

Drawing Hands  
M.C. Escher, 1948

<http://lara.epfl.ch/cc>

# Compiler Construction

## Staff:

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- Etienne Kneuss – Labs
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# Example: javac

## - from Java to Bytecode

```
...  
while (i < 10) {  
    System.out.println(j);  
    i = i + 1;  
    j = j + 2*i+1;  
}  
...
```

```
javac Test.java  
    → Test.class  
javap -c Test
```

```
4: iload_1  
5: bipush 10  
7: if_icmpge 32  
10: getstatic #2; //System.out  
13: iload_2  
14: invokevirtual #3; //println  
17: iload_1  
18: iconst_1  
19: iadd  
20: istore_1  
21: iload_2  
22: iconst_2  
23: iload_1  
24: imul  
25: iadd  
26: iconst_1  
27: iadd  
28: istore_2  
29: goto 4  
32: return
```

You will build  
a compiler that  
generates such  
code



# Example: gcc

- from C to Intel x86

```
#include <stdio.h>
int main(void) {
    int i = 0;
    int j = 0;
    while (i < 10) {
        printf("%d\n", j);
        i = i + 1;
        j = j + 2*i+1;
    }
}
```

gcc test.c -S  
→ test.s



```
jmp .L2
.L3:    movl -8(%ebp), %eax
        movl %eax, 4(%esp)
        movl $.LC0, (%esp)
        call printf
        addl $1, -12(%ebp)
        movl -12(%ebp), %eax
        addl %eax, %eax
        addl -8(%ebp), %eax
        addl $1, %eax
        movl %eax, -8(%ebp)

.L2:    cmpl $9, -12(%ebp)
        jle .L3
```



# Compilers are Important

**Source code** (e.g. Scala, Java, C, C++, Python) – designed to be easy for programmers to use

- should correspond to way programmers think
- help them be productive: avoid errors, write at a higher level, use abstractions, interfaces

**Target code** (e.g. x86, arm, JVM, .NET) – designed to efficiently run on hardware / VM

- fast, low-power, compact, low-level

**Compilers bridge these two worlds**, they are essential for building complex software

NATIONAL PHYSICAL LABORATORY

TEDDINGTON, MIDDLESEX, ENGLAND

PAPER 2-3

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AUTOMATIC PROGRAMMING  
PROPERTIES AND PERFORMANCE OF  
FORTRAN SYSTEMS I AND II

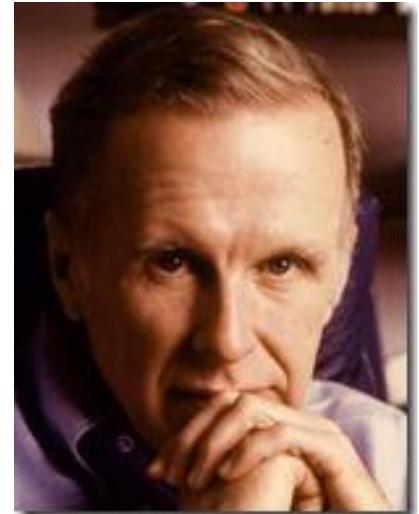
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by

J. W. BACKUS

To be presented at a Symposium on  
The Mechanization of Thought Processes,  
which will be held at the National Physical  
Laboratory, Teddington, Middlesex, from 24th-  
27th November 1958. The papers and the discussions  
are to be published by H.M.S.O. in the Proceedings  
of the Symposium. This paper should not be repro-  
duced without the permission of the author and of  
the Secretary, National Physical Laboratory.

A pioneering  
compiler:  
**FORTRAN**  
(FORmula  
TRANslator)



Backus-Naur  
Form - BNF

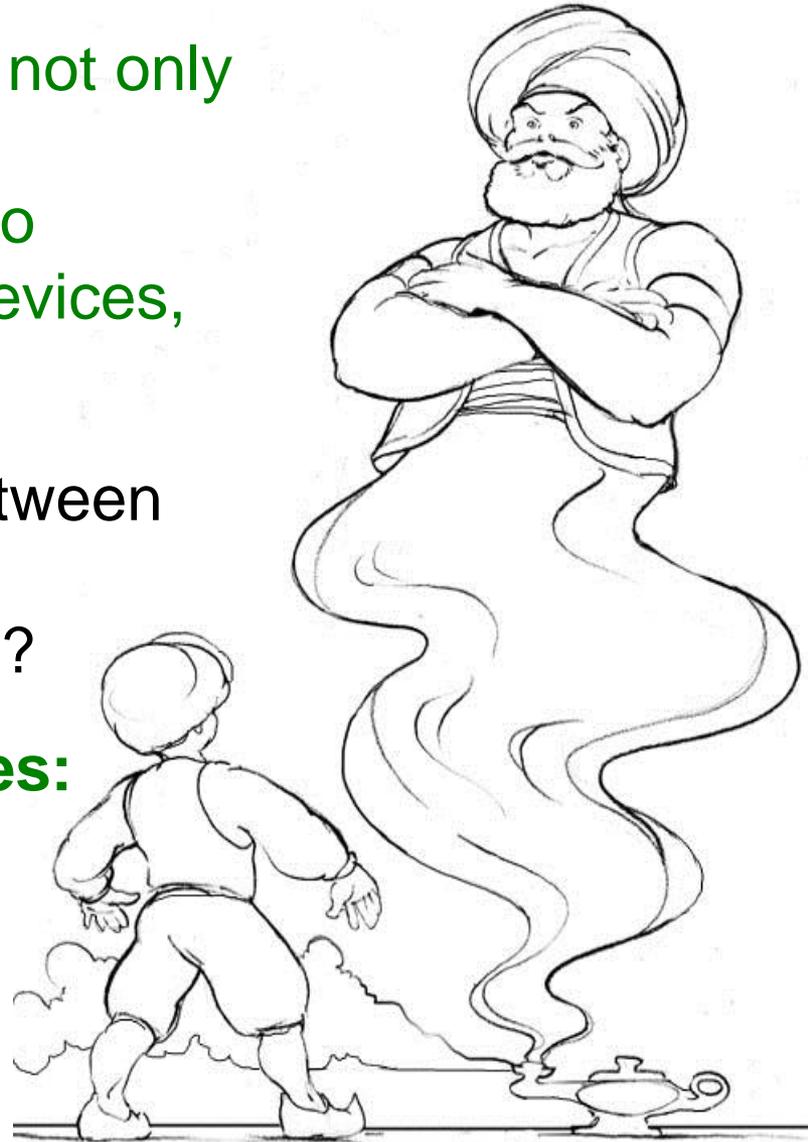
Turing Award  
1977

# Challenges for Future

Can target code **commands** include not only execution of commands on standard microprocessors processors, but also automatic design of new hardware devices, and control of physical devices?

Can compilers bridge the gap between wishes and commands, and help humans make the right decisions?

Can source code programs be **wishes**: specification languages, math, natural language phrases, diagrams, other forms of communication closer to engineers and users?



# Some of Topics You Learn in Course

- **Develop a compiler for a Java-like language**
  - Write a compiler from start to end
  - Generates Java Virtual Machine (JVM) code  
(We provide you code stubs, libraries **in Scala**)
- **Compiler generators – using and making them**
- **Analyze complex text**
  - Automata, regular expressions, grammars, parsing
- **Automatically detecting errors in code**
  - name resolution, type checking, data-flow analysis
- **Machine-like code generation**

# Potential Uses of Knowledge Gained

- understand how compilers work, use them better
- gain experience with building complex software
- build compiler for your next great language
- extend language with a new construct you need
- adapt existing compiler to new target platform (e.g. embedded CPU or graphics processor)
- regular expression handling in editors, grep
- build an XML parsing library
- process complex input box in an application (e.g. expression evaluator)
- parse simple natural language fragments

# Schedule and Activities (6 credits)

- All activities take place in INM 202
  - Mondays **10:15**-12:00,
  - Wednesday **8:15**-10:00 and continuing to:
  - Wednesday **10:15**-12:00
- Lectures, Labs, Exercises
- At home
  - Continue with programming the compiler
  - Write the homework
- If you need more help, email us:
  - we will arrange additional meetings

# How We Compute Your Grade

- 55% : project (submit, explain if requested)
  - submit through our wonderful online system
  - do them in groups of 2, exceptionally 1 or 3
- 20% : homework in the first part of the course
  - do them *individually!*
  - submit at the beginning of next exercise
  - participate in exercise sessions
- 25% : quiz in the last week of classes
  - will be on the last Wednesday of classes
  - do it *individually*
- **Must get > 60% from *each* category to get 4.0**

# Collaboration and Its Boundaries

- For clarification questions, discuss them in the mailing list, which we monitor
- Work in groups of **2** for project
  - everyone should know every part of code
  - we may ask you to explain specific parts of code
- Do not copy lab solutions from other groups!
  - we use code plagiarism detection tools
  - we will check if you fully understand your code
- Do the homework and quiz *individually*
  - You wouldn't steal a handbag.
  - You wouldn't steal a car.
  - You wouldn't steal a compiler or homework!



# EPFL Course Dependencies

- Theoretical Computer Science (CS-251)
  - If have not taken it, check the book “Introduction to the Theory of Computation” by Michael Sipser
- Knowledge of the Scala language (see web)
- Helpful general background
  - Discrete structures (CS-150), Algorithms (CS-250)
- This course provides background for MSc:
  - Advanced Compilers
  - Synthesis Analysis & Verification
  - Foundations of Software

# Course Materials

## Official Textbook:

Andrew W. Appel, Jens Palsberg:

Modern Compiler Implementation in Java  
(2nd Edition). Cambridge University Press, 2002

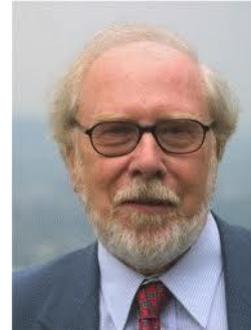
We do not strictly follow it

- program in Scala instead of Java
- use pattern matching instead of visitors
- hand-written parsers in the project  
(instead of using a parser generator)

Lectures in course wiki: <http://lara.epfl.ch/w/cc>

# Additional Materials

- Compilers: Principles, Techniques, and Tools (2nd Edition) by Alfred V. Aho, Monica S. Lam, Ravi Sethi, Jeffrey D. Ullman
  - comprehensive
- Compiler Construction by Niklaus Wirth
  - concise, has main ideas



“**Niklaus Emil Wirth** (born February 15, 1934) is a Swiss computer scientist, best known for designing several programming languages, including Pascal, and for pioneering several classic topics in software engineering. In 1984 he won the Turing Award for developing a sequence of innovative computer languages.”

- Additional recent books (2011-2012):
  - **Aarne Ranta**: Implementing Programming Languages
  - **H.Seidl, R.Wilhelm, S.Haack**: Compiler Design (3 vols, Springer)

# Describing the **Syntax** of Languages

# Syntax (from Wikipedia)

...In linguistics, **syntax** (from Ancient Greek σύνταξις "arrangement" from σύν - *syn*, "together", and τάξις - *táxis*, "an ordering") is the study of the principles and rules for constructing phrases and sentences in natural languages.

...In computer science, the **syntax** of a programming language is the set of rules that define the combinations of symbols that are considered to be correctly structured programs in that language.

# Describing Syntax: Why

- Goal: document precisely (a superset of) meaningful programs (for users, implementors)
  - Programs outside the superset: meaningless
  - We say programs inside make *syntactic* sense (They may still be ‘wrong’ in a deeper sense)
- Describing syntactically valid programs
  - There exist arbitrarily long valid programs, we cannot list all of them explicitly!
  - Informal English descriptions are imprecise, cannot use them as language reference

# Describing Syntax: How

- Use theory of formal languages (from TCS)
  - regular expressions & finite automata
  - context-free grammars
- We can use such precise descriptions to
  - document what each compiler should support
  - manually derive compiler phases (lexer, parser)
  - automatically construct these phases using compiler generating tools
- We illustrate this through an example

# While Language – Idea

- Small language used to illustrate key concepts
- Also used in your first lab – interpreter
  - later labs will use a more complex language
  - we continue to use *while* in lectures
- ‘while’ and ‘if’ are the control statements
  - no procedures, no exceptions
- the only variables are of ‘int’ type
  - no variable declarations, they are initially zero
  - no objects, pointers, arrays

# While Language – Example Programs

```
while (i < 100) {  
  j = i + 1;  
  while (j < 100) {  
    println(" ",i);  
    println(", ",j);  
    j = j + 1;  
  }  
  i = i + 1;  
}
```

Nested loop

```
x = 13;  
while (x > 1) {  
  println("x=", x);  
  if (x % 2 == 0) {  
    x = x / 2;  
  } else {  
    x = 3 * x + 1;  
  }  
}
```

Does the program terminate  
for every initial value of x?  
(Collatz conjecture - open)

Even though it is simple, while is Turing-complete.

# Reasons for Unbounded Program Length

constants of any length

variable names of any length

String constants of any length

(words - tokens)

```
while (i < 100) {  
    j = i + 5*(j + 2*(k + 7*(j+k) + i));  
    while (293847329 > j) {  
        while (k < 100) {  
            someName42a = someName42a + k;  
            k = k + i + j;  
            println("Nice number", k)  
        }  
    }  
}
```

nesting of expressions

nesting of statements

# Tokens (Words) of the *While* Language

Ident ::= letter (letter | digit)\*

integerConst ::= digit digit\*

stringConst ::= " AnySymbolExceptQuote\* "

keywords  
if else while println

special symbols  
( ) && < == + - \* / % ! - { } ; ,

letter ::= a | b | c | ... | z | A | B | C | ... | Z

digit ::= 0 | 1 | ... | 8 | 9

regular expressions

or  
repetition

# Double Floating Point Constants

Different rules in different languages

→ 1) digit digit\* [ . ] [ digit digit\* ]

5.

↑

( )?

2) digit digit\* [ . digit digit\* ]

5

5) digit\* [-digit\*]

→ 3) digit\* . digit digit\*

.5

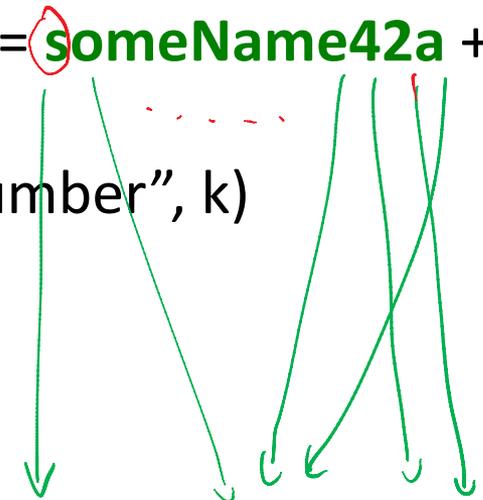
4) digit digit\* . digit digit\*

1.2

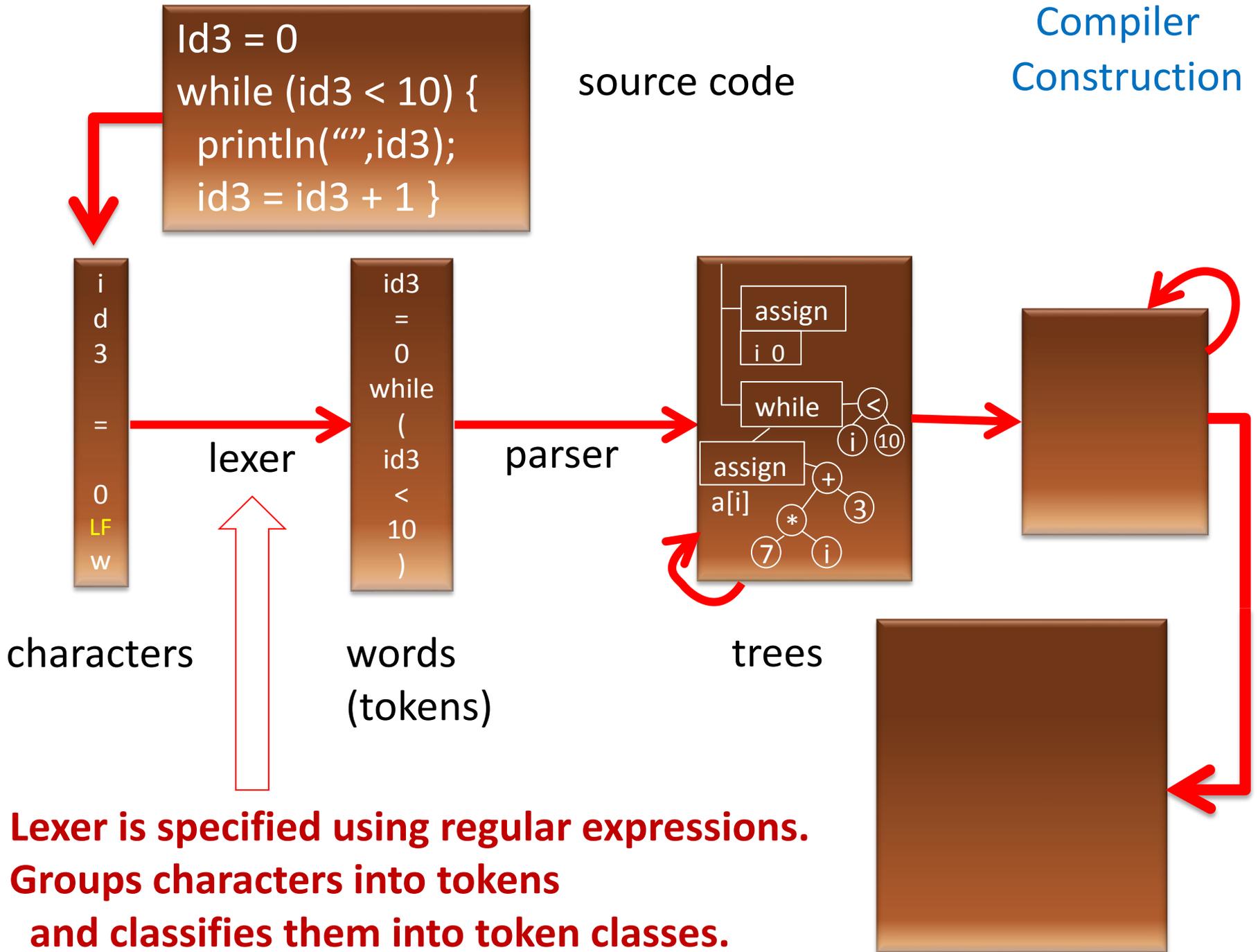
# Identifiers

```
while (i < 100) {  
  j = i + 5*(j + 2*(k + 7*(j+k) + i));  
  while (293847329 > j) {  
    while (k < 100) {  
      someName42a = someName42a + k;  
      k = k + i + j;  
      println("Nice number", k)  
    }  
  }  
}
```

letter (letter | digit)\*



# Compiler Construction



**Lexer is specified using regular expressions.  
Groups characters into tokens  
and classifies them into token classes.**

# More Reasons for Unbounded Length

constants of  
any length

```
while (i < 100) {  
  j = i + 5*(j + 2*(k + 7*(j+k) + i));
```

variable names  
of any length

```
  while (293847329847 > j) {  
    while (k < 100) {  
      someName42a = someName42a + k;  
      k = k + i + j;  
      println("Nice number", k)
```

String constants  
of any length

```
    }  
  }  
}
```

(words - tokens)

nesting of  
expressions

nesting of  
statements

(sentences)

# Sentences of the *While* Language

We describe sentences using **context-free grammar (Backus-Naur form)**. Terminal symbols are tokens (words)

program ::= statmt\*

statmt ::= println( stringConst , ident )

| ident = expr

| if ( expr ) statmt (else statmt)?

| while ( expr ) statmt

| { statmt\* }

optional part

nesting of  
statements

expr ::= intLiteral | ident

| expr ( && | < | == | + | - | \* | / | % ) expr

| ! expr | - expr

nesting of  
expressions

# While Language without Nested Loops

statmt ::= println( stringConst , ident )

| ident = expr

| if ( expr ) statmt (else statmt)?

| while ( expr ) statmtww

| { statmt\* }

statmtww ::= println( stringConst , ident )

| ident = expr

| if ( expr ) statmtww (else statmtww)?

| { statmtww\* }

↑  
statement  
without while



# Abstract Syntax - Trees

To get abstract syntax (trees, cases classes),  
start from context-free grammar for tokens, then

- remove punctuation characters
- interpret rules as **tree descriptions**, not string descriptions

statmt ::= println( stringConst , ident )	PRINT(String,ident)
ident := expr	ASSIGN(ident,expr)
→   <u>if</u> ( <u>expr</u> ) statmt (else statmt)?	<u>IF</u> (expr,stmt,Option[statmt])
while ( expr ) statmt	WHILE(expr,statmt)
{ statmt* }	BLOCK(List[statmt])

concrete syntax

Scala trees for this  
abstract syntax

**abstract class** statmt

**case class** PRINT(id:ident) **extends** statmt

**case class** ASSIGN(id:ident, e:expr) **extends** statmt

**case class** IF(e:expr, s1:statmt, s2:statmt)

**extends** statmt ...

↑ *option [statmt]*

**abstract syntax**

# Example of Parsing

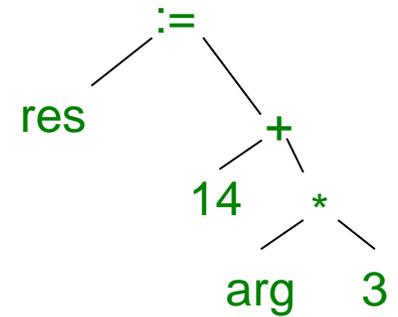
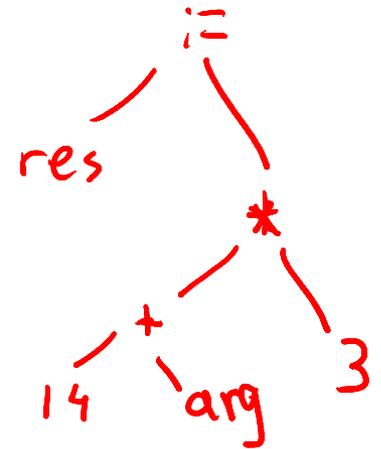
res = 14 + arg \* 3

Lexer:

res = 14 + arg \* 3

Parser:

```
ASSIGN(res,  
  PLUS(CONST(14),  
    TIMES(VAR(arg),CONST(3))))
```



Code generator then “prints” this tree into instructions.

# Reminder about Formal Languages

# Languages Formally

$$\emptyset \cdot L = \emptyset$$

$$\emptyset \cup L = L$$

- A *word* is a finite, possibly empty, sequence of elements from some set  $\Sigma$

$\Sigma$  – *alphabet*,  $\Sigma^*$  – set of all words over  $\Sigma$

- For *lexer*: characters; for *parser*: token classes

- $uv$  denotes concatenation of words  $u$  and  $v$

- By a *language* we mean a subset of  $\Sigma^*$

– union, intersection, complement wrt.  $\Sigma^*$

$$L_1 \cdot L_2 = \{ u_1 u_2 \mid u_1 \text{ in } L_1, u_2 \text{ in } L_2 \}$$

$$L^0 = \{\varepsilon\}$$

$$\varepsilon = ""$$

$$L^1 = L \cdot L^0 = L \cdot \{\varepsilon\} = \{ u_1 \varepsilon \mid u_1 \in L \} = L$$

$$L^{k+1} = L L^k$$

$$L^* = \bigcup_k L^k \quad (\text{Kleene star})$$

$$\begin{aligned} \uparrow \\ u(vw) &= (uv)w \\ u \cdot \varepsilon &= u = \varepsilon \cdot u \end{aligned}$$

# Are there finitely many tokens?

- There are finitely many **token classes**
  - identifier
  - string
  - {
  - }
  - (
  - ... (many, but finitely many)

There is unbounded number of *instances* of token classes identifier and string

When we discuss grammars, we work with token classes.

# Examples of Languages

$$\Sigma = \{a, b\}$$

$$\Sigma^* = \{\epsilon, a, b, aa, ab, ba, bb, aaa, aab, aba, \dots\}$$

Examples of two languages, subsets of  $\Sigma^*$  :

$$L_1 = \{a, bb, ab\} \quad (\text{finite language, three words})$$

$$L_2 = \{ab, abab, ababab, \dots\}$$

$$= \{(ab)^n \mid n \geq 0\} \quad (\text{infinite language})$$



ad-hoc

# Examples of Operations

$$\Sigma = \{a, b\}$$

$$L = \{a, ab\} \in L^*$$

$$L L = \{aa, aab, aba, abab\}$$

$$L^* = \{a, ab, aa, aab, aba, abab, aaa, \dots\} = \frac{(a|ab)^*}{\underbrace{\quad}} \quad \downarrow$$

(is bb inside  $L^*$  ?)

$$\subseteq \supseteq = \{w \mid \text{immediately before each } b \text{ there is a}\}$$

| ab | a | a | ab | ab | a | a |



$$\in \Sigma^*$$