrow (Int, Int)}$$

How did type inference discover dx: *Int*? We construct the derivation tree keeping type of dx symbolic until some derivation step tells us what it must be. Here, + expects two integers in  $p._1 + dx$ 

#### Generating Constraints During Type Inference

def translatorFactory(dx, dy) = {  

$$p \Rightarrow (p._1 + dx, p._2 + dy)$$
  
}

Let  $\Gamma_1 = \Gamma[p := \tau_p]$  where  $\tau_p$  is to be determined later

$$\begin{array}{c} \Gamma_1 \vdash p : \tau_p \quad \tau_p = (\tau_3, \tau_4) \\ \hline \Gamma_1 \vdash p \dots 1 : \tau_3 \quad \Gamma_1 \vdash dx : \tau_{dx} \quad \Gamma_1 \vdash + : (Int, Int) \rightarrow Int \\ \hline \Gamma_1 \vdash p \dots 1 + dx : \tau_1 \quad \tau_3 = Int, \ \tau_{dx} = Int, \ \tau_1 = Int \\ \hline \Gamma_1 \vdash (p \dots 1 + dx, p \dots 2 + dy) : \tau_r \quad \tau_r = (\tau_1, \tau_2) \\ \hline \Gamma \vdash (p \Rightarrow (p \dots 1 + dx, p \dots 2 + dy)) : \tau_{fun} \quad \tau_{fun} = \tau_p \Rightarrow \tau_r \end{array}$$

## Generating Constraints During Type Inference

def translatorFactory(dx, dy) = {  

$$p \Rightarrow (p._1 + dx, p._2 + dy)$$
  
}

Let  $\Gamma_{\!1} = \Gamma[{\it p} := \tau_{\it p}]$  where  $\tau_{\it p}$  is to be determined later

$$\begin{array}{c} \Gamma_1 \vdash p : \tau_p \quad \tau_p = (\tau_3, \tau_4) \\ \hline \Gamma_1 \vdash p.\_1 : \tau_3 \quad \Gamma_1 \vdash dx : \tau_{dx} \quad \Gamma_1 \vdash + : (Int, Int) \rightarrow Int \\ \hline \Gamma_1 \vdash p.\_1 + dx : \tau_1 \quad \tau_3 = Int, \ \tau_{dx} = Int, \ \tau_1 = Int \\ \hline \Gamma_1 \vdash (p.\_1 + dx, p.\_2 + dy) : \tau_r \quad \tau_r = (\tau_1, \tau_2) \\ \hline \Gamma \vdash (p \Rightarrow (p.\_1 + dx, p.\_2 + dy)) : \tau_{fun} \quad \tau_{fun} = \tau_p \Rightarrow \tau_r \end{array}$$

Analogously, for the second component of the pair, we derive  $\tau_2 = Int$ ,  $\tau_4 = Int$  on other branches of the derivation tree.

From these constraints it follows  $\tau_p = (Int, Int)$ ,  $\tau_r = (Int, Int)$  and

$$\tau_{fun} = (Int, Int) \Rightarrow (Int, Int)$$

#### Constraints

Generate fresh type variable for (in principle) each AST node. Collect these constraints:

$AST \ node$	node with type vars	constraint
f(t)	$(f:\tau_f)(t:\tau):\tau_0$	$\tau_f = (\tau \Rightarrow \tau_0)$
$x \Rightarrow t$	$((x:\tau_x) \Rightarrow (t:\tau_t)):\tau_{fun}$	$ au_{fun} = ( au_x \Rightarrow  au_t) \ (x,  au_x)$ added to $\Gamma'$ for $t$
$(t_1, t_2)$	$(t_1:  au_1, t_2:  au_2):  au$	$\tau = (\tau_1, \tau_2)$
t1	$(t: au)1: au_1$	$ au = ( au_1,  au_2) \hspace{0.2cm}  au_2$ is a fresh type variable
t2	$(t:\tau)$ 2: $\tau_2$	$ au = ( au_1,  au_2) \hspace{0.2cm}  au_1$ is a fresh type variable
x	$x: \tau_x$	$\Gamma(x) = \tau_x$
false	false : $ au$	au = Bool
true	true : $ au$	au = Bool
k	k : $ au$	au = Int
"····	"" : $ au$	au = String
(if $(b:\tau_b)$ $t_1:\tau_1$ else $t_2:\tau_2$ ): $\tau$		$ au= au_1, au= au_2, au_b=Bool$

## Summary of type inference

- 1. Introduce type variable for each tree node
- 2. For each tree node use type rules to derive constraints among the type variables
- 3. Solve the resulting set of equations on type variables

## Solving equations on simple types: unification (as in Prolog)

Types in equations have the following syntax:

 $t := \tau \mid Int \mid Bool \mid String \mid Unit \mid (t_1, t_2) \mid (t_1 \Rightarrow t_2)$ 

We assume that

- primitive types are disjoint and distinct from pairs and functions
- pairs and functions are always distinct
- two pairs are equal iff their corresponding component types are equal
- two functions are equal iff their argument and result types are equal ldea: eliminate variables, decompose pair and function equalities.

Algorithm works for any term algebra (algebra of syntactic terms)

- ▶ Pair[A,B] and Function[A,B] are two distinct binary term constructors
- Int, Bool, String are distinct nullary constructors

## Analogy: Solving Equations over Non-negative Integers

Use Gaussian elimination to solve the system of equations:

$$x + y + z = 5$$
$$x + 2y + z = 6$$
$$2x + y + 2z = 5$$

For example, we can express x and substitute:

$$\begin{array}{ll} x = 5 - y - z & x = 5 - y - z \\ (5 - y - z) + 2y + z = 6 & \text{i.e.,} & y = 1 \\ 2(5 - y - z) + y + z = 5 & y + z = 5 \end{array}$$

Here, y = 1, z = 4, x = 0 is unique solution.

There are systems with infinitely many solutions.

There are systems with no solutions.

Over non-negative integers, x = x + y + 1 has no solutions.

# Unification Algorithm

Applies the following rules as long as they change the current set of equations: (Let x denote a type variable and T a type term.) **Orient:** Replace T = x with x = T when x is not a type variable **Delete useless:** Remove T = T (both sides syntactically identical) **Eliminate:** Given x = T where T does not contain x, replace x with T in all remaining equations

**Occurs check:** Given x = T where T properly contains x, report clash (no solutions) **Decompose pairs:** Replace  $(T_1, T_2) = (T'_1, T'_2)$  with two equations:

$$T_1 = T_1'$$
 and  $T_2 = T_2'$ 

**Decompose functions:** Replace  $(T_1 \Rightarrow T_2) = (T'_1 \Rightarrow T'_2)$  with:

$$T_1 = T_1'$$
 and  $T_2 = T_2'$ .

**Decomposition clash (remaining cases):** Given equality where two sides start with different constructors report clash (no solution).

Examples: 
$$(T_1, T_2) = (T'_1 \Rightarrow T'_2)$$
,  $Int = (T_1, T_2)$ ,  $Int = Bool$ ,  $(T_1 \Rightarrow T_2) = String$ 

Franz Baader, Wayne Snyder: Unification Theory, In Handbook of Automated Reasoning, Chapter 8, Volume 1, MIT Press 2001.

## Properties of Unification

Algorithm always terminates.

Running time is linear given the right data structures and with lazy substitution of variables.

If it reports clash it means that equations have no solution (there exist no annotations that make program type check).

Otherwise, the equations have one or more solutions. Note that a variable that appears on left of equation does not appear on the right (else the eliminate rule would apply). Call a variable that only appears on the right a *parameter*.

If there are no parameters, there is exactly one solution. Otherwise, for each assignment of types to parameters we obtain a solution. Moreover, all solutions are obtained by instantiating parameters.

Therefore, the result of the unification algorithm describes all possible ways to assign simple types to the program.

Use the algorithm to infer the type of rightNest

Type variable for each sub-expression (same  $\tau_1$  for same expression, to keep it short)

$$\begin{pmatrix} ((t:\tau).\_1:\tau_1).\_1:\tau_2, \\ (((t:\tau).\_1:\tau_1).\_2:\tau_3, (t:\tau).\_2:\tau_4):\tau_5 \end{pmatrix}: \tau_6 \\ \hline \tau = (\tau_1,\tau_{10}) \\ \tau_1 = (\tau_2,\tau_{20}) \\ \tau_1 = (\tau_2,\tau_{20}) \\ \tau_1 = (\tau_{40},\tau_3) \\ \tau_1 = (\tau_{40},\tau_3) \\ \tau_5 = (\tau_3,\tau_4) \\ \tau_6 = (\tau_2,\tau_5) \end{pmatrix} \Rightarrow \begin{pmatrix} \tau = (\tau_1,\tau_{10}) \\ \tau_1 = (\tau_2,\tau_{20}) \\ (\tau_1,\tau_{10}) = (\tau_1,\tau_{30}) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_5 = (\tau_3,\tau_4) \\ \tau_6 = (\tau_2,\tau_5) \end{pmatrix} \Rightarrow \begin{pmatrix} \tau = (\tau_1,\tau_{10}) \\ \tau_1 = (\tau_2,\tau_{20}) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_5 = (\tau_3,\tau_4) \\ \tau_6 = (\tau_2,\tau_5) \end{pmatrix} \Rightarrow \begin{pmatrix} \tau = (\tau_1,\tau_{10}) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_5 = (\tau_3,\tau_4) \\ \tau_6 = (\tau_2,\tau_5) \end{pmatrix} \Rightarrow \begin{pmatrix} \tau = (\tau_1,\tau_{10}) \\ \tau_1 = (\tau_4,\tau_3) \\ \tau_5 = (\tau_3,\tau_4) \\ \tau_6 = (\tau_2,\tau_5) \end{pmatrix}$$

#### Applying Unification Rules Some More

$$\begin{vmatrix} \tau = (\tau_{1}, \tau_{10}) \\ \tau_{1} = (\tau_{2}, \tau_{20}) \\ \tau_{10} = \tau_{30} \\ \tau_{1} = (\tau_{40}, \tau_{3}) \\ (\tau_{1}, \tau_{10}) = (\tau_{50}, \tau_{4}) \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = (\tau_{1}, \tau_{10}) \\ \tau_{1} = (\tau_{2}, \tau_{20}) \\ \tau_{10} = \tau_{30} \\ \tau_{1} = (\tau_{40}, \tau_{3}) \\ \tau_{1} = \tau_{50}, \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{20}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{20}) \\ \tau_{30} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{5} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{20}), \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{20}) \\ \tau_{30} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{20}), \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{20}) \\ \tau_{30} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{20}), \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{20}) \\ \tau_{30} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{20}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = (\tau_{2}, \tau_{2}) \\ \tau_{1} = (\tau_{2}, \tau_{2}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = (\tau_{2}, \tau_{2}) \\ \tau_{1} = (\tau_{2}, \tau_{2}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = (\tau_{1}, \tau_{1}) \\ \tau_{1} = (\tau_{2}, \tau_{2}), \tau_{1} = \tau_{1} \\$$

⇒

#### And More

$$\begin{vmatrix} \tau = ((\tau_{2}, \tau_{3}), \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{3}) \\ \tau_{30} = \tau_{4} \\ \tau_{2} = \tau_{40}, \tau_{20} = \tau_{3} \\ \tau_{50} = (\tau_{2}, \tau_{3}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{3}), \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{3}) \\ \tau_{30} = \tau_{4} \\ \tau_{40} = \tau_{2}, \tau_{20} = \tau_{3} \\ \tau_{50} = (\tau_{2}, \tau_{3}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{3}), \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{3}), \tau_{1} \\ \tau_{30} = \tau_{4} \\ \tau_{40} = \tau_{2}, \tau_{20} = \tau_{3} \\ \tau_{50} = (\tau_{2}, \tau_{3}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix} \Rightarrow \begin{vmatrix} \tau = ((\tau_{2}, \tau_{3}), \tau_{4}) \\ \tau_{1} = (\tau_{2}, \tau_{3}), \tau_{4} \\ \tau_{1} = (\tau_{2}, \tau_{3}), \tau_{4} \\ \tau_{1} = (\tau_{2}, \tau_{3}), \tau_{10} = \tau_{4} \\ \tau_{5} = (\tau_{3}, \tau_{4}) \\ \tau_{6} = (\tau_{2}, \tau_{5}) \end{vmatrix}$$

No more rule applies. Variables on

- right-hand sides:  $\tau_2, \tau_3, \tau_4$
- left-hand sides: all others

The argument type is  $\tau = ((\tau_2, \tau_3), \tau_4)$ The result type is  $\tau_6 = (\tau_2, (\tau_3, \tau_4))$ So, rightNest has type  $((\tau_2, \tau_3), \tau_4) \rightarrow (\tau_2, (\tau_3, \tau_4))$ The types  $\tau_2, \tau_3, \tau_4$  can be picked arbitrarily—there are infinitely many solutions.

## Adding Constraints for Function Call

We have:

$$\mathsf{rightNest}:((\tau_2,\tau_3),\tau_4) \!\Rightarrow\! (\tau_2,(\tau_3,\tau_4))$$

Given a call rightNest(((1, 2), 3)), we add constraints equivalent to

 $(\tau_2, \tau_3), \tau_4) = ((Int, Int), Int)$ 

Thus we conclude  $\tau_2 = Int$ ,  $\tau_3 = Int$ ,  $\tau_4 = Int$ . Given that

*rightNest*(((1,2),3)):  $(\tau_2, (\tau_3, \tau_4))$ 

we conclude

#### What happens in this case?

$$(\tau_2, \tau_3), \tau_4) = ((Int, Int), Int)$$
 because of test1  
 $(\tau_2, \tau_3), \tau_4) = ((Bool, Bool), Bool)$  because of test2

which implies Int = Bool and is contradictory.

Program fails to type check because the argument type of t becomes equal to both Int and Bool, which is inconsistent.

This is a pity, because we could copy rightNest into rightNest2 with the same body as rightNest, then call rightNest2((false, true), false), and everything would work. But the new program executes the same as old.

More flexibility through generalization

```
def rightNest(t) = {
  (t._1._1, (t._1._2, t._2))
}
def test1 = rightNest(((1, 2), 3))
def test2 = rightNest((false , true), false)
```

After completing the inference for rightNest, first generalize its free type variables into a variable schema:

$$\forall a, b, c. ((a, b), c)) \rightarrow (a, (b, c))$$

Then, each time we use the function, replace quantified variables with fresh variables. Use in test1:

$$((a_1, b_1), c_1)) \rightarrow (a_1, (b_1, c_1))$$

 $a_1 = Int$ ,  $b_1 = Int$ ,  $c_1 = Int$ Use in test2:

$$((a_2, b_2), c_2)) \rightarrow (a_2, (b_2, c_2))$$

 $a_2 = Bool, \ b_2 = Bool, \ c_2 = Bool$ 

More flexibility through generalization

```
def rightNest(t) = {
  (t._1._1, (t._1._2, t._2))
}
def test1 = rightNest(((1, 2), 3))
def test2 = rightNest((false , true), false)
```

With this new approach, the program type checks and its types are inferred as follows:

```
def rightNest[A,B,C](t : ((A, B), C)) : (A, (B, C)) = {
  (t._1._1, (t._1._2, t._2))
}
```

def test1 : (Int, (Int, Int)) =
 rightNest[Int, Int, Int](((1, 2), 3))

def test2 : (Bool, (Bool, Bool))=
 rightNest[Bool,Bool, Bool]((false , true), false)