## Soundness of Types

# Ensuring that a type system is not broken

## Example: Tootool 0.1 Language



**Tootool** is a rural community in the central east part of the Riverina [New South Wales, Australia]. It is situated by road, about 4 kilometres east from French Park and 16 kilometres west from The Rock.

Tootool Post Office opened on 1 August 1901 and closed in 1966. [Wikipedia]

#### unsound

# Type System for Tootool 0.1

```
Pos <: Int
```

Neg <: Int

does it type check?

var p : Pos

var q : Neg

varr: Pos

$$q = -5$$

$$p = q$$

$$\Gamma = \{(p, Pos), (q, Neg), (r, Pos), (intSqrt, Pos \rightarrow Pos)\}$$

r = intSqrt(p)

Runtime error: intSqrt invoked with a negative argument!

## What went wrong in *Tootool 0.1*?

Pos <: Int

Neg <: Int

does it type check? - yes
def intSqrt(x:Pos) : Pos = { ...}

var p : Pos

var q : Neg

var r : Pos

$$q = -5$$

$$p = q$$

$$\Gamma = \{(p, Pos), (q, Neg), (r, Pos), (intSqrt, Pos \rightarrow Pos)\}$$

$$r = intSqrt(p)$$

Runtime error: intSqrt invoked with a negative argument!

x must be able to store any value from T value from T  $\frac{? \quad \Gamma \vdash e \colon T}{\Gamma \vdash (x = e) \colon void}$ 

Cannot use  $\Gamma \vdash e: T$  to mean "x promises it can store any  $e \in T$ "

## **Recall Our Type Derivation**

Pos <: Int

Neg <: Int

$$\frac{\Gamma \vdash x \colon T \qquad \Gamma \vdash e \colon T}{\Gamma \vdash (x = e) \colon void} \quad \text{assignment}$$

$$\frac{\Gamma \vdash e \colon T \qquad \Gamma \vdash T <\colon T'}{\Gamma \vdash e \colon T'} \quad \text{subtyping}$$

does it type check? – yes

def intSqrt(x:Pos) : Pos = { ...}

var p : Pos

var q : Neg

var r : Pos

$$q = -5$$
  
 $p = q$ 
 $i = \{(p, Pos), (q, Neg), (r, Pos), (intSqrt, Pos  $\rightarrow Pos)\}$$ 

r = intSqrt(p)

Runtime error: intSqrt invoked with a negative argument!

Values from p are integers. But p did not promise to store all kinds of integers/ Only positive ones!

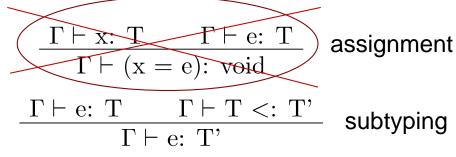
q: NegNeg <: Int</th>q: Int

(p=q): void

## Corrected Type Rule for Assignment

Pos <: Int

Neg <: Int



does it type check?

def intSqrt(x:Pos) : Pos = { ...}

var p : Pos

var q : Neg

var r : Pos

$$q = -5$$
  
 $p = q$ 
 $i = \{(p, Pos), (q, Neg), (r, Pos), (intSqrt, Pos  $\rightarrow Pos)\}$ 
 $r = intSqrt(p)$$ 

does not type check

x must be able to store any value from T

$$\frac{(x,T) \in \Gamma \quad \Gamma \vdash e: T}{\Gamma \vdash (x = e): \text{ void}}$$

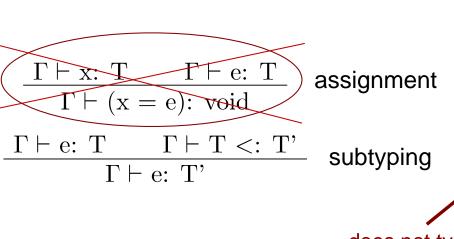
e can have any value from T

 $\Gamma$  stores declarations (promises)

## Corrected Type Rule for Assignment

Pos <: Int

Neg <: Int



does it type check?

def intSqrt(x:Pos) : Pos = { ...}

var p : Pos

var q : Neg

var r : Pos

q = -5p = q  $i = \{(p, Pos), (q, Neg), (r, Pos), (intSqrt, Pos <math>\rightarrow Pos)\}$  r = intSqrt(p)

does not type check

Is there another way to fix the type system?

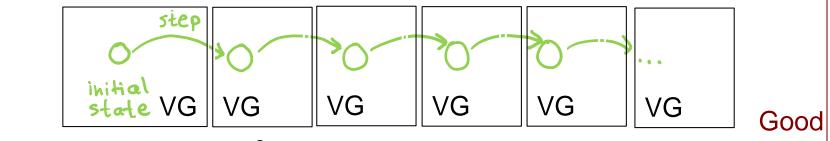
# How could we ensure that some other programs will not break?

Type System Soundness

## **Proving Soundness of Type Systems**

- Goal of a sound type system:
  - if a program type checks, it never "crashes"
  - crash = some precisely specified bad behavior
    e.g. invoking an operation with a wrong type
    - dividing a string by another string: "cat" / "frog"
    - trying to *multiply* a Window object by a File object
    - e.g. dividing an integer by zero
- Never crashes: no matter how long it executes
  - proof is done by induction on program execution

## **Proving Soundness by Induction**



- Program moves from state to state
- Bad state = state where program is about to exhibit a bad operation ("cat" / "frog")
- Good state = state that is not bad
- To prove:
   program type checks → states in all executions are good
- Usually need a stronger inductive hypothesis;
   some notion of very good (VG) state such that:
   program type checks → program's initial state is very good
   state is very good → next state is also very good
   state is very good → state is good (not about to crash)

## A Simple Programming Language

var x : Pos

var y: Int

var z : Pos

x = 3

position in source

y = -5

z = 4

x = x + z

y = x / z

z = z + x

Initially, all variables have value 1

values of variables:

x = 1

y = 1

z = 1

var x : Pos

var y : Int

var z : Pos

$$x = 3$$

y = -5 position in source

$$z = 4$$

$$x = x + z$$

$$y = x / z$$

$$z = z + x$$

$$x = 3$$

$$y = 1$$

$$z = 1$$

position in source

var x : Pos

var y : Int

var z : Pos

$$x = 3$$

$$y = -5$$

z = 4

x = x + z

y = x / z

z = z + x

$$x = 3$$

$$y = -5$$

$$z = 1$$

var x : Pos

var y : Int

var z : Pos

$$x = 3$$

$$y = -5$$

$$z = 4$$

$$X = X + Z$$

position in source

$$y = x / z$$

$$z = z + x$$

$$x = 3$$

$$y = -5$$

$$z = 4$$

position in source

var x : Pos

var y : Int

var z : Pos

$$x = 3$$

$$y = -5$$

$$z = 4$$

$$x = x + z$$

$$y = x / z$$

z = z + x

$$x = 7$$

$$y = -5$$

$$z = 4$$

```
var x : Pos
var y : Int
var z : Pos
x = 3
y = -5
z = 4
x = x + z
y = x / z
z = z + x
position in source
```

values of variables:

$$x = 7$$

$$y = 1$$

$$z = 4$$

formal description of such program execution is called operational semantics

## Operational semantics

Operational semantics gives meaning to programs by describing how the program state changes as a sequence of steps.

 Small-step Operational Semantics (SOS): consider individual steps (e.g. z = x + y)

V: set of variables in the program

pc: integer variable denoting the program counter

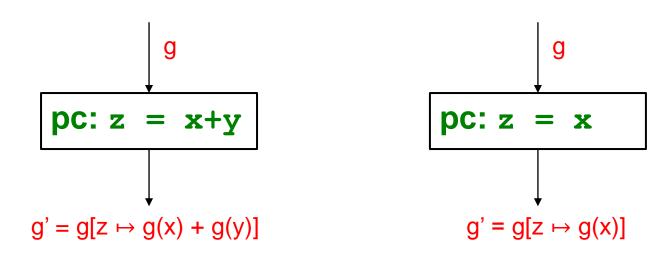
g:  $V \rightarrow Int$  function giving the values of program variables

(g, pc) program state

Then, for each possible statement in the program we define how it changes the program state.

Big-step semantics: consider the effect of entire blocks

## Operational semantics



#### Operation semantics

- If pc: z = x + y,  $(g, pc) \rightarrow (g', pc + 1)$ , where  $g' = g[z \mapsto g(x)+g(y)]$
- If pc: z = x,  $(g, pc) \rightarrow (g', pc + 1)$ , where  $g' = g[z \mapsto g(x)]$

## Type Rules of Simple Language

#### **Programs:**

 $var x_1 : Pos$  $var x_2 : Int$ 

 $var x_n : Pos$ 

variable declarations var x: Pos (strictly positive)

or

var x: Int

followed by

 $x_i = x_i$  $x_p = x_q + x_r$ 

 $x_{p} = x_{q} + x_{r}$   $x_{q} = x_{q} + x_{r}$ 

statements of one of the forms

Type rules:

$$\Gamma = \{ (x_1, Pos), (x_2, Int), \}$$

 $(x_n, Pos)$ 

Pos <: Int

$$\frac{(x,T) \in \Gamma \qquad \Gamma \vdash e : T}{\Gamma \vdash (x=e) : void}$$

$$\frac{\Gamma \vdash x : T \qquad T <: T'}{\Gamma \vdash x : T'}$$

$$\frac{(x,T) \in \Gamma}{\Gamma \vdash x : T} \quad \frac{e_1 : Int}{e_1 + e_2 : Int}$$

(No complex expressions)

k: Pos -k: Int

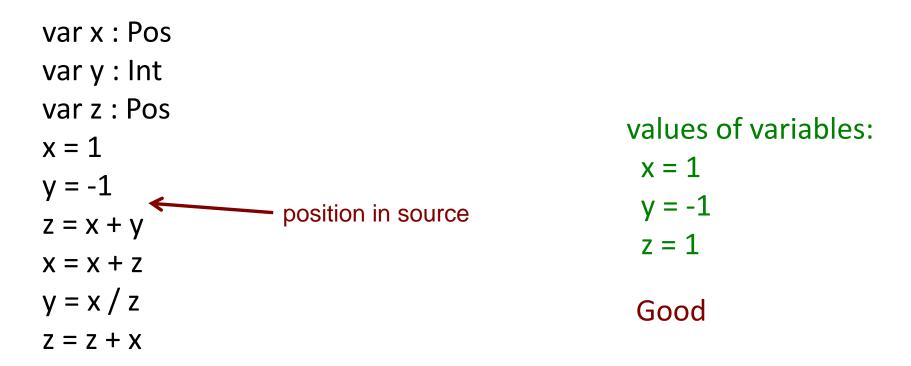
# Bad State: About to Divide by Zero (Crash)

```
var x : Pos
var y : Int
var z : Pos
x = 1
y = -1
z = x + y
x = x + z
y = x / z
z = z +
values of
x = 1
y = -1
z = 0
```

values of variables:

Definition: state is *bad* if the next instruction is of the form  $x_i = x_j / x_k$  and  $x_k$  has value 0 in the current state.

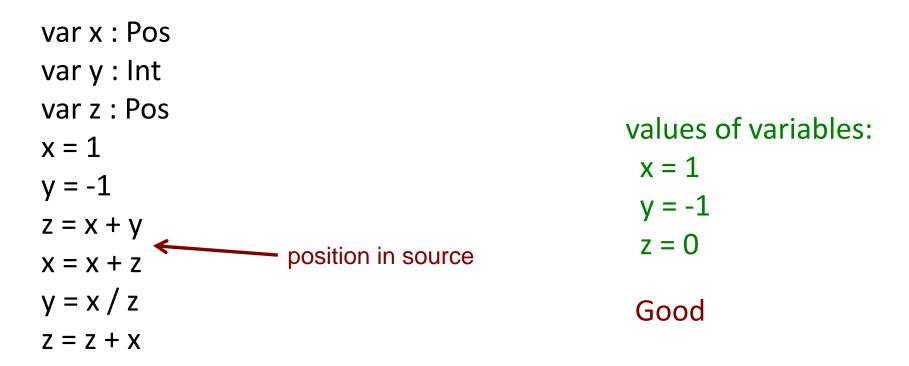
### Good State: Not (Yet) About to Divide by Zero



Definition: state is good if it is not bad.

Definition: state is *bad* if the next instruction is of the form  $x_i = x_j / x_k$  and  $x_k$  has value 0 in the current state.

### Good State: Not (Yet) About to Divide by Zero



Definition: state is good if it is not bad.

Definition: state is *bad* if the next instruction is of the form  $x_i = x_j / x_k$  and  $x_k$  has value 0 in the current state.

### Moved from Good to Bad in One Step!

Being good is not preserved by one step, not inductive! It is very local property, does not take future into account.

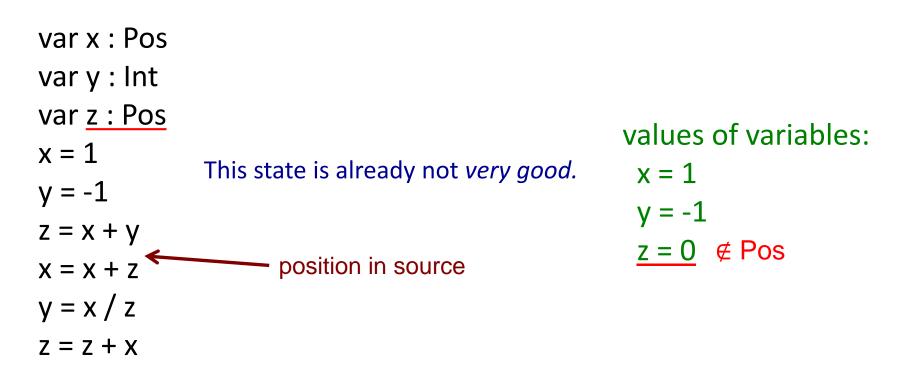
 $\begin{array}{l} \text{var } x : \text{Pos} \\ \text{var } y : \text{Int} \\ \text{var } z : \text{Pos} \\ \text{x} = 1 \\ \text{y} = -1 \\ \text{z} = x + y \\ \text{x} = x + z \\ \text{y} = x / z \end{array} \qquad \begin{array}{l} \text{values of variables:} \\ \text{x} = 1 \\ \text{y} = -1 \\ \text{z} = 0 \end{array}$ 

Definition: state is *good* if it is not *bad*.

Definition: state is *bad* if the next instruction is of the form  $x_i = x_i / x_k$  and  $x_k$  has value 0 in the current state.

### Being Very Good: A Stronger Inductive Property

Pos = 
$$\{1, 2, 3, ...\}$$



Definition: state is *good* if it is not about to divide by zero.

Definition: state is *very good* if each variable belongs to the domain determined by its type (if z:Pos, then z is strictly positive).

## **Proving Soundness - Intuition**

We want to show if a program type checks:

- It will be very good at the start
- if it is very good in the current step, it will remain very good in the next step
- If it is very good, it will not crash

Hence, please type check your program, and it will never crash!

Soundnes proof = defining "very good" and checking the properties above.

## Proving Soundness in Our Case

Holds: in initial state, variables are =1

- If a program *type checks*:
  - $\sqrt{\phantom{a}}$  It will be *very good* from at start.
- 1 ∈ Pos 1 ∈ Int
- if it is very good in the current step, it will remain very good in the next
- $\checkmark$  If it is *very good*, it will not *crash*.

If next state is x / z, type rule ensures z has type Pos Because state is very good, it means  $z \in Pos$  so z is not 0, and there will be no crash.

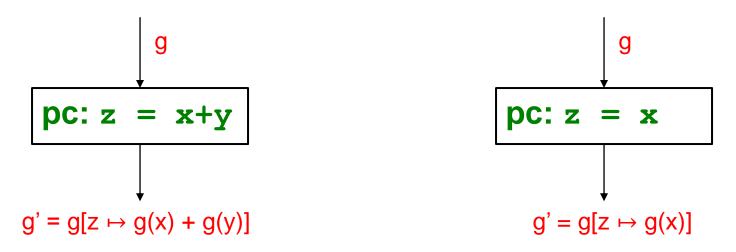
Definition: state is *very good* if each variable belongs to the domain determined by its type (if z:Pos, then z is strictly positive).

# Proving that "very goodness" is preserved by state transition

- How do we prove
  - if you are very good, then you will remain very good in the next step
  - Irrespective of the actual program
- We could use SOS small step operational semantics here.

# Proving that "very goodness" is preserved by state transition

Hypothesize that g is very good



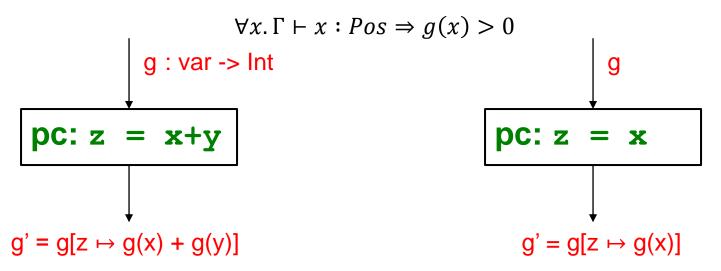
Prove that g' is very good When the program type checks

 Do this for every possible "step" of the operational semantics

## Proving this for our little type system

#### Hypothesize that the following holds in g

For all vars x, x:Pos => x is strictly positive



Prove that the following holds in g'

For all vars x, x:Pos => x is strictly positive

$$\forall x. \Gamma \vdash x : Pos \Rightarrow g'(x) > 0$$

- Can we prove this?
  - Only if we are given that the program type checks

## Recall the Type Rules

Pos <: Int

$$\frac{(x,T) \in \Gamma \qquad \Gamma \vdash e : T}{\Gamma \vdash (x=e) : void}$$

$$\frac{\Gamma \vdash x : T \qquad T <: T'}{\Gamma \vdash x : T'}$$

$$\frac{(x,T) \in \Gamma}{\Gamma \vdash x : T}$$

$$\frac{e_1:Int}{e_1+e_2:Int}$$

$$\frac{e_1:Int \qquad e_2:Pos}{e_1/e_2:Int} \qquad \qquad \frac{e_1:Pos \qquad e_2:Pos}{e_1+e_2:Pos}$$

$$\frac{e_1: Pos}{e_1 + e_2: Pos}$$

### Back to the start

$$\frac{\Gamma \vdash x : T \qquad \Gamma \vdash e : T}{\Gamma \vdash (x = e) : void}$$

$$\frac{\Gamma \vdash x : T \qquad T <: T'}{\Gamma \vdash x : T'}$$

$$\frac{(x,T) \in \Gamma}{\Gamma \vdash x : T}$$

$$e_1: Int \qquad e_2: Int \\ e_1 + e_2: Int$$

$$e_1: Int \qquad e_2: Pos$$
$$e_1/e_2: Int$$

$$\frac{e_1: Pos}{e_1 + e_2: Pos}$$

Does the proof still work?

If not, where does it break?

# Let's type check some programs Example 1

```
var x : Pos
var y : Pos
var z : Pos
                                                   values of variables:
y = 3
                                                    x = 1
z = 2
                                                    y = 3
                     position in source
z = x + y
                                                    z = 2
X = X + Z
y = x / z
               the next statement is: z=x+y
               where x,y,z are declared Pos.
z = z + x
```

Goal: provide a type derivation for the program

## Example 2

```
var x : Pos
var y : Int
var z : Pos
                                                   values of variables:
y = -5
                                                    x = 1
z = 2
                                                    y = -5
                     position in source
z = x + y
                                                    7 = 2
X = X + Z
y = x / z
               the next statement is: z=x+y
               where x,z declared Pos, y declared Int
z = z + x
```

Goal: prove that the program type checks impossible, because z=x+y would not type check

How do we know it could not type check?

## Must Carefully Check Our Type Rules

var x : Pos

var y : Int

var z : Pos

y = -5

z = 2

z = x + y

X = X + Z

y = x / z

z = z + x

Conclude that the only

types we can derive are:

x: Pos, x: Int

y:Int

x + y : Int

Cannot type check

z = x + y in this environment.

Type rules:

$$\Gamma = \{ (x_1, Pos), (x_2, Int), \}$$

 $(x_n, Pos)$ 

Pos <: int

$$\frac{(x,T) \in \Gamma \qquad \Gamma \vdash e : T}{\Gamma \vdash (x=e) : void}$$

$$\frac{\Gamma \vdash x : T \qquad T <: T'}{\Gamma \vdash x : T'}$$

$$\frac{(x,T) \in \Gamma}{\Gamma \vdash x : T} \quad \frac{e_1 : Int}{e_1 + e_2 : Int}$$

$$\frac{e_1: Pos}{e_1 + e_2: Pos}$$

k: Pos -k: Int

# We would need to check all cases (there are many, but they are easy)

### Remark

We used in examples Pos <: Int</li>

Same examples work if we have

```
class Int { ... }
class Pos extends Int { ... }
```

and is therefore relevant for OO languages

## What if we want more complex types?

```
class A { }

    Should it type check?

class B extends A
                    Ooes this type check in Java?
  void foo() { }
                        can you run it?

    Does this type check in Scala?

class Test {
  public static void main(String[]
args) {
    B[] b = new B[5];
    A[] a;
    a = b;
    System.out.println("Hello,");
    a[0] = new A();
    System.out.println("world!");
    b[0].foo();
```

## What if we want more complex types?

Suppose we add to our language a reference type:

class Ref[T](var content : T)

#### **Programs:**

 $var x_1 : Pos$ 

 $var x_2 : Int$ 

var x<sub>3</sub> : Ref[Int]

 $var x_4 : Ref[Pos]$ 

x = y

x = y + z

x = y / z

x = y + z.content

x.content = y

#### Exercise 1:

Extend the type rules to use with

Ref[T] types.

Show your new type system is

sound.

#### Exercise 2:

Can we use the subtyping rule? If not, where does the proof break?

$$\frac{T <: T'}{Ref[T] <: Ref[T']}$$

### class Ref[T](var content : T)

#### Can we use the subtyping rule

$$\begin{array}{ccc} T <: T' & Pos <: Int \\ \hline Ref[T] <: Ref[T'] & Ref[Pos] <: Ref[Int] \end{array}$$

```
var x : Ref[Pos]
var y : Ref[Int]
var z : Int
```

x.content = 1

y.content = -1

y = xy.content = 0z = z / x.content  $(y, Ref[Int]) \in \Gamma$ 

 $\Gamma \vdash x : Ref[Pos] \quad \Gamma \vdash Ref[Pos] <: Ref[Int]$ 

 $\Gamma \vdash x$ : Ref[Int]

 $\Gamma \vdash (y = x)$ : void

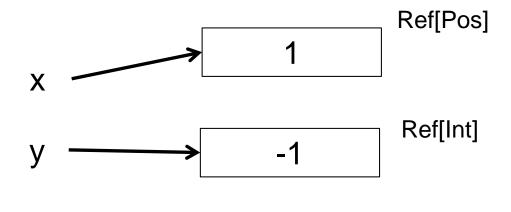
type checks

#### class Ref[T](var content : T)

#### Can we use the subtyping rule

$$\frac{T <: T'}{Ref[T] <: Ref[T']}$$

var x : Ref[Pos]
var y : Ref[Int]
var z : Int
x.content = 1
y.content = -1
y = x
y.content = 0
z = z / x.content



### class Ref[T](var content : T)

#### Can we use the subtyping rule

$$\frac{T <: T'}{Ref[T] <: Ref[T']}$$

var x : Ref[Pos]

var y : Ref[Int]

var z : Int

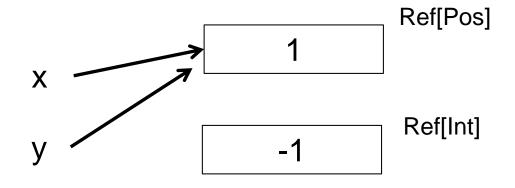
x.content = 1

y.content = -1

y = x

y.content = 0

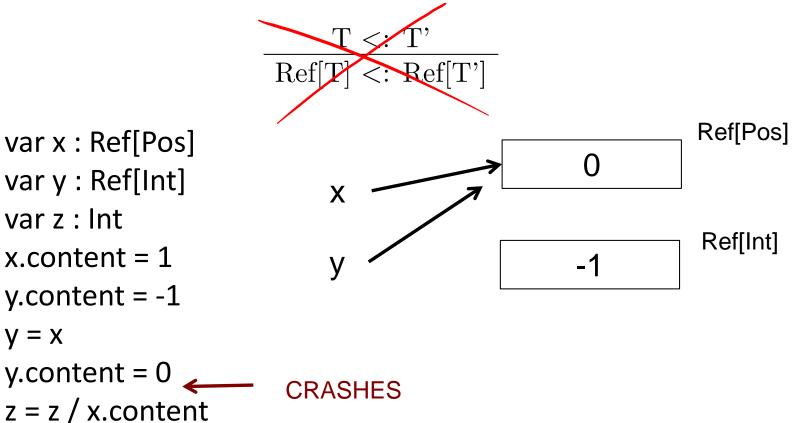
z = z / x.content



class Ref[T](var content : T)

y = x

Can we use the subtyping rule



## Analogously

### class Ref[T](var content : T)

Can we use the converse subtyping rule

$$\frac{T <: T'}{Ref[T'] <: Ref[T]}$$

var x : Ref[Pos]

var y : Ref[Int]

var z : Int

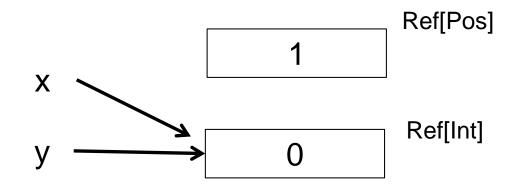
x.content = 1

y.content = -1

x = y

y.content = 0

z = z / x.content



**CRASHES** 

## Mutable Classes do not Preserve Subtyping

# Same Holds for Arrays, Vectors, all mutable containers

Even if T <: T',

Array[T] and Array[T'] are unrelated types

```
var x : Array[Pos](1)
var y : Array[Int](1)
var z : Int
x[0] = 1
y[0] = -1
y = x
y[0] = 0
z = z / x[0]
```

### Case in Soundness Proof Attempt

### class Ref[T](var content : T)

#### Can we use the subtyping rule

$$\frac{T <: T'}{Ref[T] <: Ref[T']}$$

var x : Ref[Pos]

var y : Ref[Int]

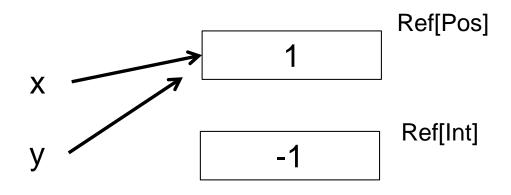
var z : Int

x.content = 1

y.content = -1

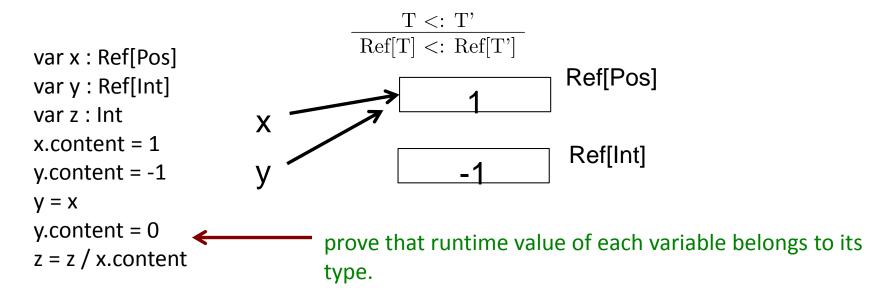
y = x

y.content = 0z = z / x.content



prove that runtime value of each variable belongs to its type.

## Soundness Proof Attempt [Cont.]



- Need to have an operational semantics for the language
- State g : (Var U Addr) -> (Int U Addr)
- A very good property that we need :
  - $\forall x. \Gamma \vdash x : \text{Ref[Pos]} \Rightarrow g(g(x)) > 0$
  - Cannot prove this property is preserved because g(x) = g(y) and "y.content = 0" may update g(g(x)) (given by operational semantics)
- Proof will not work for any stronger properties also

#### Mutable vs Immutable Containers

- Immutable container, Coll[T]
  - has methods of form e.g. get(x:A) : T
  - if T <: T', then Coll[T'] has get(x:A) : T'</pre>
  - we have (A → T) <: (A→ T') covariant rule for functions, so Coll[T] <: Coll[T']</p>
- Write-only data structure have
  - setter-like methods, set(v:T) : B
  - if T <: T', then Container[T'] has set(v:T') : B</pre>
  - would need (T' → B) <: (T → B)</li>
     contravariance for arguments, so Coll[T'] <: Coll[T]</li>
- Read-Write data structure need both. That is coll[T] is invariant in T

# A cool exercise – Physical Units as Types

- Define a "unit type" by the following grammar
- $u \rightarrow b \mid u^{-1} \mid u * u$
- $b \rightarrow kg \mid m \mid s \mid A \mid K \mid mole \mid cd$
- We use the syntactic sugar
  - $u^n$  to denote u multiplied with u n-times
  - $-\frac{u_1}{u_2}$  to denote  $u_1 * u_2^{-1}$
- Give the type rules for the arithmetic operations +,\*,/, sqrt, sin, abs.
- Trigonometric functions take argument without units
- An expression has no units if  $\Gamma \vdash e$ : 1

# Physical Units as Types Part 2

- The unit expressions are strings, so
- $\frac{S^2m^2}{m^2s}$  and s will not be considered as same types though they have same units
- How can we modify the type rules so that they type check expressions, whenever their units match as per physics?

# Physical Units as Types Part 3

Determine the type of T in the following code fragment.

- val x: < m > = 800
- val y: < m > = 6378
- val g: < m/(s\*s) > = 9.8
- val R = x + y
- val w = sqrt(g/R)
- val T = (2 \* Pi) / w

# Physical Units as Types Part 4

Suppose you want to use the unit *feet* in addition to the SI units. How can you extend your type system to accommodate for this? (Assume that 1m = 3.28084 feet.)