

A Rule of While Language Syntax

// Where things work very nicely for recursive descent!

statmt ::=

println (stringConst , ident)

| ident = expr

| if (expr) statmt (else statmt)?

| while (expr) statmt

| { statmt }*

Parser for the `statmt` (rule \rightarrow code)

```
def skip(t : Token) = if (lexer.token == t) lexer.next
  else error("Expected"+ t)
// statmt ::=
def statmt = {
  // println ( stringConst , ident )
  if (lexer.token == Println) { lexer.next;
    skip(openParen); skip(stringConst); skip(comma);
    skip(identifier); skip(closedParen)
  // | ident = expr
  } else if (lexer.token == Ident) { lexer.next;
    skip(equality); expr
  // | if ( expr ) statmt (else statmt)?
  } else if (lexer.token == ifKeyword) { lexer.next;
    skip(openParen); expr; skip(closedParen); statmt;
    if (lexer.token == elseKeyword) { lexer.next; statmt }
  // | while ( expr ) statmt
```

Continuing Parser for the Rule

```
// | while ( expr ) statmt
```

```
} else if (lexer.token == whileKeyword) { lexer.next;  
    skip(openParen); expr; skip(closedParen); statmt
```

```
// | { statmt* }
```

```
} else if (lexer.token == openBrace) { lexer.next;  
    while (isFirstOfStatmt) { statmt }  
    skip(closedBrace)
```

```
} else { error("Unknown statement, found token " +  
    lexer.token) }
```

How the parser decides which alternative to follow?

```
statmt ::= println ( stringConst , ident )
        | ident = expr
        | if ( expr ) statmt (else statmt)?
        | while ( expr ) statmt
        | { statmt* }
```

- Look what each alternative starts with to decide what to parse
- Here: we have terminals at the beginning of each alternative!
- More generally, we have ‘first’ computation, as for regular expressions

- Consider a grammar G and non-terminal N

$L_G(N) = \{ \text{set of strings that N can derive} \}$

e.g. $L(\text{statmt})$ – all statements of while language

$\text{first}(N) = \{ a \mid aw \text{ in } L_G(N), a - \text{terminal}, w - \text{string of terminals} \}$

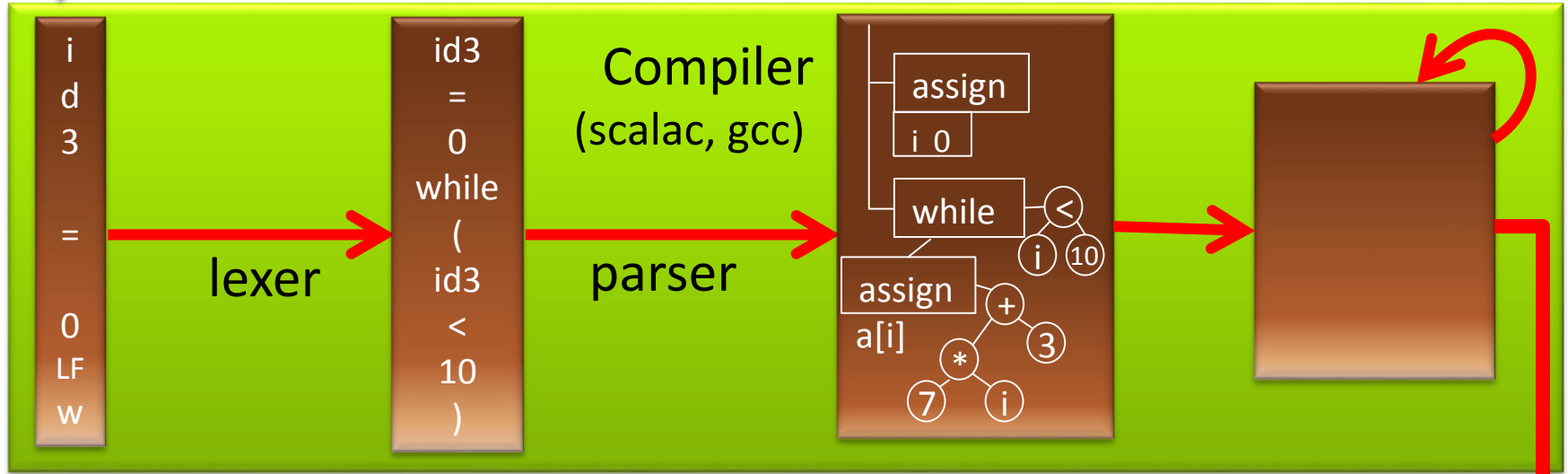
$\text{first}(\text{statmt}) = \{ \text{println, ident, if, while, } \{ \} \}$

$\text{first}(\text{while (expr) statmt}) = \{ \text{while} \}$

Compiler Construction

source code

```
id3 = 0  
while (id3 < 10) {  
  println("",id3);  
  id3 = id3 + 1 }  
}
```



characters

words
(tokens)

trees

Parse Tree vs Abstract Syntax Tree (AST)

- Each node in **parse tree** has children corresponding **precisely to right-hand side of grammar rules**. The definition of parse trees is fixed given the grammar
 - **Often compiler never actually builds parse trees in memory**
- Nodes in **abstract syntax tree (AST)** contain only useful information and usually omit the punctuation signs. We can choose our own syntax trees, to make it convenient for both construction in parsing and for later stages of compiler or interpreter
 - **A compiler typically directly builds AST**

Abstract Syntax Trees for Statements

`statmt ::= println (stringConst , ident)`

`| ident = expr`

`| if (expr) statmt (else statmt)?`

`| while (expr) statmt`

`| { statmt* }`

abstract class `Statmt`

case class `PrintlnS(msg : String, var : Identifier)` **extends** `Statmt`

case class `Assignment(left : Identifier, right : Expr)` **extends** `Statmt`

case class `If(cond : Expr, trueBr : Statmt,`
`falseBr : Option[Statmt])` **extends** `Statmt`

case class `While(cond : Expr, body : Expr)` **extends** `Statmt`

case class `Block(sts : List[Statmt])` **extends** `Statmt`

Abstract Syntax Trees for Statements

```
statmt ::= println ( stringConst , ident )  
        | ident = expr  
        | if ( expr ) statmt (else statmt)?  
        | while ( expr ) statmt  
        | { statmt* }
```

abstract class Statmt

case class PrintlnS(msg : String, var : Identifier) **extends** Statmt

case class Assignment(left : Identifier, right : Expr) **extends** Statmt

case class If(cond : Expr, trueBr : Statmt,
 falseBr : Option[Statmt]) **extends** Statmt

case class While(cond : Expr, body : Statmt) **extends** Statmt

case class Block(sts : List[Statmt]) **extends** Statmt

Our Parser Produced Nothing ☹️

```
def skip(t : Token) : unit = if (lexer.token == t) lexer.next  
  else error("Expected"+ t)
```

```
// statmt ::=
```

```
def statmt : Unit = {
```

```
  // println ( stringConst , ident )
```

```
  if (lexer.token == Println) { lexer.next;
```

```
    skip(openParen); skip(stringConst); skip(comma);
```

```
    skip(identifier); skip(closedParen)
```

```
  // | ident = expr
```

```
  } else if (lexer.token == Ident) { lexer.next;
```

```
    skip(equality); expr
```

New Parser: Returning an AST 😊

```
def expect(t : Token) : Token = if (lexer.token == t) { lexer.next;t}
  else error("Expected"+ t) 
// statmt ::=
def statmt : Statmt = {
  // println ( stringConst , ident )
  if (lexer.token == Println) { lexer.next;
    skip(openParen); val s = getString(expect(stringConst));
    skip(comma);
    val id = getIdent(expect(identifier)); skip(closedParen)
    PrintlnS(s, id)
  // | ident = expr
  } else if (lexer.token.class == Ident) { val lhs = getIdent(lexer.token)
    lexer.next;
    skip(equality); val e = expr
    Assignment(lhs, e)
```

Constructing Tree for 'if'

```
def expr : Expr = { ... }
```

```
// statmt ::=
```

```
def statmt : Statmt = {
```

```
  ...
```

```
// if ( expr ) statmt (else statmt)?
```

```
// case class If(cond : Expr, trueBr: Statmt, falseBr: Option[Statmt])
```

```
  } else if (lexer.token == ifKeyword) { lexer.next;  
    skip(openParen); val c = expr; skip(closedParen);
```

```
    val trueBr = statmt
```

```
    val elseBr = if (lexer.token == elseKeyword) {  
      lexer.next; Some(statmt) } else None
```

```
    If(c, trueBr, elseBr) // made a tree node 😊
```

```
  }
```

Task: Constructing AST for 'while'

```
def expr : Expr = { ... }
```

```
// statmt ::=
```

```
def statmt : Statmt = {
```

```
...      ↙ ↓ ↓
```

```
// while ( expr ) statmt
```

```
// case class While(cond : Expr, body : Expr) extends Statmt
```

```
} else if (lexer.token == WhileKeyword) { lexer.next
```

```
    skip (openParen)
```

```
    val e = expr
```

```
    skip (closedParen)
```

```
    val s = statmt
```

```
    while (e, s)
```

```
} else
```

Here each alternative started with
different token

statmt ::=

println (stringConst , ident)
| ident = expr
| if (expr) statmt (else statmt)?
| while (expr) statmt
| { statmt* }

What if this is not the case?

Left Factoring Example: Function Calls

statmt ::=

println (stringConst , ident)

foo = 42 + x

foo (u , v)



| ident = expr

| if (expr) statmt (else statmt)?

| while (expr) statmt

| { statmt* }



| ident (expr (, expr)*)

code to parse the grammar:

```
} else if (lexer.token.class == Ident) {
```

```
    ???
```

```
}
```

Left Factoring Example: Function Calls

stmt ::= $x \cdot y + x \cdot z$
println (stringConst , ident) = $x (y + z)$

⇒ | ident assignmentOrCall
| if (expr) stmt (else stmt)?
| while (expr) stmt
| { stmt* }

assignmentOrCall ::= “=” expr | (expr (, expr)*)

code to parse the grammar:

```
} else if (lexer.token.class == Ident) {  
    val id = getIdentifier(lexer.token); lexer.next  
    assignmentOrCall(id)  
}  
// Factoring pulls common parts from alternatives
```


Beyond Statements: Parsing Expressions

While Language with Simple Expressions

statmt ::=

println (stringConst , ident)

| ident = expr

| if (expr) statmt (else statmt)?

| while (expr) statmt

| { statmt* }

expr ::= intLiteral | ident

| expr (+ | /) expr

| expr + expr

| expr / expr

Abstract Syntax Trees for Expressions

```
expr ::= intLiteral | ident  
      | expr + expr | expr / expr
```

abstract class Expr

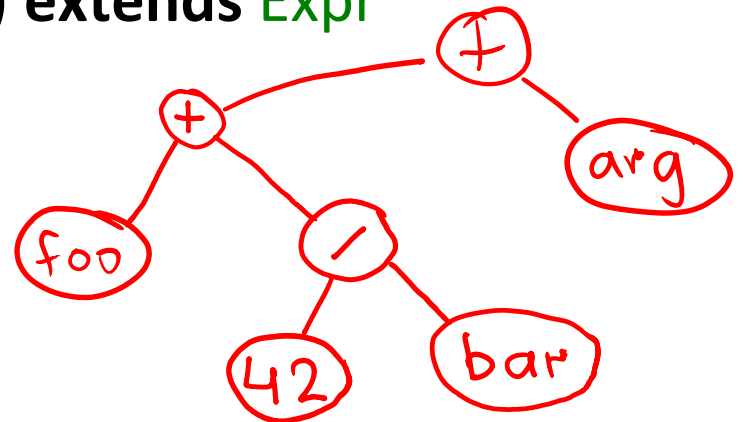
case class IntLiteral(x : Int) **extends** Expr

case class Variable(id : Identifier) **extends** Expr

case class Plus(e1 : Expr, e2 : Expr) **extends** Expr

case class Divide(e1 : Expr, e2 : Expr) **extends** Expr

foo + 42 / bar + arg



Parser That Follows the Grammar?

```
expr ::= intLiteral | ident  
      | expr + expr | expr / expr
```

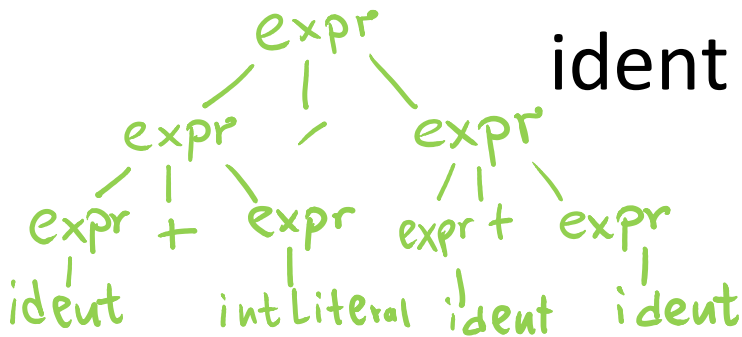
input:
foo + 42 / bar + arg

```
def expr : Expr = {  
  if (??) IntLiteral(getInt(lexer.token))  
  else if (??) Variable(getIdent(lexer.token))  
  else if (??) {  
    val e1 = expr; val op = lexer.token; val e2 = expr  
    op match Plus {  
      case PlusToken => Plus(e1, e2)  
      case DividesToken => Divides(e1, e2)  
    }  
  }  
}
```

When should parser enter the recursive case?!

Ambiguous Grammars

```
expr ::= intLiteral | ident  
      | expr + expr | expr / expr
```



ident + intLiteral / ident + ident

Each node in parse tree is given by one grammar alternative.

Ambiguous grammar: if some token sequence has multiple parse trees (then it is has multiple abstract trees).

Ambiguous grammar: if some token sequence
has multiple parse trees
(then it is usually has multiple abstract trees)

Two parse trees, each following the grammar,
their leaves both give the same token
sequence.

Ambiguous Expression Grammar

```
expr ::= intLiteral | ident  
      | expr + expr | expr / expr
```

ident + intLiteral / ident + ident

Each node in parse tree is given by one grammar alternative.

Show that the input above has two parse trees!

Exercise: Balanced Parentheses I

Show that the following balanced parentheses grammar is ambiguous (by finding two parse trees for some input sequence).

$B ::= \varepsilon \mid (B) \mid B B$

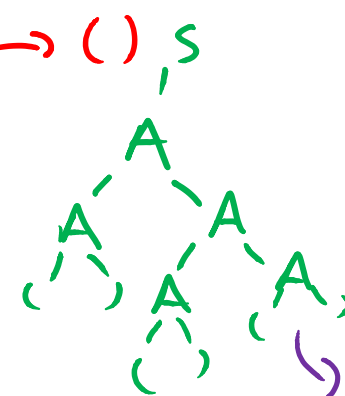
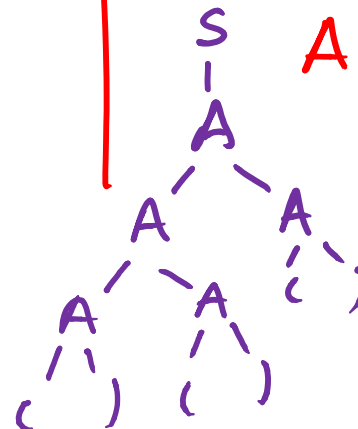
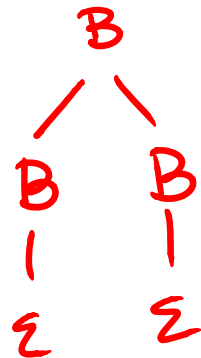
$S \rightarrow \varepsilon \mid A$

$A \rightarrow (A)$

$A \rightarrow \underline{A A}$

$A \rightarrow () S$

B
|
 ε



ε ✓
 $()$ ✓
 $() ()$?
 $() () ()$

Remark

$$B \rightarrow \varepsilon \mid (B)B$$

- The same parse tree can be derived using two different derivations, e.g.

$$B \rightarrow (B) \rightarrow (BB) \rightarrow ((B)B) \rightarrow ((B)) \rightarrow (())$$

$$B \rightarrow (B) \rightarrow (BB) \rightarrow ((B)B) \rightarrow (())B \rightarrow (())$$

this correspond to different orders in which nodes in the tree are expanded.

- Ambiguity refers to the fact that there are actually multiple *parse trees*, not just multiple derivations.

Exercise: Balanced Parentheses

Show that the following balanced parentheses grammar is ambiguous (by finding two parse trees for some input sequence) **and find unambiguous grammar for the same language.**

$$B ::= \varepsilon \mid (B) \mid B B$$

Not Quite Solution

- This grammar:

$$B ::= \varepsilon \mid A$$
$$A ::= () \mid A A \mid (A)$$

solves the problem with multiple ε symbols generating different trees.

Does string $()()()$ have a unique parse tree?

Solution for Unambiguous Parenthesis

Grammar $B ::= \varepsilon \mid BB \mid (B)$

- Proposed solution:

$$B ::= \varepsilon \mid B (B)$$

- How to come up with it?
- Clearly, rule $B ::= B B$ generates any sequence of B's. We can also encode it like this:

$$B ::= C^*$$
$$C ::= (B)$$

- Now we express sequence using recursive rule that does not create ambiguity:

$$B ::= \varepsilon \mid C B$$
$$C ::= (B)$$

- but now, look, we "inline" C back into the rules for so we get exactly the rule

$$B ::= \varepsilon \mid B (B)$$

This grammar is not ambiguous and is the solution. We did not prove unambiguity (we only tried to find ambiguous trees but did not find any).

Exercise:

Left Recursive and Right Recursive

We call a production rule "left recursive" if it is of the form

$$A ::= A p$$

for some sequence of symbols p . Similarly, a "right-recursive" rule is of a form

$$A ::= q A$$

$$\begin{array}{l} S ::= A | \epsilon \\ A ::= AB \\ A ::= CA \end{array}$$

Is every context free grammar that contains both left and right recursive rule for a some nonterminal A ambiguous?

$$G = (\dots, S, \dots) \\ \text{with } (C) = \{b\}$$

$$\begin{array}{l} S ::= b \\ A ::= AC \\ A ::= bA \\ A ::= \epsilon \end{array}$$

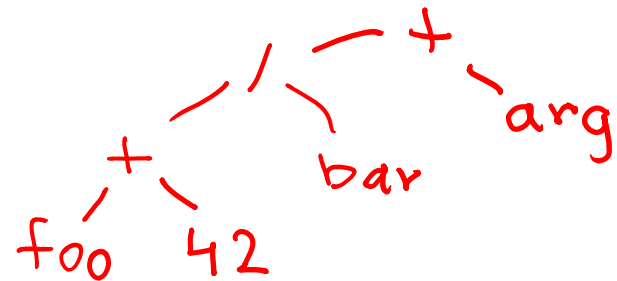
$$\begin{array}{l} A \Rightarrow AC \Rightarrow ACC \\ \Rightarrow bACC \\ \Rightarrow bCC \end{array}$$

An attempt to rewrite the grammar

```
expr ::= simpleExpr (( + | / ) simpleExpr)*  
simpleExpr ::= intLiteral | ident
```

```
def simpleExpr : Expr = { ... }  
def expr : Expr = {  
  var e = simpleExpr  
  while (lexer.token == PlusToken ||  
         lexer.token == DividesToken) {  
    val op = lexer.token  
    val eNew = simpleExpr  
    op match {  
      case TokenPlus => { e = Plus(e, eNew) }  
      case TokenDiv => { e = Divide(e, eNew) }  
    }  
  }  
  e }  
}
```

foo + 42 / bar + arg



$expr ::= mulExpr$
 $| mulExpr (+ expr)$

$mulExpr ::= simpleExpr$
 $| simpleExpr (/ mulExpr)$

Not ambiguous, but gives wrong tree.

Making Grammars Unambiguous

- some useful recipes -

Ensure that there is always only one parse tree

Construct the correct abstract syntax tree

Goal: Build Expression Trees

abstract class Expr

case class Variable(id : Identifier) **extends** Expr

case class Minus(e1 : Expr, e2 : Expr) **extends** Expr

case class Exp(e1 : Expr, e2 : Expr) **extends** Expr

$$2^3 \cdot 3^5 = 2^{3^2}$$

$$e1 - e2 - e3$$

different parse trees give ASTs:

Minus(e1, Minus(e2,e3))

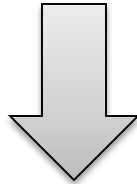
$e1 - (e2 - e3)$

Minus(Minus(e1,e2),e3)

$(e1 - e2) - e3$

1) Layer the grammar by priorities

$\text{expr} ::= \text{ident} \mid \text{expr} - \text{expr} \mid \text{expr} \wedge \text{expr} \mid (\text{expr})$



ident - ident ^ ident - ident

$\text{expr} ::= \text{term} (- \text{term})^*$
 $\text{term} ::= \text{factor} (\wedge \text{factor})^*$
 $\text{factor} ::= \text{id} \mid (\text{expr})$

lower priority binds weaker,
so it goes outside

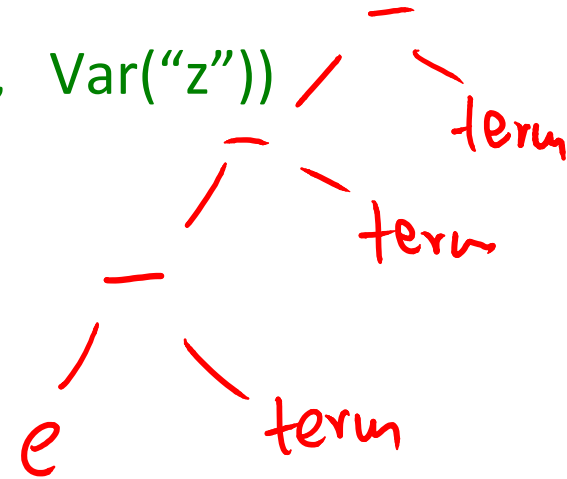
2) Building trees: left-associative "-"

LEFT-associative operator

$x - y - z \rightarrow (x - y) - z$

$\text{Minus}(\text{Minus}(\text{Var}("x"), \text{Var}("y")), \text{Var}("z"))$

```
def expr : Expr = {  
  var e = term  
  while (lexer.token == MinusToken) {  
    lexer.next  
    e = Minus(e, term)  
  }  
  e  
}
```

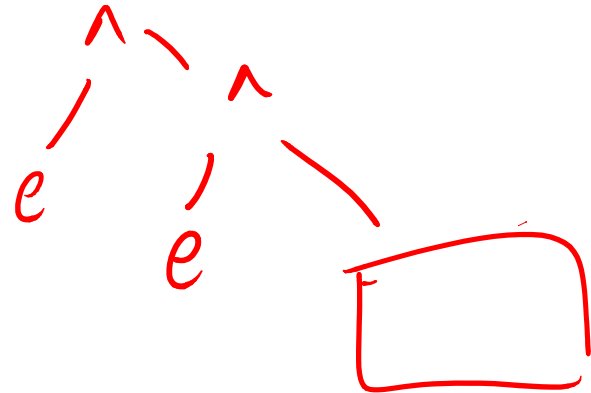


3) Building trees: right-associative "^"

RIGHT-associative operator – using recursion
(or also loop and then reverse a list)

$x \wedge y \wedge z \rightarrow x \wedge (y \wedge z)$
`Exp(Var("x"), Exp(Var("y"), Var("z"))))`

```
def expr : Expr = {  
  val e = factor  
  if (lexer.token == ExpToken) {  
    lexer.next  
    Exp(e, expr)  
  } else e  
}
```



Manual Construction of Parsers

- Typically one applies previous transformations to get a nice grammar
- Then we write recursive descent parser as set of mutually recursive procedures that check if input is well formed
- Then enhance such procedures to construct trees, paying attention to the associativity and priority of operators