## Lecturecise 12 Abstract Interpretation

How to automatically find program invariants and postconditions

ASTREE
2013

## Basic idea of abstract interpretation

Abstract interpretation is a way to infer properties of e.g. computations. Consider the assignment: $z=x+y$.

Interpreter:

$$
\left(\begin{array}{c}
x: 10 \\
y:-2 \\
z: 3
\end{array}\right) \xrightarrow{z=x+y}\left(\begin{array}{c}
x: 10 \\
y:-2 \\
z: 8
\end{array}\right)
$$

Abstract interpreter:

$$
\left(\begin{array}{lc}
x \in & {[0,10]} \\
y \in & {[-5,5]} \\
z \in & {[0,10]}
\end{array}\right) \xrightarrow{z=x+y}\left(\begin{array}{cc}
x \in & {[0,10]} \\
y \in & {[-5,5]} \\
z \in & {[-5,15]}
\end{array}\right)
$$

## Program Meaning is a Fixpoint. We Approximate It.

C: Concrete domain
A: Abstract domain


## Proving through Fixpoints of Approximate Functions

Meaning of a program (e.g. a relation) is a least fixpoint of $\underline{F}$. Given specification $s$, the goal is to prove $\operatorname{lfp}(\mathbf{F}) \subseteq \mathbf{s}$ If $F(s) \subseteq s$ then $\operatorname{lfp}(F) \subseteq s$ and we are done.
$s^{\prime} \quad F\left(S^{\prime}\right) \subseteq S^{\prime}$ $f_{p}(F) \subseteq S^{\prime}$
Otherwise, we need to search for $s^{\prime}$ (inductive invariant) such that:

- $F\left(s^{\prime}\right) \subseteq s^{\prime} \quad\left(s^{\prime}\right.$ is inductive). If so, theorem says If $(F) \subseteq s^{\prime}$
- $s^{\prime} \subseteq s \quad\left(s^{\prime}\right.$ implies the desired specification). Then $\operatorname{lfp}(F) \subseteq s^{\prime} \subseteq s$

How to find $s^{\prime}$ ? One solution is I fp $(F)=\bigcup_{k \geq 0} F^{k}(\emptyset)$
Infinite union, unless $F^{n+1}(\emptyset)=F^{n}$ for some $n$. This rarely happens. Instead, we try our luck with some simpler function $F_{\#}$

- suppose $F_{\#}$ is approximation: $F(r) \subseteq F_{\#}(r)$ for all $r$
- we can find $s^{\prime}$ such that: $F_{\#}\left(s^{\prime}\right) \subseteq s^{\prime}$ (e.g. $s^{\prime}=F_{\#}^{n}(\emptyset)$ for some $n$ )

Then: $F\left(s^{\prime}\right) \subseteq F_{\#}\left(s^{\prime}\right) \subseteq s^{\prime}$. So, $\operatorname{Ifp}(F) \subseteq s^{\prime}$.
If $s^{\prime} \subseteq s$, we have shown that $l f p(F) \subseteq s$
Static analysis: automatically construct $F_{\#}$ from $F$ (and sometimes $s$ )

## Programs as control-flow graphs

```
//a
i = 0;
while (i < 10) {
    //d
    if (i>1)
        //e
        i = i + 3;
    else
        //f
        i = i + 2;
    //g
}
//c
```

A possible corresponding control-flow graph is:

## Programs as control-flow graphs

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//c
```

A possible corresponding control-flow graph is:


## Exercise: Sets of states at each program point

## Suppose that

- program state is given by the value of the integer variable $i$
- initially, it is possible that i has any value

Compute the set of states at each vertex in the CFG.

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```



## Sets of states at each program point

## Running the Program

One way to describe the set of state: for each initial state, run the CFG with this state and insert the modified states at appropriate points.

## Reachable States as A Set of Recursive Equations

If $c$ is the label on the edge of the graph, let $\rho(c)$ denotes the relation between initial and final state that describes the meaning of statement. For example,

$$
\begin{aligned}
& \rho(i=0)=\left\{\left(i, i^{\prime}\right) \mid i^{\prime}=0\right\} \\
& \rho(i=i+2)=\left\{\left(i, i^{\prime}\right) \mid i^{\prime}=i+2\right\} \\
& \rho(i=i+3)=\left\{\left(i, i^{\prime}\right) \mid i^{\prime}=i+3\right\} \\
& \rho([i<10])=\left\{\left(i, i^{\prime}\right) \mid i^{\prime}=i \wedge i<10\right\}
\end{aligned}
$$

## Sets of states at each program point

We will write $T(S, c)$ (transfer function) for the image of set $S$ under relation $\rho(c)$. For example,

$$
T(\{10,15,20\}, i=i+2)=\{12,17,22\}
$$

General definition can be given using the notion of strongest postcondition

$$
T(S, c)=s p(S, \rho(c))
$$

If $[\mathrm{p}]$ is a condition (assume( p ), coming from 'if' or 'while') then

$$
T(S,[p])=\{x \in S \mid p\}
$$

If an edge has no label, we denote it skip. So, $T(S, s k i p)=S$.

## Reachable States as A Set of Recursive Equations

Now we can describe the meaning of our program using recursive equations:

$$
\begin{aligned}
S(a) & =\{\ldots,-2,-1,0,1,2, \ldots\} \\
S(b) & =T(S(a), i=0) \cup T(S(g), \text { skip }) \\
S(c) & =T(S(b),[\neg(i<10)]) \\
S(d) & =T(S(b),[i<10]) \\
S(e) & =T(S(d),[i>1]) \\
S(f) & =T(S(d),[\neg(i>1)]) \\
S(g) & =T(S(e), i=i+3) \\
& \cup T(S(f), i=i+2)
\end{aligned}
$$



Note:

- solution we computed satisfies the recursive equations
- our solution is the unique least solution of these equations


## The problem:

These exact equations are as difficult to compute as running the program on all possible input states. Instead, when we do data-flow analysis; we will consider approximate descriptions of these sets of states.

## New Analysis Domain

We continue with the same example but instead of allowing to denote all possible sets, we will allow sets represented by expressions

$$
[L, U]
$$

which denote the set $\{x \mid L \leq x \wedge x \leq U\}$. Example: $[0,127]$ denotes integers between 0 and 127 .

- $L$ is the lower bound and $U$ is the upper bound, with $L \leq U$.
- to ensure that we have only a few elements, we let

$$
L, U \in\{\text { MININT, }-128,1,0,1,127, \text { MAXINT }\}
$$

- [MININT, MAXINT] denotes all possible integers, denote it $T$
- instead of writing $[1,0]$ and other empty sets, we will always write $\perp$

So, we only work with a finite number of sets $1+\binom{7}{2}=22$.
Denote the family of these sets by $D$ (domain).

Initial Sets
In the 'entry' point, we put $T$, in all others we put $\perp$.


## New Set of Recursive Equations

We want to write the same set of equations as before, but because we have only a finite number of sets, we must approximate. We approximate sets with possibly larger sets.
$S: V \rightarrow 2^{z}$
$S^{\#}(a)=\top$
$S^{\#}: V \rightarrow \mathbb{I}$
$S^{\#}(b)=T^{\#}\left(S^{\#}(a), i=0\right) \sqcup T(S(g)$, skip $)$
$S^{\#}(c)=T^{\#}\left(S^{\#}(b),[\neg(i<10)]\right)$
$S^{\#}(d)=T^{\#}\left(S^{\#}(b),[i<10]\right)$
$S^{\#}(e)=T^{\#}\left(S^{\#}(d),[i>1]\right)$
$S^{\#}(f)=T^{\#}\left(S^{\#}(d),[\neg(i>1)]\right)$
$S^{\#}(g)=T^{\#}\left(S^{\#}(e), i=i+3\right) \sqcup T\left(S^{\prime}(f), i=i+2\right)$

- $S_{1} \sqcup S_{2}$ denotes the approximation of $S_{1} \cup S_{2}$ : it is the set that contains both $S_{1}$ and $S_{2}$, that belongs to $D$, and is otherwise as small as possible.
- We use approximate functions $T^{\#}(S, c)$ that give a result in $D$.

Updating Sets

We solve the equations by starting in the initial state and repeatedly applying them.

$$
\begin{aligned}
& S^{\#}(a)=T \\
& S^{\#}(b)=T^{\#}\left(S^{\#}(a), i=0\right) \sqcup \\
& T^{\#}\left(S^{\#}(g),\right. \text { skip) } \\
& S^{\#}(c)=T^{\#}\left(S^{\#}(b),[\neg(i<10)\right. \\
& S^{\#}(d)=T^{\#}\left(S^{\#}(b),[i<10]\right) \\
& S^{\#}(e)=T^{\#}\left(S^{\#}(d),[i>1]\right) \\
& S^{\#}(f)= T^{\#}\left(S^{\#}(d),[\neg(i>1)]\right. \\
& S^{\#}(g)= T_{\#}^{\# \#}\left(S^{\# \#}(e), i=i+3\right)\llcorner \\
& T^{\#}\left(S^{\#}(f), i=i+2\right)
\end{aligned}
$$



$$
[0,0] \cup[2,2]=[0,2]
$$

## Updating Sets

Sets after first iteration:

$$
\begin{aligned}
& S^{\#}(a)=T \\
& S^{\#}(b)=T^{\#}\left(S^{\#}(a), i=0\right) \sqcup \\
& \\
& \\
& \left.S^{\#}(c)=T^{\#}\left(S^{\#}\right), s k i p\right),[\neg(i<10) \\
& S^{\#}(d)=T^{\#}\left(S^{\#}(b),[i<10]\right) \\
& S^{\#}(e)=T^{\#}\left(S^{\#}(d),[i>1]\right) \\
& S^{\#}(f)=T^{\#}\left(S^{\#}(d),[\neg(i>1)]\right. \\
& S^{\#}(g)= \\
& \\
& T^{\#}\left(S^{\#}(e), i=i+3\right) \\
& \\
& T(S(f), i=i+2)
\end{aligned}
$$



## Updating Sets

Final values of sets:

$$
\begin{aligned}
& S^{\#}(a)=T \\
& S^{\#}(b)= T^{\#}\left(S^{\#}(a), i=0\right) \sqcup \\
& T(S(g), \text { skip }) \\
& S^{\#}(c)= T^{\#}\left(S^{\#}(b),[\neg(i<10)\right. \\
& S^{\#}(d)= T^{\#}\left(S^{\#}(b),[i<10]\right) \\
& S^{\#}(e)= T^{\#}\left(S^{\#}(d),[i>1]\right) \\
& S^{\#}(f)= T^{\#}\left(S^{\#}(d),[\neg(i>1)]\right. \\
& S^{\#}(g)= T^{\#}\left(S^{\#}(e), i=i+3\right) \\
& T(S(f), i=i+2)
\end{aligned}
$$



Final values of sets:


$$
M \equiv \operatorname{MAXIN} T
$$

- analysis terminates (because this computation is monotonic) even if the original program does not terminate or takes very long (important for a compiler!)
- it proves that:
- in all executions $\mathrm{i}=0$ at point $f$
- $i$ cannot be zero at point $e$
- value of $i$ is always non-negative

With a larger domain $D$ we can get better results, but analysis can take longer.

## Abstract Interpretation Big Picture

C: Concrete domain

A: Abstract domain


## Abstract Domains are Partial Orders

Program semantics is given by certain sets (e.g. sets of reachable states).

- subset relation $\subseteq$ : used to compare sets
- union of states: used to combine sets coming from different executions (e.g. if statement)

Our goal is to approximate such sets. We introduce a domain of elements $d \in D$ where each $d$ represents a set.

- $\gamma(d)$ is a set of states. $\gamma$ is called concretization function
- given $d_{1}$ and $d_{2}$, it could happen that there is no element $d$ representing union

$$
\gamma\left(d_{1}\right) \cup \gamma\left(d_{2}\right)=\gamma(d)
$$

Instead, we use a set $d$ that approximates union, and denote it $d_{1} \sqcup d_{2}$ This leads us to review the theory of partial orders and (semi)lattices.

## Partial Orders

Partial ordering relation is a binary relation $\leq$ that is reflexive, antisymmetric, and transitive, that is, the following properties hold for all $x, y, z$ :

- $x \leq x \quad$ (R)
- $x \leq y \wedge y \leq x \rightarrow x=y \quad$ (A.S.)
- $x \leq y \wedge y \leq z \rightarrow x \leq z \quad(T)$

If $A$ is a set and $\leq$ a binary relation on $A$, we call the pair $(A, \leq)$ a partial order.
Given a partial ordering relation $\leq$, the corresponding strict ordering relation $x<y$ is defined by $x \leq y \wedge x \neq y$ and can be viewed as a shorthand for this conjunction.

We can view the partial order $(A, r)$ as a first-order interpretation $I=(A, \alpha)$ of language $\mathcal{L}=\{\leq\}$ where $\alpha(\leq)=r$.

## Example Partial Orders

- Orders on integers, rationals, reals are all special cases of partial orders called linear orders.
- Given a set $U$, let $A$ be any set of subsets of $U$, that is $A \subseteq 2^{U}$. Then $(A, \subseteq)$ is a partial order.

Example: Let $U=\{1,2,3\}$ and let $A=\{\emptyset,\{1\},\{2\},\{3\},\{2,3\}\}$. Then $(A, \subseteq)$ is a partial order. We can draw it as a Hasse diagram.
$2^{z}$


## Hasse diagram

presents the relation as a directed graph in a plane, such that

- the direction of edge is given by which nodes is drawn above
- transitive and reflexive edges are not represented (they can be derived)



## Extreme Elements in Partial Orders



Given a partial order $(A, \leq)$ and a set $S \subseteq A$, we call an element a

- upper bound of $S$ if for all $a^{\prime} \in S$ we have $a^{\prime} \leq a$
- lower bound of $S$ if for all $a^{\prime} \in S$ we have $a \leq a^{\prime}$

- minimal element of $S$ if $a \in S$ and there is no element $a^{\prime} \in S$ such that $a^{\prime}<a$
- maximal element of $S$ if $a \in S$ and there is no element $a^{\prime} \in S$ such that $a<a^{\prime}$
- greatest element of $S$ if $a \in S$ and for all $a^{\prime} \in S$ we have $a^{\prime} \leq a$
- least element of $S$ if $a \in S$ and for all $a^{\prime} \in S$ we have $a \leq a^{\prime}$

- least upper bound (lab, supremum, join, $\sqcup$ ) of $S$ if $a$ is the least element in the set of all upper bounds of $S$
- greatest lower bound (gIb, infimum, meet, $\sqcap$ ) of $S$ if $a$ is the greatest element in the set of all lower bounds of $S$

Taking $S=A$ we obtain minimal, maximal, greatest, least elements for the entire partial order.

Extreme Elements in Partial Orders

$$
\Pi S=a \quad a \in S \quad \forall x \in S \quad a \leq x
$$

Notes

- minimal element need not exist: $(0,1)$ interval of rationals
- there may be multiple minimal elements: $\{\{a\},\{b\},\{a, b\}\}$
- if minimal element exists, it need not be least: above example
- there are no two distinct least elements for the same set
$\rightarrow$ least element is always gIb and minimal
$\rightarrow$ if gIb belongs to the set, then it is always least and minimal
- for relation $\subseteq$ on sets, $g l b$ is intersection, llb is union (not all families of sets are closed under $\cap, \cup$ )
$S \quad a$ is least elem of $S$.



## Least upper bound

Denoted $\operatorname{lub}(S)$, least upper bound of $S$ is an element $M$, if it exists, such that $M$ is the least element of the set

$$
U=\{x \mid x \text { is upper bound on } S\}
$$

In other words:

- $M$ is an upper bound on $S$
- for every other upper bound $M^{\prime}$ on $S$, we have that $M \leq M^{\prime}$

Note: this is the same definition as infinum in real analysis.

## Real Analysis

Take as $S$ the open interval of reals $(0,1)=\{x \mid 0<x<1\}$ Then

- $S$ has no maximal element
- $S$ thus has no greatest element
- $2,2.5,3, \ldots$ are all upper bounds on $S$
- $\operatorname{lub}(S)=1$


## Least upper bound (shorthand: $\sqcup$ )

$a_{1} \sqcup a_{2}$ denotes $\operatorname{lub}\left(\left\{a_{1}, a_{2}\right\}\right)$

$$
\left(\ldots\left(a_{1} \sqcup a_{2}\right) \ldots\right) \sqcup a_{n} \quad \text { is in fact } \operatorname{lub}\left(\left\{a_{1}, \ldots, a_{n}\right\}\right)
$$

So the operation is

- associative
- commutative
- idempotent
$\sqcup, l u b, \sup$


## Execise: subsets of $U$

Consider

$$
A=2^{U}=\{S \mid S \subseteq U\} \quad \text { and } \quad(A, \subseteq)
$$

Do these exist, and if so, what are they?

- $s_{1} \subseteq S, s_{2} \subseteq S, \operatorname{lub}\left(\left\{s_{1}, s_{2}\right\}\right)=$ ?
- $\operatorname{lub}(S)=$ ?


## Exercise: find the lub



$$
\begin{aligned}
& \{1\} \cup\{2\}=\{1,2\} \\
& \cup, \sqcap
\end{aligned}
$$

\{1\} $\}\{2\}=$

Does every pair of elements in this order have a least upper bound?


Dually, does it have a greatest lower bound?

Partial order for the domain of intervals

$$
\begin{aligned}
& \rightarrow {[5,2] } \\
& \rightarrow[4,3]
\end{aligned}
$$

Domain: $D=\{\perp\} \cup\{(L, U) \mid L \in\{-\infty\} \cup \mathbb{Z}, \stackrel{U}{\substack{2}} \in\{+\infty\} \cup \mathbb{Z}$
such that $L \leq U$.
The associated set of elements is given by the function $\gamma$ :

$$
\gamma(1)=\varnothing
$$

$$
\gamma: D \rightarrow 2^{\mathbb{Z}}, \quad \gamma((L, U))=\{x \mid L \leq x \wedge x \leq U\}
$$

Lab: for $d_{1}, d_{2} \in D, d_{1} \sqsubseteq d_{2} \leftrightarrow \gamma\left(d_{1}\right) \subseteq \gamma\left(d_{2}\right) \quad d_{1} \subseteq d_{2}$ hence

$$
\begin{array}{llll}
d_{1} \subseteq d_{2} & \leftrightarrow & \gamma\left(d_{1}\right) \leq \gamma\left(d_{2}\right) & d_{2} \Sigma d_{3} \\
d \Sigma d & \leftarrow & \gamma(d) \leq \gamma(d) & \gamma\left(d_{1}\right) \leq \gamma\left(d_{2}\right)
\end{array}
$$

$$
\begin{array}{cc}
\left(L_{1}, U_{1}\right) \sqsubseteq\left(L_{2}, U_{2}\right) & \leftrightarrow \quad L_{2} \leq L_{1} \wedge U_{1} \leq U_{2} \\
\perp \sqsubseteq d \quad \forall d \in D & d_{1} \sqsubseteq d_{3} \\
\left(L_{1}, U_{1}\right) \sqcup\left(L_{2}, U_{2}\right)=\left(\min \left(L_{1}, L_{2}\right), \max \left(U_{1}, U_{2}\right)\right) &
\end{array}
$$

$d_{1} \sqsubseteq d_{2} \quad d_{2} \sqsubseteq d_{1}$

$$
\gamma^{e}\left(d_{1}\right) \leq 8\left(d_{2}\right) \quad \gamma\left(d_{2}\right) \leq 8\left(d_{1}\right)
$$

$$
\rightarrow d_{1}=d_{2}
$$

$$
f\left(d_{1}\right)=8\left(d_{2}\right)
$$

Exercises $\quad\lfloor A \subseteq b$
b is upper bound.

$$
\begin{aligned}
& \forall A=a \quad a \sqsubseteq b \\
& \forall x \in A \quad \underline{\square}-\underline{b}
\end{aligned}
$$

Prove the following: iff $a$ is a lower bound on $B$

1. $(x \sqcup y) \sqcup z=x \sqcup(y \sqcup z)$
2. $\sqcup A \sqsubseteq \sqcap B \Leftrightarrow \forall x \in A . \forall y \in B . x \sqsubseteq y$
3. Let $(A, \sqsubseteq)$ be a partial order such that every set $S \subseteq A$ has the greatest lower bound.
Prove that then every set $S \subseteq A$ has the least upper bound. d. $\left\{\begin{array}{l}x E x^{\prime} E x^{\prime} \cup y \\ x \cup y 巨 x^{\prime} \cup y \\ x 巨 x^{\prime} \cup y \\ y^{\prime} x^{\prime} \cup y\end{array}\right.$ We do this in class. $45 x \cup y$

## Lattices

Definition: A lattice is a partial order in which every two-element set has a least upper bound and a greatest lower bound.

Lemma: In a lattice every non-empty finite set has a lub ( $\sqcup$ ) and glb ( $\sqcap$ ).

## Lattices

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Lemma: In a lattice every non-empty finite set has a lub ( $\sqcup$ ) and glb ( $\sqcap$ ).
Proof: is by induction!
Case where the set $S$ has three elements $x, y$ and $z$ :
Let $a=(x \sqcup y) \sqcup z$.
By definition of $\sqcup$ we have $z \sqsubseteq a$ and $x \sqcup y \sqsubseteq a$.
Then we have again by definition of $\sqcup, x \sqsubseteq x \sqcup y$ and $y \sqsubseteq x \sqcup y$. Thus by transitivity we have $x \sqsubseteq a$ and $y \sqsubseteq a$.
Thus we have $S \sqsubseteq a$ and $a$ is an upper bound.
Now suppose that there exists $a^{\prime}$ such that $S \sqsubseteq a^{\prime}$. We want $a \sqsubseteq a^{\prime}$ (a least upper bound):
We have $x \sqsubseteq a^{\prime}$ and $y \sqsubseteq a^{\prime}$, thus $x \sqcup y \sqsubseteq a^{\prime}$. But $z \sqsubseteq a^{\prime}$, thus $((x \sqcup y) \sqcup z) \sqsubseteq a^{\prime}$.
Thus $a$ is the lub of our 3 elements set.

## Lattices

Lemma: Every linear order is a lattice.
Example: Every subset of the set of real numbers has a lub. This is an axiom of real numbers, the way they are defined (or constructed from rationals).

- If a lattice has least and greatest element, then every finite set (including empty set) has a lub and glb.
- This does not imply there are lub and glb for infinite sets.

Example: In the oder $([0,1), \leq)$ with standard ordering on reals is a lattice, the entire set has no lub. The set of all rationals of interval $[0,10]$ is a lattice, but the set $\left\{x \mid 0 \leq x \wedge x^{2}<2\right\}$ has no lub.

## Complete Lattices

Definition: A complete lattice is a lattice where every set $S$ of elements has lub, denoted $\sqcup S$, and glb, denoted $\sqcap S$
(this implies that there is top and bottom as $\sqcup \emptyset=\perp$ and $\sqcap \emptyset=T$. This is because every element is an upper bound and a lower bound of $\emptyset$ : $\forall x . \forall y \in \emptyset . y \sqsubseteq x$ is valid, as well as $\forall x . \forall y \in \emptyset . y \sqsupseteq x)$.

## Lattices

Lemma: In every lattice, $x \sqcup(x \sqcap y)=x$.

## Proof:

We trivially have $x \sqsubseteq x \sqcup(x \sqcap y)$.
Let's prove that $x \sqcup(x \sqcap y) \sqsubseteq x$ :
$x$ is an upper bound of $x$ and $x \sqcap y, x \sqcup(x \sqcap y)$ is the least upper bound of $x$ and $x \sqcap y$, thus $x \sqcup(x \sqcap y) \sqsubseteq x$.

## Monotonic functions

Given two partial orders $(C, \leq)$ and $(A, \sqsubseteq)$, we call a function $\alpha: C \rightarrow A$ monotonic iff for all $x, y \in C$,

$$
x \leq y \rightarrow \alpha(x) \sqsubseteq \alpha(y)
$$

## Reminder: Fixpoints

Definition: Given a set $A$ and a function $f: A \rightarrow A$ we say that $x \in A$ is a fixed point (fixpoint) of $f$ if $f(x)=x$.

Definition: Let $(A, \leq)$ be a partial order, let $f: A \rightarrow A$ be a monotonic function on $(A, \leq)$, and let the set of its fixpoints be $S=\{x \mid f(x)=x\}$. If the least element of $S$ exists, it is called the least fixpoint, if the greatest element of $S$ exists, it is called the greatest fixpoint.

## Fixpoints

Let $(A, \sqsubseteq)$ be a complete lattice and $G: A \rightarrow A$ a monotonic function.

## Definition:

Post $=\{x \mid G(x) \sqsubseteq x\}$ - the set of postfix points of $G$ (e.g. $T$ is a postfix point) Pre $=\{x \mid x \sqsubseteq G(x)\}$ - the set of prefix points of $G$ Fix $=\{x \mid G(x)=x\}-$ the set of fixed points of $G$.

Note that Fix $\subseteq$ Post.

## Tarski's fixed point theorem

Theorem: Let $a=\sqcap$ Post. Then $a$ is the least element of Fix (dually, $\sqcup$ Pre is the largest element of Fix).

## Proof:

Let $x$ range over elements of Post.

- applying monotonic $G$ from $a \sqsubseteq x$ we get $G(a) \sqsubseteq G(x) \sqsubseteq x$
- so $G(a)$ is a lower bound on Post, but $a$ is the greatest lower bound, so $G(a) \sqsubseteq a$
- therefore $a \in$ Post
- Post is closed under $G$, by monotonicity, so $G(a) \in$ Post
- $a$ is a lower bound on Post, so $a \sqsubseteq G(a)$
- from $a \sqsubseteq G(a)$ and $G(a) \sqsubseteq a$ we have $a=G(a)$, so $a \in$ Fix
- $a$ is a lower bound on Post so it is also a lower bound on a smaller set Fix
In fact, the set of all fixpoints Fix is a lattice itself.


## Tarski's fixed point theorem

Tarski's Fixed Point theorem shows that in a complete lattice with a monotonic function $G$ on this lattice, there is at least one fixed point of $G$, namely the least fixed point $\sqcap$ Post.

- Tarski's theorem guarantees fixpoints in complete lattices, but the above proof does not say how to find them.
- How difficult it is to find fixpoints depends on the structure of the lattice.
Let $G$ be a monotonic function on a lattice. Let $a_{0}=\perp$ and $a_{n+1}=G\left(a_{n}\right)$. We obtain a sequence $\perp \sqsubseteq G(\perp) \sqsubseteq G^{2}(\perp) \sqsubseteq \cdots$. Let $a_{*}=\bigsqcup_{n \geq 0} a_{n}$.

Lemma: The value $a_{*}$ is a prefix point.
Observation: $a_{*}$ need not be a fixpoint (e.g. on lattice $[0,1]$ of real numbers).

## Omega continuity

Definition: A function $G$ is $\omega$-continuous if for every chain $x_{0} \sqsubseteq x_{1} \sqsubseteq \ldots \sqsubseteq x_{n} \sqsubseteq \ldots$ we have

$$
G\left(\bigsqcup_{i \geq 0} x_{i}\right)=\bigsqcup_{i \geq 0} G\left(x_{i}\right)
$$

Lemma: For an $\omega$-continuous function $G$, the value $a_{*}=\bigsqcup_{n \geq 0} G^{n}(\perp)$ is the least fixpoint of $G$.

## Iterating sequences and omega continuity

Lemma: For an $\omega$-continuous function $G$, the value $a_{*}=\bigsqcup_{n \geq 0} G^{n}(\perp)$ is the least fixpoint of $G$.

## Proof:

- By definition of $\omega$-continuous we have

$$
G\left(\bigsqcup_{n \geq 0} G^{n}(\perp)\right)=\bigsqcup_{n \geq 0} G^{n+1}(\perp)=\bigsqcup_{n \geq 1} G^{n}(\perp) .
$$

- But $\bigsqcup_{n \geq 0} G^{n}(\perp)=\bigsqcup_{n \geq 1} G^{n}(\perp) \sqcup \perp=\bigsqcup_{n \geq 1} G^{n}(\perp)$ because $\perp$ is the least element of the lattice.
- Thus $G\left(\bigsqcup_{n \geq 0} G^{n}(\perp)\right)=\bigsqcup_{n \geq 0} G^{n}(\perp)$ and $a_{*}$ is a fixpoint.

Now let's prove it is the least. Let $c$ be such that $G(c)=c$. We want $\bigsqcup_{n \geq 0} G^{n}(\perp) \sqsubseteq c$. This is equivalent to $\forall n \in \mathbb{N} . G^{n}(\perp) \sqsubseteq c$. We can prove this by induction : $\perp \sqsubseteq c$ and if $G^{n}(\perp) \sqsubseteq c$, then by monotonicity of $G$ and by definition of $c$ we have $G^{n+1}(\perp) \sqsubseteq G(c) \sqsubseteq c$.

## Iterating sequences and omega continuity

Lemma: For an $\omega$-continuous function $G$, the value $a_{*}=\bigsqcup_{n \geq 0} G^{n}(\perp)$ is the least fixpoint of $G$.

When the function is not $\omega$-continuous, then we obtain $a_{*}$ as above (we jump over a discontinuity) and then continue iterating. We then take the limit of such sequence, and the limit of limits etc., ultimately we obtain the fixpoint.

## Exercise

Let $C[0,1]$ be the set of continuous functions from $[0,1]$ to the reals. Define $\leq$ on $C[0,1]$ by $f \leq g$ if and only if $f(a) \leq g(a)$ for all $a \in[0,1]$.
i) Show that $\leq$ is a partial order and that $C[0,1]$ with this order forms a lattice.
ii) Does an analogous statement hold if we consider the set of differentiable functions from $[0,1]$ to the reals? That is, instead of requiring the functions to be continuous, we require them to have a derivative on the entire interval. (The order is defined in the same way.)

## Exercise

Let $A=[0,1]=\{x \in \mathbb{R} \mid 0 \leq x \leq 1\}$ be the interval of real numbers. Recall that, by definition of real numbers and complete lattice, $(A, \leq)$ is a complete lattice with least lattice element 0 and greatest lattice element 1 . Here $\sqcup$ is the least upper bound operator on sets of real numbers, also called supremum and denoted sup in real analysis.
Let function $f: A \rightarrow A$ be given by

$$
f(x)=\left\{\begin{array}{l}
\frac{1}{2}+\frac{1}{4} x, \text { if } x \in\left[0, \frac{2}{3}\right) \\
\frac{3}{5}+\frac{1}{5} x, \text { if } x \in\left[\frac{2}{3}, 1\right]
\end{array}\right.
$$

(It may help you to try to draw $f$.)
a) Prove that $f$ is monotonic and injective (so it is strictly monotonic).
b) Compute the set of fixpoints of $f$.
c) Define $\operatorname{iter}(x)=\sqcup\left\{f^{n}(x) \mid n \in\{0,1,2, \ldots\}\right\}$. (This is in fact equal to $\lim _{n \rightarrow \infty} f^{n}(x)$ when $f$ is a monotonic bounded function.)
Compute iter (0) (prove that the computed value is correct by definition of iter, that is, that the value is indeed $\sqcup$ of the set of values). Is iter(0) a fixpoint of $f$ ? Is iter(iter(0)) a fixpoint of $f$ ?

## Galois Connection

Galois connection (named after Évariste Galois) is defined by two monotonic functions $\alpha: C \rightarrow A$ and $\gamma: A \rightarrow C$ between partial orders $\leq$ on $C$ and $\sqsubseteq$ on $A$, such that

$$
\forall c, a . \quad \alpha(c) \sqsubseteq a \Longleftrightarrow c \leq \gamma(a) \quad(*)
$$

(intuitively the condition means that $c$ is approximated by $a$ ).

Lemma: The condition $(*)$ holds iff the conjunction of these two conditions:

$$
\begin{aligned}
c & \leq \gamma(\alpha(c)) \\
\alpha(\gamma(a)) & \sqsubseteq a
\end{aligned}
$$

holds for all $c$ and $a$.

## Abstract Interpretation Recipe

## Key steps (details to be filled in):

- design abstract domain $A$ that represents sets of program states
- define $\gamma: A \rightarrow C$ giving meaning to elements of $A$
- define lattice ordering $\sqsubseteq$ on $\boldsymbol{A}$ such that $a_{1} \sqsubseteq a_{2} \rightarrow \gamma\left(a_{1}\right) \subseteq \gamma\left(a_{2}\right)$
- define $s p^{\#}: A \times 2^{S \times S} \rightarrow A$ that maps an abstract element and a CFG statement to new abstract element, such that $s p(\gamma(a), r) \subseteq \gamma\left(s p^{\#}(a, r)\right)$
(for example, by defining function $\alpha$ so that ( $\alpha, \gamma$ ) becomes a Galois Connection)
- extend $s p^{\#}$ to work on control-flow graphs, by defining $F$ \# (handling multiple incoming edges)
- compute least fixpoint of F\#

