

Expressive Power of Automata

For which of the following languages can you find an automaton or regular expression:

- Sequence of open or closed parentheses of even length? E.g. (), ((,)),)(())(, ...
- as many digits before as after decimal point?
- Sequence of balanced parentheses
 - ((()) ()) - balanced
 - ()) (() - not balanced
- Comments from // until LF
- Nested comments like /* ... /* */ ... */

Expressive Power of Automata

For which of the following languages can you find an automaton or regular expression:

- Sequence of open or closed parentheses of even length? E.g. (), ((,)),)(((, ... yes

- as many digits before as after decimal point? No

- Sequence of balanced parentheses

((()) ()) - balanced

()) (() - not balanced

No

- Comments from // until LF Yes

- Nested comments like /* ... /* */ ... */ No

Automaton that Claims to Recognize $\{ a^n b^n \mid n \geq 0 \}$

Make the automaton deterministic

Let the resulting DFA have K states, $|Q|=K$

Feed it a, aa, aaa, Let q_i be state after reading a^i

$$q_0, q_1, q_2, \dots, q_K$$

This sequence has length K+1 \rightarrow a state must repeat

$$q_i = q_{i+p} \quad p > 0$$

Then the automaton should accept $a^{i+p}b^{i+p}$.

But then it must also accept

$$a^i b^{i+p}$$

because it is in state after reading a^i as after a^{i+p} .

So it does not accept the given language.

Limitations of Regular Languages

- Every automaton can be made deterministic
- Automaton has finite memory, cannot count
- Deterministic automaton from a given state behaves always the same
- If a string is too long, deterministic automaton will repeat its behavior

Pumping Lemma

If L is a regular language, then there exists a positive integer p (the pumping length) such that every string $s \in L$ for which $|s| \geq p$, can be partitioned into three pieces, $s = x y z$, such that

- $|y| > 0$
- $|xy| \leq p$
- $\forall i \geq 0. xy^iz \in L$

Let's try again: $\{ a^n b^n \mid n \geq 0 \}$

Finite State Automata are Limited

Let us use (context-free) **grammars!**

Context Free Grammar for $a^n b^n$

- $S ::= \varepsilon$ - first rule of this grammar
- $S ::= a S b$ - second rule of this grammar.

Example of a derivation

$S \Rightarrow aSb \Rightarrow a aSb b \Rightarrow aa aSb bb \Rightarrow aaabbbb$

Parse tree: leaves give us the result

Context-Free Grammars

$G = (A, N, S, R)$

- A - **terminals** (alphabet for generated words $w \in A^*$)
- N - **non-terminals** – symbols with (recursive) definitions
- Grammar **rules** in R are pairs (n, v) , written
 $n ::= v$ where
 $n \in N$ is a non-terminal
 $v \in (A \cup N)^*$ - **sequence** of terminals and non-terminals

A derivation in G starts from the **starting symbol** S

- Each step replaces a non-terminal with one of its right hand sides

Example from before: $G = (\{a, b\}, \{S\}, S, \{(S, \epsilon), (S, aSb)\})$

Parse Tree

Given a grammar $G = (A, N, S, R)$, t is a **parse tree** of G iff t is a node-labelled tree with ordered children that satisfies:

- root is labeled by S
- leaves are labelled by elements of A
- each non-leaf node is labelled by an element of N
- for each non-leaf node labelled by n whose children left to right are labelled by $p_1 \dots p_n$, we have a rule $(n ::= p_1 \dots p_n) \in R$

Yield of a parse tree t is the unique word in A^* obtained by reading the leaves of t from left to right

Language of a grammar G = words of all yields of parse trees of G

$$L(G) = \{\text{yield}(t) \mid \text{isParseTree}(G, t)\}$$

$$w \in L(G) \iff \exists t. w = \text{yield}(t) \wedge \text{isParseTree}(G, t)$$

isParseTree - **easy** to check condition, given t

Harder: know if for a word there **exists** a parse tree

Grammar Derivation

A **derivation** for G is any sequence of words $p_i \in (A \cup N)^*$, whose:

- first word is S
- each subsequent word is obtained from the previous one by replacing one of its letters by right-hand side of a rule in R :

$$p_i = unv, \quad (n ::= q) \in R,$$

$$p_{i+1} = uqv$$

- Last word has only letters from A

Each parse tree of a grammar has one or more derivations, which result in expanding tree gradually from S

- Different orders of expanding non-terminals may generate the same tree
- Leftmost derivation: always expands leftmost non-terminal
 - Rightmost derivation: always expands rightmost non-terminal

Remark

We abbreviate

$$S ::= p$$
$$S ::= q$$

as

$$S ::= p \mid q$$

Example: Parse Tree vs Derivation

Consider this grammar $G = (\{a,b\}, \{S,P,Q\}, S, R)$ where R is:

$S ::= PQ$

$P ::= a \mid aP$

$Q ::= \varepsilon \mid aQb$

Show a parse tree for `aaaabb`

Show at least two derivations that correspond to that tree.

Balanced Parentheses Grammar

Consider the language L consisting of precisely those words consisting of parentheses “(” and “)” that are balanced (each parenthesis has the matching one)

- Example sequence of parentheses

((()) ()) - balanced, belongs to the language

()) (() - not balanced, does not belong

Exercise: give the grammar and example derivation for the first string.

Balanced Parentheses Grammar

$G_1 \quad S ::= \varepsilon \mid S(S)S$

$G_2 \quad S ::= \varepsilon \mid (S)S$

$G_3 \quad S ::= \varepsilon \mid S(S)$

$G_4 \quad S ::= \varepsilon \mid S S \mid (S)$

These all define the same language, the language of balanced parentheses.