

# Conversion to Chomsky Normal Form (CNF)

Steps: (not in the optimal order)

- remove unproductive symbols
- remove unreachable symbols
- remove epsilons (no non-start nullable symbols)
- remove single non-terminal productions  
(unit productions)  $X ::= Y$
- reduce arity of every production to less than two
- make terminals occur alone on right-hand side

# 1) Unproductive non-terminals

What is funny about this grammar:

$stmt ::= identifier := identifier$

$| while (expr) stmt$

$| if (expr) stmt else stmt$

$expr ::= term + term | term - term$

$term ::= factor * factor$

$factor ::= ( expr )$

There is no derivation of a sequence of tokens from  $expr$

In every step will have at least one  $expr$ ,  $term$ , or  $factor$

If it cannot derive sequence of tokens we call it *unproductive*

# 1) Unproductive non-terminals

Productive symbols are obtained using these two rules (what remains is unproductive)

- Terminals are productive
- If  $X ::= s_1 s_2 \dots s_n$  is a rule and each  $s_i$  is productive then  $X$  is productive

Delete unproductive symbols.

The language recognized by the grammar will not change

## 2) Unreachable non-terminals

What is funny about this grammar with start symbol 'program'

program ::= stmt | stmt program

stmt ::= assignment | whileStmt

assignment ::= expr = expr

**ifStmt** ::= if (expr) stmt else stmt

whileStmt ::= while (expr) stmt

expr ::= identifier

No way to reach symbol 'ifStmt' from 'program'

Can we formulate rules for reachable symbols ?

## 2) Unreachable non-terminals

Reachable terminals are obtained using the following rules (the rest are unreachable)

-starting non-terminal is reachable (program)

-If  $X ::= s_1 s_2 \dots s_n$  is rule and  $X$  is reachable then

every non-terminal in  $s_1 s_2 \dots s_n$  is reachable

Delete unreachable nonterminals and their productions

### 3) Removing Empty Strings

Ensure only top-level symbol can be nullable

program ::= stmtSeq

stmtSeq ::= stmt | stmt ; stmtSeq

stmt ::= "" | assignment | whileStmt | blockStmt

blockStmt ::= { stmtSeq }

assignment ::= expr = expr

whileStmt ::= while (expr) stmt

expr ::= identifier

How to do it in this example?

### 3) Removing Empty Strings - Result

```
program ::= "" | stmtSeq
stmtSeq ::= stmt | stmt ; stmtSeq |
           | ; stmtSeq | stmt ; | ;
stmt ::= assignment | whileStmt | blockStmt
blockStmt ::= { stmtSeq } | { }
assignment ::= expr = expr
whileStmt ::= while (expr) stmt
whileStmt ::= while (expr)
expr ::= identifier
```

# 3) Removing Empty Strings - Algorithm

$O(2^n)$



### 3) Removing Empty Strings

- Since `stmtSeq` is nullable, the rule

`blockStmt ::= { stmtSeq }`

gives

`blockStmt ::= { stmtSeq } | { }`

- Since `stmtSeq` and `stmt` are nullable, the rule

`stmtSeq ::= stmt | stmt ; stmtSeq`

gives

`stmtSeq ::= stmt | stmt ; stmtSeq  
| ; stmtSeq | stmt ; | ;`

## 4) Eliminating unit productions

- Single production is of the form

$X ::= Y$

where  $X, Y$  are non-terminals

$\text{program} ::= \text{stmtSeq}$

$\text{stmtSeq} ::= \text{stmt}$

$\quad \quad \quad | \text{stmt} ; \text{stmtSeq}$

$\text{stmt} ::= \text{assignment} | \text{whileStmt}$

$\text{assignment} ::= \text{expr} = \text{expr}$

$\text{whileStmt} ::= \text{while} (\text{expr}) \text{stmt}$

# 4) Unit Production Elimination Algorithm

- If there is a unit production  
 $X ::= Y$  put an edge  $(X, Y)$  into graph
- If there is a path from  $X$  to  $Z$  in the graph, and there is rule  $Z ::= s_1 s_2 \dots s_n$  then add rule  
 $X ::= s_1 s_2 \dots s_n$

At the end, remove all unit productions.

## 4) Eliminate unit productions - Result

program ::= expr = expr | while (expr) stmt  
          | stmt ; stmtSeq

stmtSeq ::= expr = expr | while (expr) stmt  
          | stmt ; stmtSeq

stmt ::= expr = expr | while (expr) stmt

assignment ::= expr = expr

whileStmt ::= while (expr) stmt

## 5) Reducing Arity:

No more than 2 symbols on RHS

$stmt ::= \text{while } (expr) \text{ stmt}$

becomes

$stmt ::= \text{while } stmt_1$

$stmt_1 ::= ( stmt_2$

$stmt_2 ::= expr \text{ stmt}_3$

$stmt_3 ::= ) \text{ stmt}$

## 6) A non-terminal for each terminal

$stmt ::= \text{while } (expr) \text{ stmt}$

becomes

$stmt ::= N_{\text{while}} stmt_1$

$stmt_1 ::= N_{(} stmt_2$

$stmt_2 ::= expr stmt_3$

$stmt_3 ::= N_{)} stmt$

$N_{\text{while}} ::= \text{while}$

$N_{(} ::= ($

$N_{)} ::= )$

# Order of steps in conversion to CNF

1. remove unproductive symbols (optional)
  2. remove unreachable symbols (optional)
  3. make terminals occur alone on right-hand side
  4. Reduce arity of every production to  $\leq 2$
  5. remove epsilons
  6. remove unit productions  $X ::= Y$
  7. unproductive symbols
  8. unreachable symbols
- What if we swap the steps 4 and 5 ?
- Potentially exponential blow-up in the # of productions

# Ordering of Unreachable / Unproductive symbols

First Unreachable then Unproductive

$S := B C \mid \text{""}$

$C := D$

$D := a$

$R := r$

$S := B C \mid \text{""}$

$C := D$

$D := a$

$S := \text{""}$

$C := D$

$D := a$

First Unproductive then Unreachable

$S := B C \mid \text{""}$

$C := D$

$D := C$

$R := r$

$S := \text{""}$

$C := D$

$D := a$

$R := r$

$S := \text{""}$



# Alternative

We need not go all the way to Chomsky form  
it is possible to directly parse arbitrary grammar

Key steps: (not in the optimal order)

- reduce arity of every production to less than two  
(otherwise, worse than cubic in string input size)

Can be less efficient in grammar size, but still works

A well-known algorithm for arbitrary grammars:

Earley's parsing algorithm