Types for Positive and Negative Ints	
$Int = \{, -2, -1, 0, 1, 2, \}$ $Pos = \{ 1, 2, \}$ (not including zero) $Neg = \{, -2, -1 \}$ (not including zero)	
types: Pos <: Int Neg <: Int	sets: Pos $\subseteq$ Int Neg $\subseteq$ Int
$\frac{\Gamma \vdash x: \operatorname{Pos} \qquad \Gamma \vdash y: \operatorname{Pos}}{\Gamma \vdash x + y: \operatorname{Pos}}$	$\begin{array}{cc} x \in Pos & y \in Pos \\ \hline x + y \in Pos \end{array}$
$\frac{\Gamma \vdash x: \operatorname{Pos}  \Gamma \vdash y: \operatorname{Neg}}{\Gamma \vdash x * y: \operatorname{Neg}}$	$\begin{array}{ccc} x \in Pos & y \in Neg \\ \hline x & * & y \in Neg \end{array}$
$\frac{\Gamma \vdash x: \text{ Pos} \qquad \Gamma \vdash y: \text{ Pos}}{\Gamma \vdash x \ / \ y: \text{ Pos}}$	$ \begin{array}{cc} x \in Pos & y \in Pos \\ \hline x \ / \ y \in Pos & \text{(y not zero)} \end{array} \end{array} $

#### **More Rules**

$$\frac{\Gamma \vdash x: \text{ Neg } \qquad \Gamma \vdash y: \text{ Neg }}{\Gamma \vdash x * y: \text{ Pos}}$$

$$\frac{\Gamma \vdash x: \text{ Neg } \qquad \Gamma \vdash y: \text{ Neg }}{\Gamma \vdash x + y: \text{ Neg }}$$

More rules for division? $\Gamma \vdash x: Neg$  $\Gamma \vdash y: Neg$  $\Gamma \vdash x: Pos$  $\Gamma \vdash y: Pos$  $\Gamma \vdash x: Pos$  $\Gamma \vdash y: Neg$  $\Gamma \vdash x: Int$  $\Gamma \vdash y: Neg$  $\Gamma \vdash x: Int$  $\Gamma \vdash y: Neg$ 

 $\Gamma \vdash \mathbf{x} / \mathbf{y}$ : Int

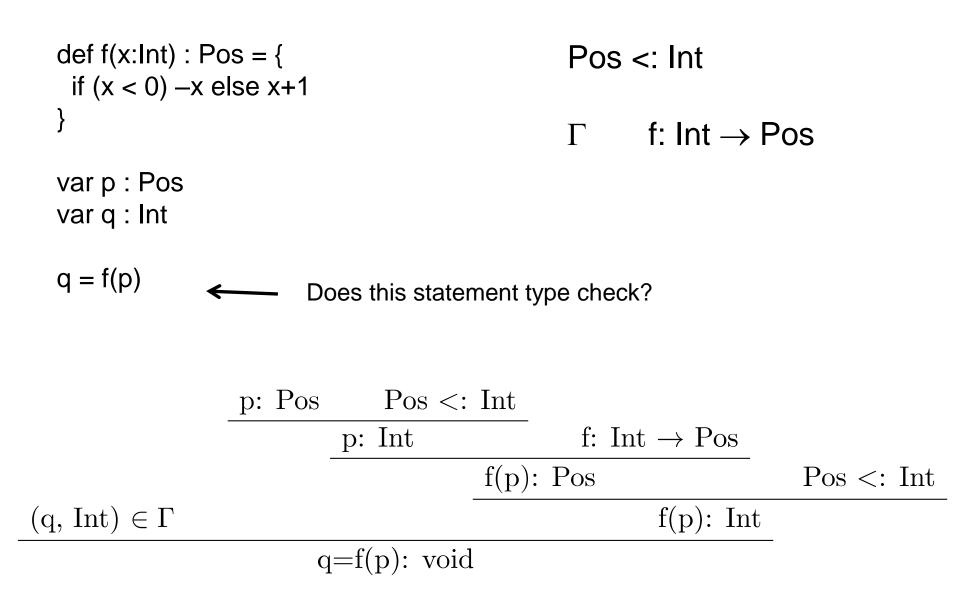
## Making Rules Useful

• Let x be a variable

$$\begin{array}{c|c} \Gamma \vdash x: \ \mathrm{Int} & \Gamma \oplus \{(x, Pos)\} \vdash e_1: T & \Gamma \vdash e_2: T \\ \hline \Gamma \vdash (\mathrm{if} \ (x > 0) \ e_1 \ \mathrm{else} \ e_2): \ \mathrm{T} \\ \hline \end{array} \\ \hline \frac{\Gamma \vdash x: \ \mathrm{Int} & \Gamma \vdash e_1: T & \Gamma \oplus \{(x, Neg)\} \vdash e_2: T \\ \hline \Gamma \vdash (\mathrm{if} \ (x >= 0) \ e_1 \ \mathrm{else} \ e_2): \ \mathrm{T} \\ \hline \\ \text{var } x: \ \mathrm{Int} \\ \text{var } y: \ \mathrm{Int} \\ \text{if } (y > 0) \\ \text{if } (x > 0) \\ \text{var } z: \ \mathrm{Pos} = x * y \\ \text{res} = 10 / z \end{array}$$

$$\begin{array}{c} \text{type system proves: no division by zero} \end{array}$$

## Subtyping Example



## Using Subtyping

```
def f(x:Pos) : Pos = {
    if (x < 0) -x else x+1
}</pre>
```

Pos <: Int

 $\Gamma$  f: Pos  $\rightarrow$  Pos

var p : Int var q : Int

q = f(p)

- does not type check

# What Pos/Neg Types Can Do

```
def multiplyFractions(p1 : Int, q1 : Pos, p2 : Int, q2 : Pos) : (Int,Pos) {
 (p1*q1, q1*q2)
}
def addFractions(p1 : Int, q1 : Pos, p2 : Int, q2 : Pos) : (Int, Pos) {
 (p1*q2 + p2*q1, q1*q2)
}
def printApproxValue(p : Int, q : Pos) = {
 print(p/q) // no division by zero
}
```

More sophisticated types can track intervals of numbers and ensure that a program does not crash with an array out of bounds error.

## Subtyping and Product Types

## Using Subtyping

```
def f(x:Pos) : Pos = {
    if (x < 0) -x else x+1
}</pre>
```

Pos <: Int

 $\Gamma$  f: Pos  $\rightarrow$  Pos

var p : Int var q : Int

q = f(p)

- does not type check

## **Subtyping for Products**

$$T_1 <: T_2 \text{ implies for all e:} \qquad \frac{\Gamma \vdash e : T_1}{\Gamma \vdash e : T_2}$$

Type for<br/>a tuple: $x:T_1$ <br/> $(x,y):T_1 \times T_2$ 

So, we might as well add:

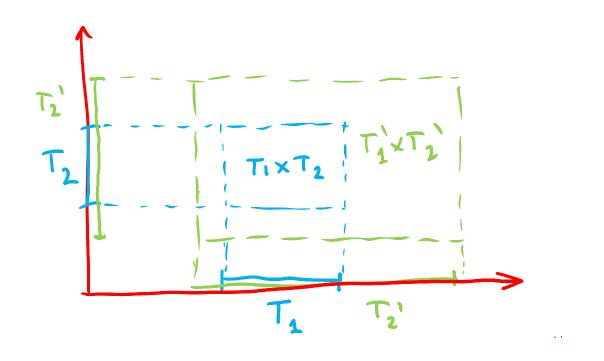
$$\frac{T_1 <: T'_1 \qquad T_2 <: T'_2}{T_1 \times T_2 <: T'_1 \times T'_2}$$

covariant subtyping for pair types denoted  $(T_1, T_2)$  or Pair $[T_1, T_2]$ 

#### **Analogy with Cartesian Product**

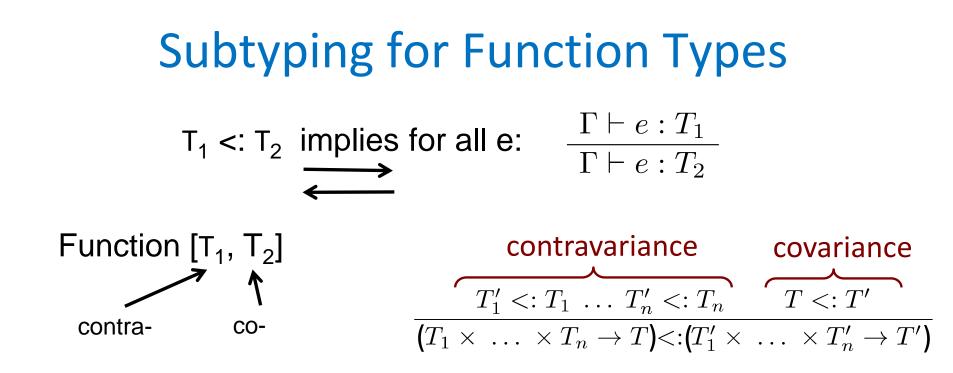
$$\frac{T_1 <: T'_1 \qquad T_2 <: T'_2}{T_1 \times T_2 <: T'_1 \times T'_2}$$

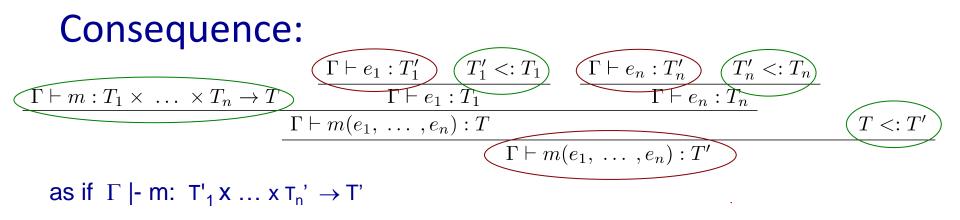
$$\frac{T_1 \subseteq T'_1 \qquad T_2 \subseteq T'_2}{T_1 \times T_2 \subseteq T'_1 \times T'_2}$$



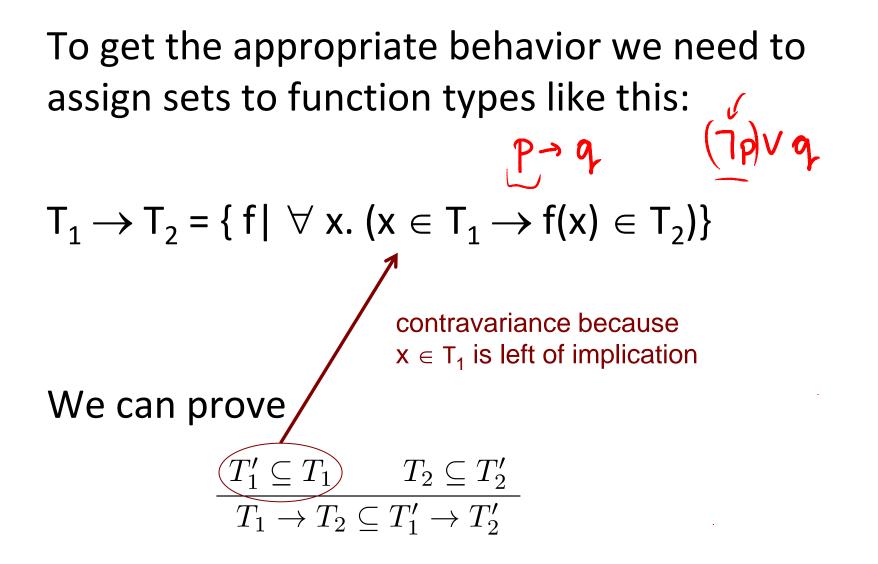
 $A \times B = \{ (a, b) \mid a \in A, b \in B \}$ 

## Subtyping and Function Types





#### **Function Space as Set**



Proof  

$$T_{1} \rightarrow T_{2} = \{f \mid \forall x. (x \in T_{1} \rightarrow f(x) \in T_{2})\}$$

$$\frac{T_{1}' \subseteq T_{1}}{|(T_{1} \rightarrow T_{2}') \subseteq (T_{1}' \rightarrow T_{2}')'}$$

$$f \in \qquad \forall x. (x \in T_{1} \rightarrow f(x) \in T_{2})$$

$$goal: \forall x' \in T_{1}' \rightarrow f(x') \in T_{2}'$$

$$y' \text{ erbitrary} \cdot A \text{ ssame } x' \in T_{1}'. \qquad T_{1}' \subseteq T_{1}$$

$$goad: \forall x' \in T_{1}' \rightarrow f(x') \in T_{2}'$$

$$T_{1} \subseteq T_{1}$$

$$f(x') \in T_{2}$$

$$T_{2} \subseteq T_{2}'$$
Therefore  $f \in (T_{1}' \rightarrow T_{2}')$ 

# Subtyping for Classes

- Class C contains a collection of methods
- We view field var f: T as two methods
  - getF(this:C): T  $C \rightarrow T$
  - setF(this:C, x:T): void  $C \times T \rightarrow void$
- For val f: T (immutable): we have only getF
- Class has all functionality of a pair of method
- We must require (at least) that methods named the same are subtypes
- If type T is generic, it must be invariant
   as for mutable arrays

## Example

```
class C {
    def m(x : T<sub>1</sub>) : T<sub>2</sub> = {...}
}
class D extends C {
    override def m(x : T'<sub>1</sub>) : T'<sub>2</sub> = {...}
}
```

D <: C so need to have  $(T'_1 \rightarrow T'_2) <: (T_1 \rightarrow T_2)$ Therefore, we need to have:

 $T'_2 <: T_2$  (result behaves like class)  $T_1 <: T'_1$  (argument behaves opposite)

#### Soundness of Types

## ensuring that a type system is not broken

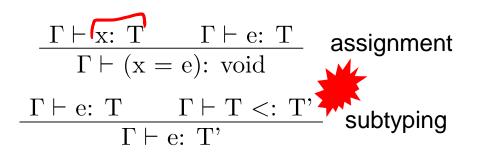
## Example: Tootool 0.1 Language



**Tootool** is a rural community in the central east part of the Riverina [New South Wales, Australia]. It is situated by road, about 4 kilometres east from French Park and 16 kilometres west from The Rock. Tootool Post Office opened on 1 August 1901 and closed in 1966. [Wikipedia]

#### unsound Type System for Tootool 0.1

Pos <: Int Neg <: Int

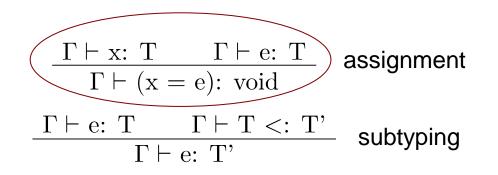


does it type check? def intSqrt(x:Pos) : Pos = { ...} var p : Pos var q : Neg var r : Pos q = -5p = q  $\Gamma = \{(p, Pos), (q, Neg), (r, Pos), (n, Pos), (n, Pos), (r, Po$ 

Runtime error: intSqrt invoked with a negative argument!

## What went wrong in Tootool 0.1 ?

Pos <: Int Neg <: Int



does it type check? - yes def intSqrt(x:Pos) : Pos = { ...} var p : Pos var q : Neg var r : Pos q = -5p = q  $\Gamma = \{(p, Pos), (q, Neg), (r, Pos), (intSqrt, Pos <math>\rightarrow Pos)\}$ r = intSqrt(p)

Runtime error: intSqrt invoked with a negative argument!

x must be able to store any e can have any value from T value from T  $\begin{array}{c} 2 & \Gamma \vdash e: T \\ \hline \Gamma \vdash (x = e): \text{ void} \end{array}$ 

Cannot use  $\Gamma \mid$ - e:T to mean "x promises it can store any  $e \in T$ "

#### **Recall Our Type Derivation**

Pos <: Int Neg <: Int

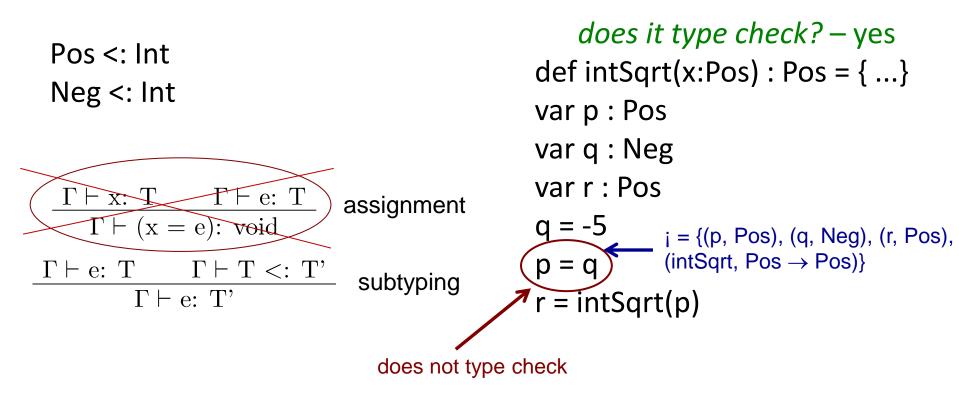
 $\begin{array}{c|c} \hline \Gamma \vdash x: \ T & \Gamma \vdash e: \ T \\ \hline \Gamma \vdash (x = e): \ void \end{array} \quad \text{assignment} \\ \hline \hline \Gamma \vdash e: \ T & \Gamma \vdash T <: \ T' \\ \hline \Gamma \vdash e: \ T' & \text{subtyping} \end{array}$ 

does it type check? - yes def intSqrt(x:Pos) : Pos = { ...} var p : Pos var q : Neg var r : Pos q = -5 p = q (p, Pos), (q, Neg), (r, Pos), p = q (intSqrt, Pos  $\rightarrow$  Pos)} r = intSqrt(p)

Runtime error: intSqrt invoked with a negative argument!

Values from p are integers. But p did not promise to store all kinds of integers/ Only positive ones!

## **Corrected Type Rule for Assignment**



x must be able to store any value from T

 $\frac{(x,T) \in \Gamma \quad \Gamma \vdash e: T}{\Gamma \vdash (x = e): \text{ void}}$ 

 $\Gamma$  stores declarations (promises)

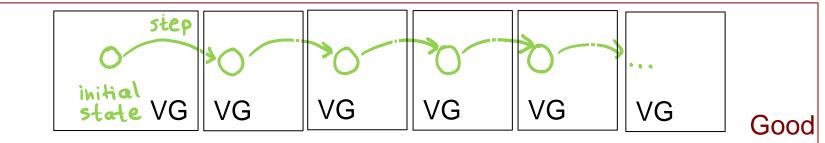
How could we ensure that some other programs will not break?

**Type System Soundness** 

## **Proving Soundness of Type Systems**

- Goal of a sound type system:
  - if the program type checks, then it never "crashes"
  - crash = some precisely specified bad behavior
    - e.g. invoking an operation with a wrong type
      - dividing one string by another string "cat" / "frog
    - trying to multiply a Window object by a File object
      e.g. not dividing an integer by zero
- Never crashes: no matter how long it executes
  - proof is done by induction on program execution

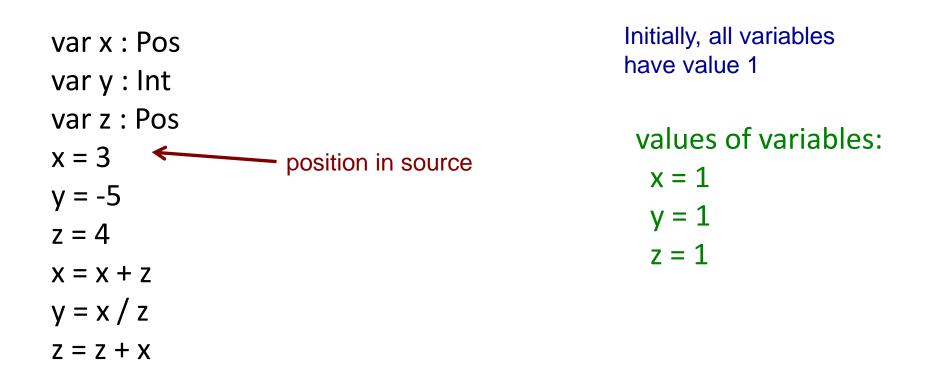
#### **Proving Soundness by Induction**



- Program moves from state to state
- Bad state = state where program is about to exhibit a bad operation ( "cat" / "frog" )
- Good state = state that is not bad
- To prove:

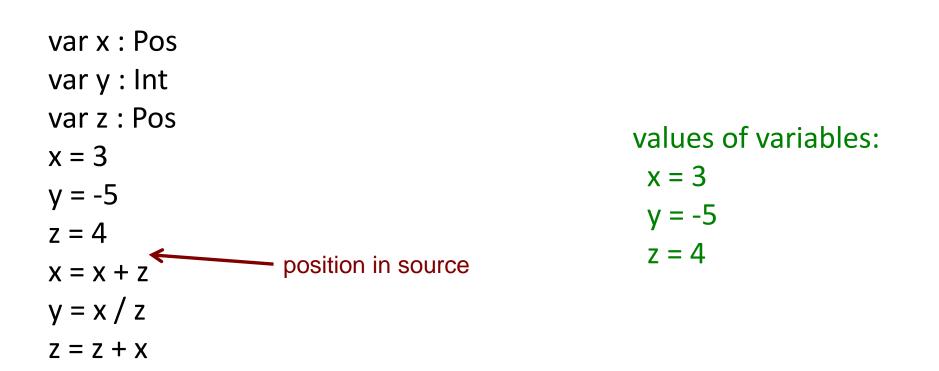
program type checks  $\rightarrow$  states in all executions are good

 Usually need a stronger inductive hypothesis; some notion of very good (VG) state such that: program type checks → program's initial state is very good state is very good → next state is also very good state is very good → state is good (not about to crash) A Simple Programming Language

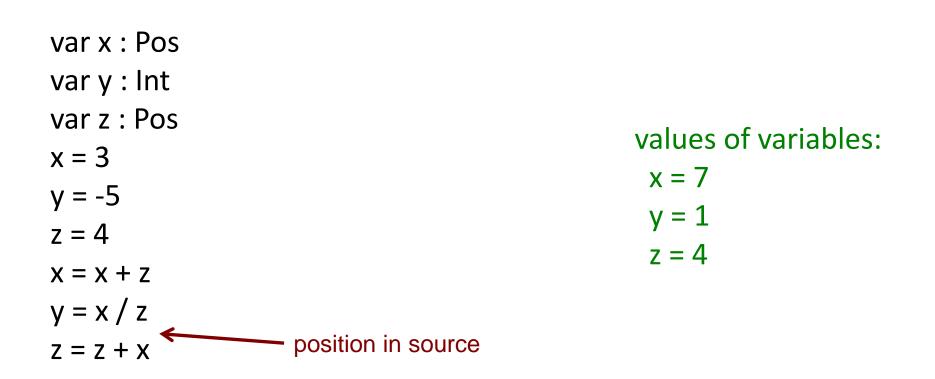








var x : Pos var y : Int var z : Pos x = 3 y = -5 z = 4 x = x + z y = x / z z = z + xvalues of variables: x = 7 y = -5 z = 4z = 4



formal description of such program execution is called operational semantics

## **Operational semantics**

Operational semantics gives meaning to programs by describing how the program state changes as a sequence of steps.

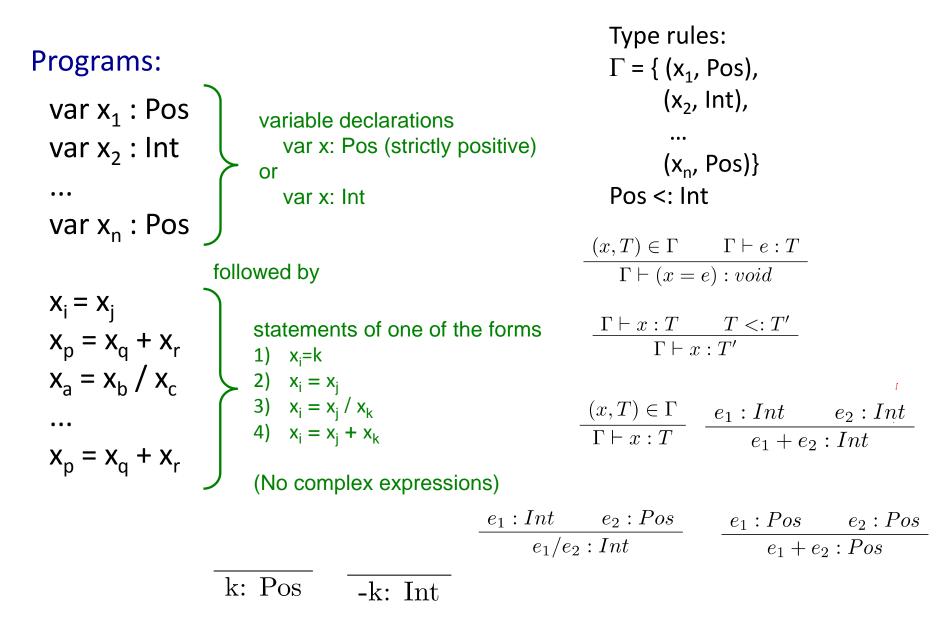
- big-step semantics: consider the effect of entire blocks
- <u>small-step semantics</u>: consider individual steps (e.g. z = x + y)
  - V: set of variables in the program
  - pc: integer variable denoting the program counter
  - g:  $V \rightarrow Int$  function giving the values of program variables (g, pc) program state

Then, for each possible statement in the program we define how it changes the program state.

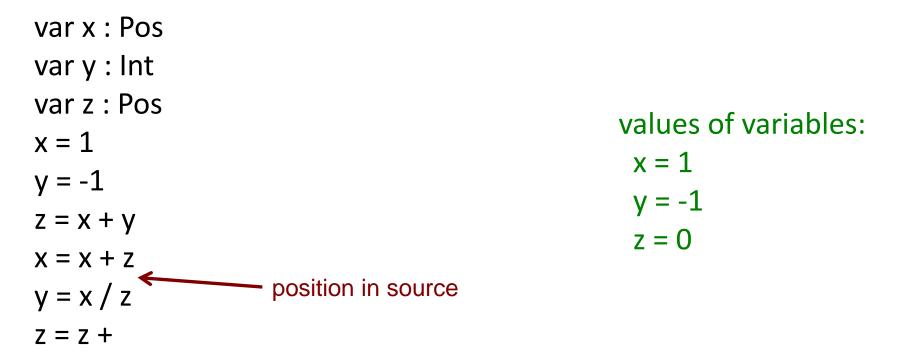
Example: z = x+y

 $(g, pc) \rightarrow (g', pc + 1)$  s.t. g' = g[z := g(x)+g(y)]

## Type Rules of Simple Language



# Bad State: About to Divide by Zero (Crash)



Definition: state is *bad* if the next instruction is of the form  $x_i = x_i / x_k$  and  $x_k$  has value 0 in the current state.

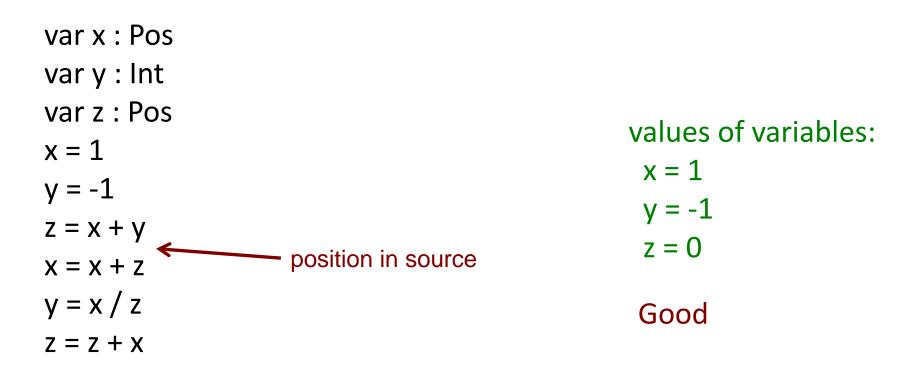
#### Good State: Not (Yet) About to Divide by Zero



Definition: state is *good* if it is not *bad*.

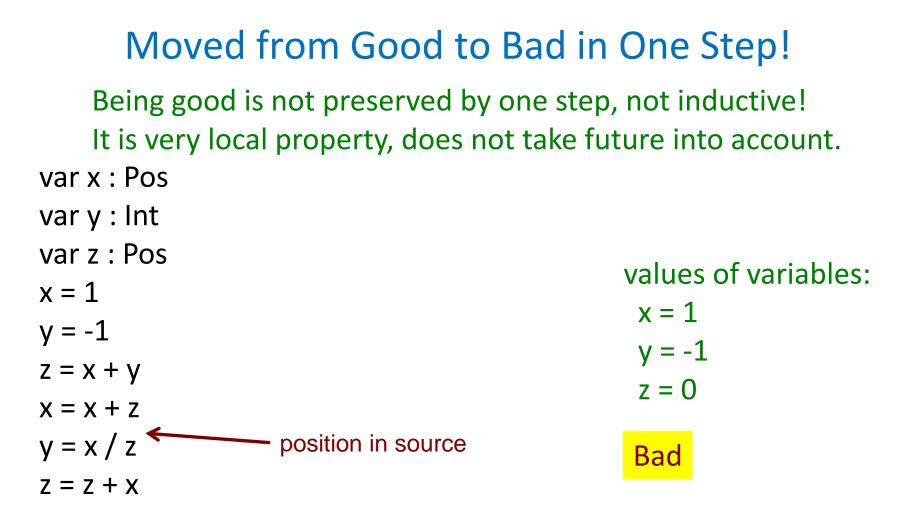
Definition: state is *bad* if the next instruction is of the form  $x_i = x_i / x_k$  and  $x_k$  has value 0 in the current state.

#### Good State: Not (Yet) About to Divide by Zero



Definition: state is *good* if it is not *bad*.

Definition: state is *bad* if the next instruction is of the form  $x_i = x_i / x_k$  and  $x_k$  has value 0 in the current state.



Definition: state is *good* if it is not *bad*.

Definition: state is *bad* if the next instruction is of the form  $x_i = x_i / x_k$  and  $x_k$  has value 0 in the current state.

# Being Very Good: A Stronger Inductive Property

Pos = { 1, 2, 3, ... }



Definition: state is *good* if it is not about to divide by zero.

Definition: state is *very good* if each variable belongs to the domain determined by its type (if z:Pos, then z is strictly positive).

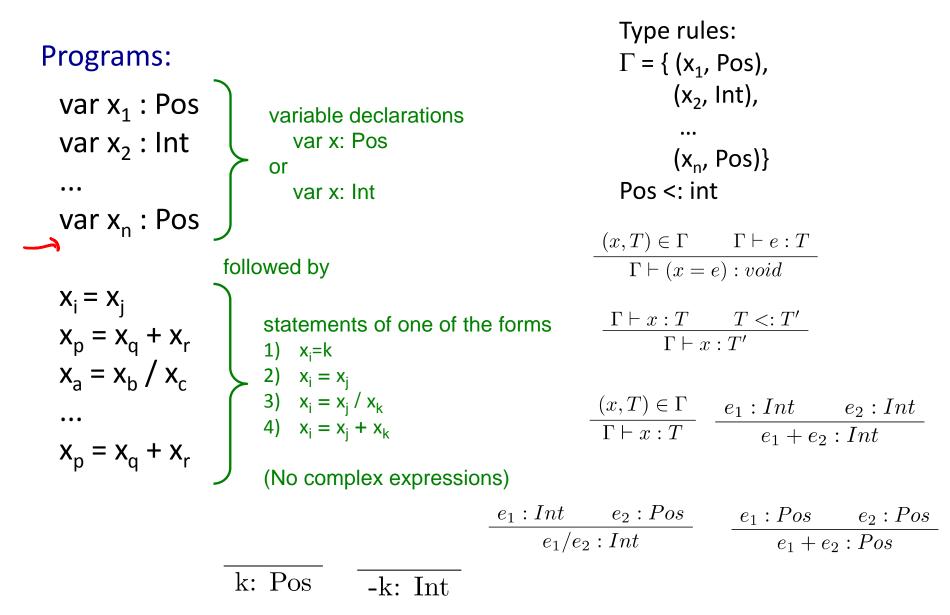
If you are a little typed program, what will your parents teach you?

If you *type check* and succeed:

- you will be *very good* from the start
- if you are very good, then you will remain
   very good in the next step
- If you are very good, you will not crash

Hence, please type check, and you will never crash! Soundnes proof = defining "very good" and checking the properties above.

#### **Definition of Simple Language**



#### **Checking Properties in Our Case**

Holds: in initial state, variables are =1

• If you *type check* and succeed:

 $1 \in Pos$  $1 \in Int$ 

you will be very good from the start.

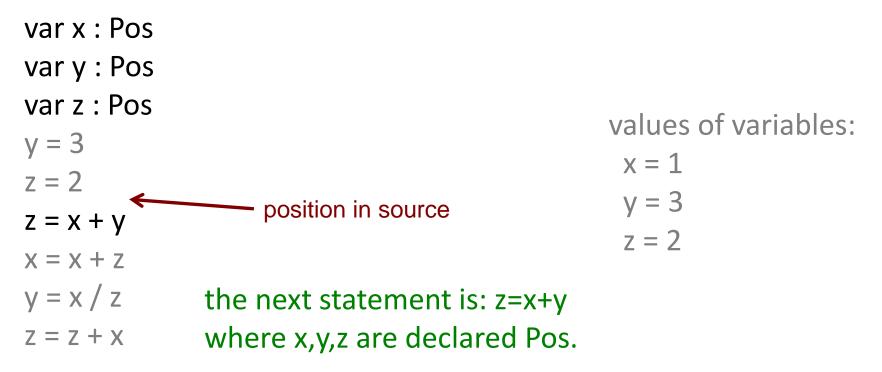
- if you are very good, then you will remain very good in the next step
- $\checkmark$  If you are *very good*, you will not *crash*.

If next state is x / z, type rule ensures z has type Pos Because state is very good, it means  $z \in Pos$ so z is not 0, and there will be no crash.

Definition: state is *very good* if each variable belongs to the domain determined by its type (if z:Pos, then z is strictly positive).

#### Example Case 1

Assume each variable belongs to its type.

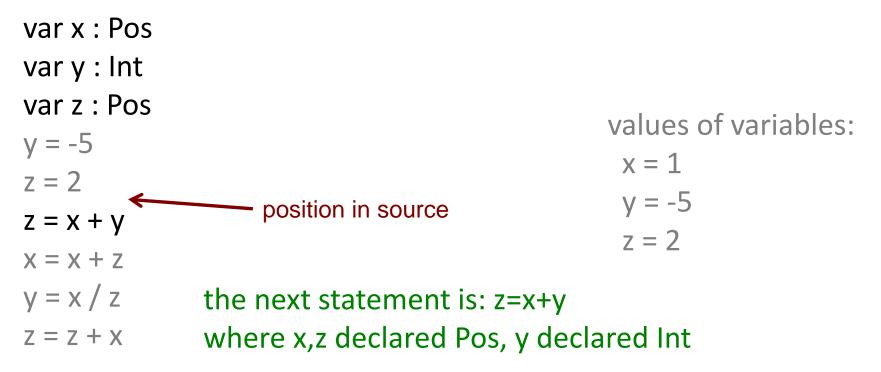


Goal: prove that again each variable belongs to its type.

- variables other than z did not change, so belong to their type
- z is sum of two positive values, so it will have positive value

#### Example Case 2

Assume each variable belongs to its type.



Goal: prove that again each variable belongs to its type. this case is impossible, because z=x+y would not type check How do we know it could not type check?

## Must Carefully Check Our Type Rules

Type rules:

			Type rules.				
			$\Gamma = \{ (x_1, Pos), \}$				
			(x <sub>2</sub> , Int),				
var x : Pos				,,,			
var y : Int	Conclude that the only		•••				
var z : Pos	types we can derive are			(x <sub>n</sub> , Pos)}			
		Pos <: int					
y = -5	x : Pos, x : Int						
z = 2	y : Int		$(x,T)\in\Gamma$				
	x + y : Int		$\Gamma \vdash (x =$	e): void			
z = x + y	X · y · 111c		$\mathbf{D}$ , $\mathbf{D}$				
$\mathbf{x} = \mathbf{x} + \mathbf{z}$			$\frac{\Gamma \vdash x:T}{\Gamma \vdash x}$	$\frac{1 <: 1}{\cdots T'}$			
	Cannot type check						
y = x / z	z = x + y in this environ	ment.					
z = z + x	,		$(x,T) \in \Gamma$	$e_1:Int$	$e_2:Int$		
			$\Gamma \vdash x : T$	$\begin{array}{cc} e_1:Int & e_2:Int \\ \hline e_1+e_2:Int \end{array}$			
		<b>-</b>	-				
	$e_1$	: <i>Int</i>	$e_2: Pos$	$e_1: Pos$	$e_2:Pos$		
		$e_1/e_2$	$_2:Int$	$e_1 + e_2 : Pos$			

k: Pos -k: Int

We would need to check all cases (there are many, but they are easy)

#### Back to the start

k: Pos -k: Int					
$\begin{tabular}{ c c c c }\hline \hline \Gamma \vdash x:T & \Gamma \vdash e:T \\ \hline \Gamma \vdash (x=e):void \end{tabular}$					
$\frac{\Gamma \vdash x:T \qquad T <:T'}{\Gamma \vdash x:T'}$					
$\frac{(x,T)\in\Gamma}{\Gamma\vdash x:T}$					
$\begin{array}{cc} e_1:Int & e_2:Int \\ \hline e_1+e_2:Int \end{array}$					
$\begin{array}{cc} e_1:Int & e_2:Pos\\ \hline e_1/e_2:Int \end{array}$					
$\begin{array}{cc} e_1:Pos & e_2:Pos \\ \hline e_1+e_2:Pos \end{array}$					

Does the proof still work?

If not, where does it break?

#### Remark

• We used in examples Pos <: Int

• Same examples work if we have

```
class Int { ... }
class Pos extends Int { ... }
```

and is therefore relevant for OO languages

## What if we want more complex types?

```
class A { }

    Should it type check?

class B extends A {
                           • Does this type check in Java?
 void foo() { }
                              • can you run it?
                           • Does this type check in Scala?
class Test {
 public static void main(String[] args) {
  B[] b = new B[5];  
  A[] a; √
→a = b;
  System.out.println("Hello,");
  a[0] = new A(); 
  System.out.println("world!");
  b[0].foo(); -/
```

# What if we want more complex types?

Suppose we add to our language a reference type: class Ref[T](var content : T)

#### **Programs:**

```
var x<sub>1</sub> : Pos
var x<sub>2</sub> : Int
var x<sub>3</sub> : Ref[Int]
var x<sub>4</sub> : Ref[Pos]
```

#### x = y

$$x = y + z$$

$$x = y / z$$

x = y + z.content

x.content = y

#### Exercise 1:

Extend the type rules to use with Ref[T] types. Show your new type system is sound.

#### Exercise 2:

Can we use the subtyping rule? If not, where does the proof break?

 $\frac{T <: T'}{Ref[T] <: Ref[T']}$ 

#### Simple Parametric Class

## class Ref[T](var content : T) Can we use the subtyping rule

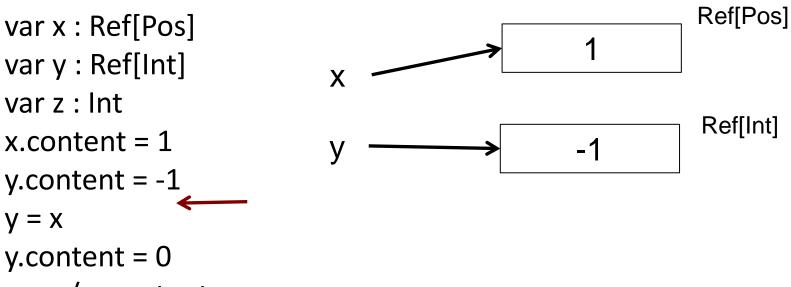
 $\frac{T <: T'}{\text{Ref}[T] <: \text{Ref}[T']} \qquad \frac{\text{Pos} <: \text{Int}}{\text{Ref}[\text{Pos}] <: \text{Ref}[\text{Int}]}$ 

var x : Ref[Pos]  
var y : Ref[Int] 
$$\Gamma$$
  
var z : Int  
x.content = 1  
y.content = -1  
y = x  
y.content = 0  
z = z / x.content  
 $T \vdash x : Ref[Pos]$   
 $(x, Ref[Int]) \in \Gamma$   $\Gamma \vdash y : Ref[Int]$   
 $(y=x):void$   
type checks

#### **Simple Parametric Class**

### class Ref[T](var content : T) Can we use the subtyping rule

 $\frac{T <: T'}{Ref[T] <: Ref[T']}$ 

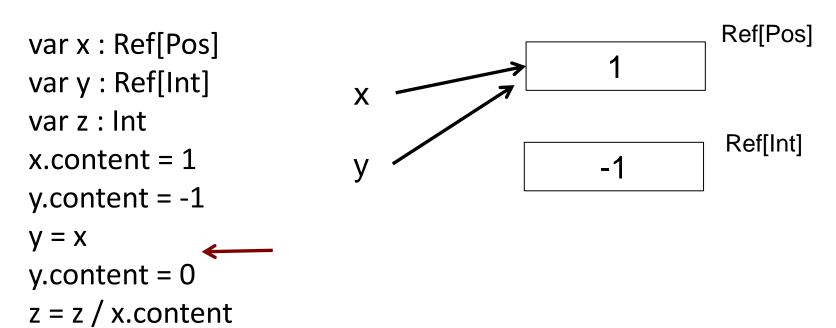


z = z / x.content

#### **Simple Parametric Class**

## class Ref[T](var content : T) Can we use the subtyping rule

 $\frac{T <: T'}{Ref[T] <: Ref[T']}$ 



#### Simple Parametric Class class Ref[T](var content : T) Can we use the subtyping rule Ref [Pos] <: Ref [lut] $\operatorname{Ref}[\mathcal{T}]$ Ref[T']Ref[Pos] var x : Ref[Pos] ()var y : Ref[Int] Pos 2: lut Х var z : Int Ref[Int] x.content = 1X:Ref[Pos] y.content = -1(y; Ref[lut]) er × : Ref y = xy.content = 0**CRASHES** void z = z / x.content

## Analogously

#### class Ref[T](var content : T) Can we use the converse subtyping rule T <: T' $\operatorname{Ref}[T'] <: \operatorname{Ref}[T]$ Ref[Pos] var x : Ref[Pos] 1 var y : Ref[Int] Х var z : Int Ref[Int] x.content = 1 $\mathbf{0}$ Y y.content = -1x = yy.content = 0**CRASHES** z = z / x.content

Mutable Classes do not Preserve Subtyping class Ref[T](var content : T) Even if T <: T', Ref[T] and Ref[T'] are unrelated types

var x : Ref[T] var y : Ref[T']

 $x = y \leftarrow type$  checks only if T=T'

• • •

# Same Holds for Arrays, Vectors, all mutable containers

#### Even if T <: T',

Array[T] and Array[T'] are unrelated types

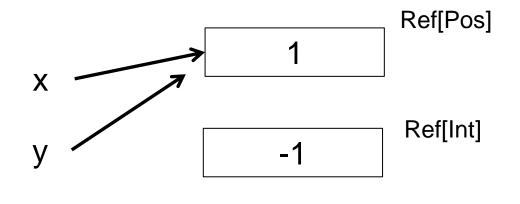
```
var x : Array[Pos](1)
var y : Array[Int](1)
var z : Int
x[0] = 1
y[0] = -1
y = x
y[0] = 0
z = z / x[0]
```

#### Case in Soundness Proof Attempt

## class Ref[T](var content : T) Can we use the subtyping rule

 $\frac{T <: T'}{Ref[T] <: Ref[T']}$ 

var x : Ref[Pos]
var y : Ref[Int]
var z : Int
x.content = 1
y.content = -1
y = x
y.content = 0
z = z / x.content



prove each variable belongs to its type: variables other than y did not change.. (?!)

## Mutable vs Immutable Containers

#### • Immutable container, Coll[T]

- has methods of form e.g. get(x:A) : T
- if T <: T', then Coll[T'] has get(x:A) : T'</pre>
- we have (A → T) <: (A → T') covariant rule for functions, so Coll[T] <: Coll[T']</p>
- Write-only data structure have
  - setter-like methods, set(v:T) : B
  - if T <: T', then Container[T'] has set(v:T) : B</pre>
  - would need (T → B) <: (T' → B) contravariance for arguments, so Coll[T'] <: Coll[T]</p>
- Read-Write data structure need both, so they are invariant, no subtype on Coll if T <: T'</li>